

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

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James R. Thompson Center
100 W. Randolph St., Ste. 14-100
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Tuesday, October 22, 2013 10:30 a.m.

James R. Thompson Center – Room 9-040
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Approval of the minutes from the September 16 meeting. (pgs.1-5)
2. Report of the General Counsel
 - a. Campaign Disclosure;
Request for settlement offer
 - 1) *SBE v. Rich Township Organization*, 1049; (pgs.6-7)
 - 2) *SBE v. Indo-American Democratic Organization*, 1420, 13MA038; (pgs.8-9)
 - 3) *SBE v. 18th Ward Democratic Org.*, 12078, 13MQ032; (pgs.10-11)
 - 4) *SBE v. Bukowski for Sheriff Committee*, 12866; (pgs.12-13)
 - 5) *SBE v. Citizens for John Beck*, 15728; (pgs.14-15)
 - 6) *SBE v. Friends for Beverly Ann Mull*, 22326, 12MQ202; (pgs.16-17)
 - 7) *SBE v. Citizens for Kyle Logan*, 24271; (pgs.18-20)
 - 8) *SBE v. Friends for Warren L. Dixon*, 24528, 13DQ242; (pgs.21-22)
 - 9) *SBE v. Team Township: Homer Township Candidates for Supervisor, Clerk, Linsey Sowa*, 25015; (pgs.23-24)
 - Appeals of campaign disclosure fines - hearing officer recommendation appeals be granted
 - 10) *SBE v. Committee to Elect Miceli*, 959, 13JQ022; (pgs.25-27)
 - 11) *SBE v. Indo-American Democratic Org.*, 1420, 13JQ027; (pgs.28-31)
 - 12) *SBE v. DuPage Township Republican Organization*, 4678, 13JQ034; (pgs.32-34)
 - 13) *SBE v. Citizens for Jerry Bennett*, 10946, 13AM059; (pgs.35-38)
 - 14) *SBE v. Friends of Tim Schmitz*, 13511, 13AJ018; (pgs.39-44)
 - 15) *SBE v. Arab American Democratic Club*, 20046, 13AM066; (pgs.45-51)
 - 16) *SBE v. Citizens to Elect Dan Decker*, 20305, 13JQ091; (pgs.52-54)
 - 17) *SBE v. Friends of Jim Oberweis*, 23861, 13AJ032; (pgs.55-58)
 - 18) *SBE v. Taxpayers for Dave Ehrlich Committee*, 24347, 13JQ167; (pgs.59-63)
 - 19) *SBE v. Citizens for Denise Moore*, 24573, 13MA049; (pgs.64-72)
 - 20) *SBE v. Committee to Elect Michael Harner*, 25087, 13MQ253; (pgs.73-75)
 - 21) *SBE v. Citizens for Rauner, Inc.*, 25185, 13AM106; (pgs.76-80)

Appeals of campaign disclosure fines - hearing officer recommendation appeals be denied

- 22) *SBE v. Greene County Republican Central Committee*, 22, 13JQ001; (pgs.81-84)
- 23) *SBE v. Illinois Insurance Association PAC*, 6609, 13AM057; (pgs.85-88)
- 24) *SBE v. Citizens to Elect Cheri L. Neal*, 12684, 13AJ016; (pgs.89-92)
- 25) *SBE v. Melrose Vision Party*, 13905, 13MQ039; (pgs.93-96)
- 26) *SBE v. Melrose Victory Party*, 14481, 13MQ043; (pgs.97-100)
- 27) *SBE v. Friends of Rick Reinbold Campaign Committee*, 15667, 13AM064; (pgs.101-104)
- 28) *SBE v. The Integrity Party*, 15706, 13JQ063; (pgs.105-109)
- 29) *SBE v. Illinois Corn Growers Association Political Involvement Fund*, 16797, 13JQ071; (pgs.110-113)
- 30) *SBE v. Citizens for Pat Dowell*, 16892, 13MA003; (pgs.114-118)
- 31) *SBE v. Friends of Mattie Hunter*, 17385, 13AQ023; (pgs.119-121)
- 32) *SBE v. United People's Party*, 18568, 13MQ071; (pgs.122-125)
- 33) *SBE v. Ed Motley for Sheriff*, 22169, 13MA053; (pgs.126-129)
- 34) *SBE v. Lake County Life PAC*, 22333, 13DQ239; (pgs.130-132)
- 35) *SBE v. Clint Krislov State Campaign Committee*, 22431, 13MA012; (pgs.133-136)
- 36) *SBE v. Friends of Tabares*, 23775, 13AD103; (pgs.137-139)
- 37) *SBE v. Committee to Elect Max Mitchell*, 24518, 13JQ175; (pgs.140-142)
- 38) *SBE v. Citizens Opinion Matter*, 24595, 12SQ202; (pgs.143-145)
- 39) *SBE v. Citizens for One Wauconda*, 24810, 13MA040; (pgs.146-149)
- 40) *SBE v. 230 United*, 24876, 13JQ193; (pgs.150-152)
- 41) *SBE v. Committee to Elect J B Johnson*, 25036, 13AM041; (pgs.153-156)
- 42) *SBE v. Citizens to Elect Charles Allen January Jr.*, 25190, 13JQ220; (pgs.157-159)
- 43) *SBE v. Warren First Political Party*, 25253, 13AM110; (pgs.160-163)
- 44) *SBE v. Friends of Janet Ryan Grasso*, 25298, 13AJ049; (pgs.164-166)
- 45) *SBE v. Committee to Elect Greg Voudrie Coles County Sheriff*, 25415, 13D1008; (pgs.167-172)

Appeals of campaign disclosure fines - hearing officer recommendation appeals be granted and denied

- 46) *SBE v. Friends of Ken Dunkin*, 11436, 12MQ-CL002; (pgs.173-178)

Complaints following public hearing - hearing officer recommendation complaints be upheld and issue a Board order requiring the delinquent report(s) be filed within 30 days of the order or face a fine up to \$5,000

- 47) *SBE v. Oak Lawn Leadership Team*, 12CD064; (pgs.179-182)
- 48) *SBE v. Illinois Black Business PAC*, 12CD081; (pgs.183-186)
- 49) *SBE v. Illinois School Psychologists PAC*, 13CD006; (pgs.187-190)
- 50) *SBE v. Committee to Re-elect Mike Slape*, 13CD009; (pgs.191-194)
- 51) *SBE v. Citizens to Elect Alvin Parks Jr.*, 13CD031; (pgs.195-198)
- 52) *SBE v. Oak Lawn Leadership Team*, 13CD045; (pgs.199-202)
- 53) *SBE v. Illinois Black Business PAC*, 13CD055; (pgs.203-206)
- 54) *SBE v. Education First Party*, 13CD068; (pgs.207-210)
- 55) *SBE v. Friends of Dick Breckenridge*, 13CD069; (pgs.211-214)
- 56) *SBE v. Tammy Baer for Douglas County State's Attorney*, 13CD081; (pgs.215-218)
- 57) *SBE v. Friends of Tom Bucher*, 13CD084; (pgs.219-222)
- 58) *SBE v. Taking Back America*, 13CD090; (pgs.223-226)

Other campaign disclosure items

- 59) Assessments/Final Board Orders; (pg.227)
- 60) Payment of civil penalties – informational; (pgs.228-230)

Complaints following closed preliminary hearing – hearing officer recommendation complaints not filed on justifiable grounds and not proceed to a public hearing (separate packet)

- 61) *IL Campaign For Political Reform, Morrison, Woodward & Melton v. Moving Aurora Forward*, 13CD133; (pgs.1-14)

- 62) *IL Campaign For Political Reform, Morrison, Woodward & Melton v. African American Clergy Coalition*, 13CD134; (pgs.15-30)

Complaints following closed preliminary hearing – hearing officer recommendation complaints be upheld and proceed to a public hearing

- 63) *SBE v. First Ward Organization*, 13CD024; (pgs.31-33)
64) *SBE v. Committee to Elect David L. Evans*, 13CD025; (pgs.34-36)
65) *SBE v. Robert T. Miller*, 13CD026; (pgs.37-39)
66) *SBE v. Friends of Sandra Wortham*, 13CD077; (pgs.40-42)

Complaints following closed preliminary hearing – hearing officer recommendation complaints be upheld but no further action beyond the imposition of a civil penalty

- 67) *SBE v. Friends of Kenny Johnson*, 13CD034. (pgs.43-)

3. Report of the Executive Director
- a. Preparations for the March 18, 2014 General Primary Election;
 - 1) Policy for acceptance of candidate nominating petitions; (pg.231)
 - b. Certification of Hart Inter-Civic de minimis hardware upgrade for 6.2.1 voting system; (pg.232)
 - c. 2013 Consent Decree update; (pg.233)
 - d. Legislative update; (oral report)
 - e. Paperless Online Voter Application update; (pg.234)
 - f. IVRS update – informational; (pgs.235-238)
 - g. Census data versus registered voters; (pg.239)
 - h. Fiscal status reports – informational;
 - 1) FY14 – month ending September 30; (pgs.240-247)
 - 2) FY14 – Help Illinois Vote Fund; (pgs.248-253)
 - i. Two year plan of staff activity for the months of October & November – informational. (pgs.254-255)
4. Follow up;
- a. Dominion voting systems in use in Chicago and Cook County; (pgs.256-258)
 - b. Report on “Election Security and the Vulnerability Assessment of Security in relation to the State Board of Elections.” (pgs.259-262)
5. Comments from the general public. (pg.263)
6. Next regular Board meeting at 10:30 a.m. on Monday, November 18, 2013 in Springfield. (pg.263)
7. Executive session. (pgs.264-268)

STATE BOARD OF ELECTIONS
Regular Meeting
Monday, September 16, 2013

MINUTES

PRESENT:

Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
William M. McGuffage, Member
Bryan A. Schneider, Member
Casandra B. Watson, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The meeting convened at 10:40 a.m. via videoconference with seven Members present. Chairman Smart and Members Byers, Coffrin and Gowen were present in Springfield and Members Schneider and Watson present in Chicago. Vice Chairman Scholz was present via teleconference and Member Watson held Member McGuffage's proxy until his arrival in Chicago at 10:55 a.m.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Member Byers moved to approve the minutes from the August 20 meeting as presented. Member Coffrin seconded the motion which passed unanimously.

The General Counsel presented Agenda item 2.a.1, a motion for reconsideration for *SBE v. Indo-American Democratic Organization*, 1420, 13MA038 and summarized the matter. He recommended the motion be denied for failure to establish sufficient grounds for why they did not appeal the initial assessment. Mridu Sekhar was present on behalf of the committee and stated that the SBE correspondence was sent to an old address and asked the penalty be forgiven. Member Byers moved to accept the recommendation of the General Counsel. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.2, a motion for reconsideration for *SBE v. 42nd Ward Republican Organization*, 15431, 12SQ048 and recommended the motion be denied for lack of basis granting same. Eloise Gerson was present on behalf of the committee and said notices were sent to an old address and the committee experienced difficulties with their Treasurer. Ms. Gerson then offered a settlement amount of \$3,900. Member Byers moved to accept the recommendation of the General Counsel and the settlement offer from Ms. Gerson to be paid within ninety days. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.3, a motion for reconsideration for *SBE v. 42nd Ward Republican Organization*, 15431, 13MQ049 and recommended the motion be denied. Ms. Gerson was present on behalf of the committee and offered a settlement amount of \$525. Member Schneider moved to accept the recommendation of the General Counsel and the

settlement offer from Ms. Gerson to be paid within ninety days. Member Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a settlement offer from *SBE v. Committee to Elect Mel Thillens*, 23224, 09MA057 and stated that John Fogarty was present on behalf of the committee. Mr. Fogarty asked for a settlement in the amount of \$5,000 and noted that the committee currently has a zero funds balance. Member McGuffage moved to accept Mr. Fogarty's settlement offer to be paid within thirty days. Member Watson seconded the motion which failed by roll call vote of 4-4, with Members Byers, Coffrin, Gowen and Schneider voting in the negative. Mr. Fogarty then offered a settlement amount of \$6,175 which was 50% of the original assessment. Member Coffrin moved to accept the settlement of \$6,175 to be paid within thirty days. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to grant the appeals for Agenda items 2.a.4-6: *SBE v. Citizens to Elect Tom Cross*, 7539, 13AD021; *SBE v. Liz for the 17th*, 22716, 12AS069; and *SBE v. Friends of Sam Yingling*, 23561, 13MA019. He also presented Agenda item 2.a.7, *SBE v. Citizens for Debbie Myers-Martin*, 24887, 13MA053 where he concurred with the hearing officer recommendation to grant in part and deny in part. No one was present on behalf of the respondent committees. Member Coffrin moved to accept the recommendation of the General Counsel in the above noted appeals. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel noted that the appeals for Agenda items 2.a.14 & 19, *SBE v. United Peoples Party*, 18568, 13MQ071 and *SBE v. Lake County Life PAC*, 22333, 13DQ239 were pulled and will be placed on a future Board Meeting Agenda.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 2.a.8, 13, 17, 21, 22 & 25:

- 8) *SBE v. Knox County Democratic Central Committee*, 287, 13MQ008;
- 13) *SBE v. Democratic Women of Knox County*, 16139, 13MQ055;
- 17) *SBE v. Committee to Elect John H. Vogt State's Attorney*, 19507, 12SQ207;
- 21) *SBE v. Citizens for Ronald Duebbert*, 24169, 13MQ140;
- 22) *SBE v. Friends of Xavier Roman*, 24447, 12AS080;
- 25) *SBE v. HACIA PAC*, 25109, 13MA056.

He also noted that the total amount due in the hearing officer report for Agenda item 2.a.21 was incorrect and recommended that it be changed to \$425. No one was present on behalf of the respondent committees. Member Coffrin moved to accept the recommendation of the General Counsel for the above noted appeals. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.9, *SBE v. 44th Ward Regular Democratic Organization*, 468, 12AJ063 and concurred with the hearing officer recommendation to deny the appeal. Mike Kasper was present on behalf of the respondent committee and asked the fine be reduced to 10% of the assessment because the bookkeeper was on maternity leave and the late filing was unintentional. Member McGuffage moved to deny the appeal and reduce the penalty to 10% on the basis that the failure to file was inadvertent and unintentional. Member Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.10, *SBE v. Personal PAC, Inc.*, 497, 13AM009 and concurred with the hearing officer recommendation to deny the appeal. Mr. Kasper was present on behalf of the committee and offered a settlement of \$1,625. Member Byers moved to deny the appeal and accept Mr. Kasper's settlement offer. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.11, *SBE v. Friends of Mary E. Flowers*, 4261, 12AS064 and concurred with the hearing officer recommendation to deny the appeal. Mr. Kasper was present on behalf of the committee and also concurred with the recommendation of the hearing officer. Member Byers moved to accept the recommendation of the General Counsel and deny the appeal. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.12, *SBE v. Friends of Ken Dunkin*, 11436, 13AD060 and concurred with the hearing officer recommendation to deny the appeal. Mr. Kasper was present on behalf of the respondent committee and stated that he did not agree with the recommendation. He reviewed the circumstances and said the committee was unaware of the new law regarding in-kind contributions for their rent. Once they realized this they promptly refunded the amount of excess contributions to the landlord and have been reporting correctly since then. The General Counsel recommended the appeal be granted because the committee put forth best effort to follow state requirements and felt they should not be penalized for doing something they thought would prevent a violation of another section of the statute. Member McGuffage moved to grant the appeal. Member Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda items 2.a.15 & 16: *SBE v. Friends of Dave Koehler*, 19230, 12AS067 and *SBE v. Friends of Dave Koehler*, 19230, 13AD067 and concurred with the hearing officer recommendation to grant in part and deny in part the appeal for #15 and deny the appeal for #16. Mr. Kasper was present on behalf of the respondent committee and explained the Treasurer was unable to keep up with her duties because she was undergoing chemotherapy treatments and offered a settlement amount of \$10,000. Member McGuffage moved to accept the recommendation of the General Counsel and the Mr. Kasper's settlement offer for the above noted appeals. Member Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.18, *SBE v. Crete Township Democrats*, 19976, 12SQ208 and concurred with the hearing officer recommendation to deny the appeal. Sherry Newquist was present on behalf of the committee and offered a settlement of \$1,001.31 which was the amount remaining in the account. Member Byers moved to accept the recommendation of the General Counsel and Ms. Newquist's settlement offer. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.20, *SBE v. Committee to Elect Will Guzzardi*, 23729, 13MA048 and concurred with the hearing officer recommendation to deny the appeal. Will Guzzardi was present on behalf of the respondent committee and said he experienced technical difficulty because he previously did not have the type of operating system that was required for the electronic filing program. He then offered a settlement amount of \$1,325. Member McGuffage moved to grant in part and deny in part the appeal, and accept Mr. Guzzardi's settlement offer. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda items 2.a.23, *SBE v. Friends of Fred Tetter*, 24812, 13MA051 where the hearing officer recommended the appeal be denied. Monique Howery was present on behalf of the committee and stated that the committee did not reach the \$3,000 threshold and asked that the penalty be waived. Member McGuffage moved to dismiss the matter and waive

the penalty because the committee was not required to file. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.24, *SBE v. Friends of Jarman Myron Porter*, 24813, 13MA052 and concurred with the hearing officer recommendation to grant the appeal in part and deny in part. He disagreed with the hearing officer's recommendation to rescind the granting of the appeal of the two previous assessments if the committee fails to file the amendment. Instead, he recommended that if the Board orders the committee to amend the reports to correct them and they fail to do that, then they fail to comply with a Board order which has its own set of consequences. Ms. Howery was present on behalf of the committee and stated that the amended reports will be filed within thirty days. Vice Chairman Scholz moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.26, *SBE v. Committee to Elect Linda Moore*, 21578, 13AD034 and concurred with the hearing officer recommendation to grant the appeal in part and deny in party. He also noted that the amount of the penalty listed in hearing officer's report was incorrect and recommended it be changed to a total of \$225 which would be stayed. No one was present on behalf of the respondent committee. Member Gowen moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

A listing of payment of civil penalties was presented for informational purposes.

The Executive Director began his report with an update on the Advisory Committee meeting that was held prior to the Board meeting. He noted that the meeting was well attended and topics discussed included provisional ballot rules, legislation, the post election survey, online voter registration and electronic canvassing. The next meeting is tentatively scheduled for January 22, 2014 and will not be held in conjunction with the Board's regular monthly meeting.

Next was a proposal to amend the campaign disclosure seven day calendar provisions. The General Counsel noted the changes discussed at the last Board meeting and the new proposed language was included in the board packet. He recommended a reduced filing fee of \$50 which would be applicable to all complaints filed and an increased blackout period from eleven days prior to an election to fifteen days prior thereto. Member Gowen moved to approve the amendment on pages 108-110 of the board packet. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Cris Cray gave a brief legislative update and reported that preparations have begun on the 2014 legislative agenda and staff is reviewing a couple pieces of new legislation for the veto session. A meeting was recently held with Senate and House staffers to discuss the agenda items including provisional balloting rules and regional superintendent of schools district consolidation and petition filing that is scheduled for November. Ms. Cray also stated that that a bill would be drafted concerning the procurement rules and would be forwarded to the Board for their review.

The Executive Director noted that the online voter registration update was included in the board packet and Kyle Thomas was available to address any additional questions. Mr. Thomas said the test file received from the Secretary of State's office exceeded staff expectations and the images looked very good. He also said that the system has been renamed Paperless Online Voter Registration Application (POVA) to avoid confusion with a previous system titled OVR that requires individuals to send in a paper application with their signature. Mr. Thomas clarified that these are only applications until the election authority processes and deems them registrations.

Mr. Thomas presented the IVRS update for informational purposes and noted that the city of East St. Louis has dropped below 100% on the census data versus registered voters in certain jurisdictions report.

The Executive Director presented the fiscal reports for informational purposes and noted that FY14 expenditures are on target and are being closely monitored. He also noted that a final FY13 report would be issued at the end of the year but it appears that the agency expended approximately 85% of the appropriation. The Chairman thanked Mr. Roate and Mr. Borgsmiller for a job well done.

The two year plan of staff activity for the months of September and October were presented for informational purposes.

Next on the Agenda was a follow up report on "Election Security and the Vulnerability Assessment of Security in relation to the State Board of Elections." Sharon Meroni, Executive Director of Defend the Vote was present and expressed her thoughts regarding security of electronic voting machines, the Argonne National Laboratory Vulnerability Assessment, transmission of early voting ballots, counting of absentee ballots, election seals and provisional voting.

The Board unanimously agreed to recess to executive session to consider a personnel matter. The meeting recessed at 12:55 p.m. and reconvened at 1:00 p.m.

Member Byers moved to offer a four year appointment to Sharon Steward. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

With there being no further business before the Board Chairman Scholz moved to adjourn until 10:30 a.m. on October 22, 2013 in Chicago. Member Coffrin seconded the motion which passed unanimously. The meeting adjourned shortly after 1:00 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

13 OCT -8 AM 8:10



RICH TOWNSHIP DEMOCRATIC ORGANIZATION
21010 London Drive
Olympia Fields, IL 60461
708/516-6436

139Q023

October 7, 2013

State Board of Elections
State of Illinois
Ms. Sharon Steward, Director
Campaign Disclosure Division

Re: Rich Township Democratic Organization, ID #1049

Dear Ms. Steward:

The political committee, Rich Township Democratic Organization, ID#1049 was assessed a fine of \$600.00 for failing to file the quarterly report on time. I am deeply sorry for my failure to comply within the dated filing period.

It would be greatly appreciated if the Board of Elections would consider my "offer of compromise" in the amount of \$300.00 to be paid by October 11, 2013. I will not be seeking a hearing to file an appeal.

Sincerely,

Tim Bradford

Tim Bradford
Chairman/Treasurer

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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100 West Randolph, Suite 14-100
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 1049

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Rich Township Democratic Org
Tim Bradford
21010 London Dr
Olympia Fields, IL 60461-1881

Dear Rich Township Democratic Org:

7011 2970 0003 6441 5204

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/18/2013, 3 day(s) late. As such, this committee has been assessed a fine of \$600.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet



2013 SEP 24 PM 2:03

Illinois State Board of Elections
2329 S. MacArthur Blvd
Springfield. IL. 62704-4503

James R. Thomson Center
100 West Randolph Street Suite 14-100
Chicago. IL. 60601-3232

Attention: Chairman Jesse R. Smart

Date : September 18, 2013

Re: Complaint # 13MA-038 for Campaign # 1420

Dear Chairman Smart,

Our request for reconsideration of the penalty was denied by the board at the hearing on Sept 16th. The fine now stands at \$650. It is a serious hardship for us and we request to settle for a smaller amount. This \$650 fine consists of two fines.

\$500 from 2012 under the tenure of a different Treasurer, Mr. Sean Chaudhary
\$150 in 2013 under my tenure which started in January 2013.

I request that you reduce the first one from 2012 by 50%(since this was the the third violation during his tenure.)

I request that you reduce the second one to 10% (since this is the first violation under my tenure).

We would be very grateful if you can settle this for a total amount of \$265.

Thank you for your consideration.

Sincerely,

Mridu Sekhar
Treasurer
1335 S. Prairie Ave #1605
Chicago. IL. 60605

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
 Complainant(s),)
Vs.) Case No. 13 MA 038
)
Indo-American Democratic Org)
 Respondent(s).)

FINAL ORDER

TO: Indo-American Democratic Org
 1335 S Prairie Ave #1605
 Chicago, IL 60605

ID# 1420

This matter coming to be heard this 16th day of September, 2013 as a Motion for Reconsideration of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections reading the recommendation of the General Counsel and now being fully advised in the premises,

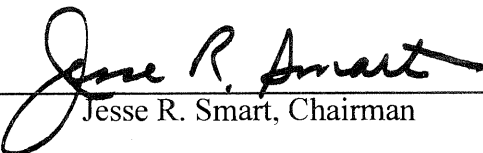
THE BOARD FINDS:

1. A Motion for Reconsideration was filed by the Respondent requesting the acceptance of an appeal of a civil penalty assessment received subsequent to the 30 day deadline.

IT IS ORDERED:

1. The Motion for Reconsideration is DENIED; and
2. The assessed civil penalty of \$650.00 remains due and owing, and
3. The effective date of this Order is September 17, 2013, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 9/17/2013



Jesse R. Smart, Chairman

Derrick Curtis
Committeeman

P.O. Box 42646
Evergreen Park, Illinois 60805
(773) 552-0018

Marquette Dunn
President

Juan Merced
Vice President

Scott Biszewski
Treasurer/Business Agent

Chaquita Starks
Coresponding Secretary

Adelle J. Brongiel
Recording Secretary



#12078

STATE BOARD OF ELECTIONS

13 SEP 19 AM 8:03

September 16, 2013

State Board of Elections
PO Box 4187
2329 S. MacArthur Blvd
Springfield, IL 62708-4187

Dear Director Steward:

Please be advised that we are in receipt of your correspondence sent to us on May 1, 2013.

First, I would like to start by saying that our treasurer Scott Biszewski became very ill and hospitalized during the month of April and was unable to file the quarterly report on time. However, immediately following his recovery and receiving your notice a report was filed.

Second, it was mentioned in your letter dated May 1, 2013 that we would be notified of an appeal in which we never received. After our phone conversation we realize that the incorrect address was used in the final order. Ref: PO Box 201039 - Chicago, IL 60620-7039

Lastly, we are asking that you consider all items listed above along with our hardship and allow us to pay a settlement of \$ 500.00.

Again, thank you for your consideration in this matter.

Sincerely,

Derrick G. Curtis
18th Ward Democratic Committeeman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 13 MQ 032
)
18th Ward Democratic Org)
 Respondent(s).)

FINAL ORDER

TO: 18th Ward Democratic Org ID# 12078
 PO Box 201039
 Chicago, IL 60620-7039

This matter coming to be heard this 20th day of August, 2013 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

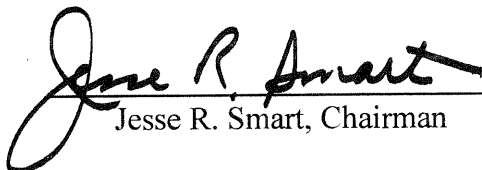
THE BOARD FINDS

1. In case number 13 MQ 032, a \$1500.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2013 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$50.00, which was stayed as a first violation, for the delinquent filing of the June 2011 Quarterly report (11 JS 056). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$1500.00 is imposed and the stay is lifted on the previously assessed penalty of \$50.00. The total amount of \$1550.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is August 22, 2013, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/22/2013


Jesse R. Smart, Chairman

BUKOWSKI FOR SHERIFF COMMITTEE 13 OCT -9 PM 4:27
2231 Fox Run Drive
Kankakee, IL 60901
815-401-5426

October 9, 2013

Steve Sandvoss, Chief Counsel
Illinois State Board of Elections
2329 S. MacArthur Blvd
Springfield, IL 62704
(217) 557-9939

Re: Letter of Settlement Offer

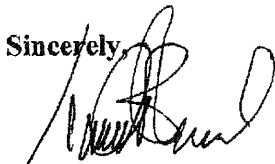
Dear Mr. Sandvoss:

Thank you for taking my call earlier today regarding my failure to properly report items in the preceding reporting period. I would like to submit an offer of written settlement and would like to appear before the board at its October 22, 2013 meeting in Chicago. I am waiving appeal and propose an offer of settlement of \$551.25 (50% of the proposed penalty).

This letter is forwarded by fax and the letter will additionally be mailed via US Mail. In the event you have any questions please call the above captioned telephone number.

Thank you again for your assistance.

Sincerely,



Timothy F. Bukowski, Candidate

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

Bukowski for Sheriff Committee
2231 Fox Run Dr
Kankakee, IL 60901-8358

ID# 12866

Dear Bukowski for Sheriff Committee:

7011 2970 0003 6441 8649

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Medical Group of Kankakee County	6/14/2013	\$2409.64	7/3/2013	8	\$1205
Bourbonnais FOP Lodge 64	4/19/2013	\$1000	7/3/2013	47	\$500
Kankakee Valley Construction Company	5/29/2013	\$1000	7/3/2013	20	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2205.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1102.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

17 128-0

STATE BOARD OF ELECTIONS

13 SEP 30 AM 8:24

September 30, 2013

Illinois Board of Elections
Springfield Office
2329 S. MacArthur Blvd.
Springfield, IL 62704

Re: Citizens for John Beck fine:

To Whom It Concerns:

I'm writing in concern to the fine assessed to my political committee, Citizens for John Beck. This finding was assessed for late reports filed in April and June.

I would like to ask for a settlement in the amount of \$700. I have been an Alderman for the City of Rockford for more than 12 years and have been mostly consistent with filing my reports. I do acknowledge that I have been late with my filing on a few occasions and truly regret the inconvenience it causes the staff of the Illinois Board of Elections.

I hope that you will consider my request and I pledge my renewed commitment to filing future reports on time.

Sincerely,

A handwritten signature in black ink, appearing to read "John Beck", written in a cursive style.

John Beck
2004 Cumberland St.
Rockford, IL 61103
815-289-4787
jbeck62@comcast.net

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

September 11, 2013

ID# 15728

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for John Beck
John Beck
2004 Cumberland St
Rockford, IL 61103-4766

7011 2970 0003 6441 5563

Dear Citizens for John Beck:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/20/2013, 4 day(s) late. As such, this committee has been assessed a fine of \$300.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
10/1/2011-12/31/2011	Quarterly	\$75
1/1/2013-3/31/2013	Quarterly	\$1000
TOTAL AMOUNT NOW DUE		\$1375

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

FAX SHEET

STATE BOARD OF ELECTIONS

13 SEP 24 PM 2:45

TO: Sharon Steward

FROM: Friends For Beverly Ann Mull (ID# 22326)

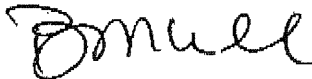
FAX Number: 217-782-5959

Dear Board Members:

The committee owes \$600.00. We are finalized and there will be no fundraising. Beverly Mull wants to be on the Primary Ballot in March 2014, as an elected Precinct Committee person.

Will you settle for \$300.00 of the civil penalty?

Sincerely,

A handwritten signature in cursive script, appearing to read "Bmull".

Beverly Mull

(847) 420-4382

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
 Illinois State Board of Elections)
 Complainant(s),)
)
 Vs.) 12 MQ 202)
)
 Friends for Beverly Ann Mull)
 Respondent(s).)

FINAL ORDER

TO: Friends for Beverly Ann Mull ID# 22326
2808 Gilead Ave
Zion, IL 60099-2406

This matter coming to be heard this 17th day of September, 2012, as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. In case number 12 MQ 202, a \$550.00 civil penalty was initially assessed against the Respondent for the delinquent filing of the March 2012 Quarterly report; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$50.00, which was stayed as a first violation, for the delinquent filing of the December 2011 Quarterly report (12 DQ 149). This assessment was not appealed, and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be denied for lack of an adequate defense.

IT IS ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$550.00 is imposed and the stay is lifted on the previously assessed penalty of \$50.00. The total amount of \$600.00 is now due and owing within 30 days of the effective date of this Order, and
3. The effective date of this Order is September 19, 2012, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 9/19/2012


William M. McGuffage, Chairman



RENO & ZAHM LLP
ATTORNEYS AND COUNSELORS

IAN K. LINNABARY
IKL@RENOZAHM.COM

October 3, 2013

**Via Email: ssandvoss@elections.il.gov
Facsimile: (217) 782-5959
and Regular U.S. Mail**

Steve Sandvoss, Esq.
Illinois State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704

Re: Citizens for Kyle Logan; Committee No. 24271

Dear Election Commissioners:

I represent Mr. Kyle Logan, the candidate supported by the above-referenced committee. Mr. Logan is a young incumbent Winnebago County Board Member. The purpose of my correspondence today is to request relief from certain fines imposed upon Mr. Logan's committee. We are hopeful that such relief will be considered by the Board at or before its October 22, 2013, meeting in Chicago, Illinois. In support of the foregoing request, I submit the following background and proposal for your consideration respectfully.

Mr. Logan has been elected to the Winnebago County Board twice since his appointment in 2007. County board elections in Winnebago County are generally low-budget affairs. In typical fashion, Mr. Logan spent very little in connection with his election activities. However, in 2012, Mr. Logan succumbed to the reality that he may have to raise and spend more than the \$3,000 amount which would trigger his obligation to file reports with the State Board of Elections. Recognizing this, he organized a committee on March 6, 2012, in anticipation of meeting the statutory threshold.

Unfortunately, thereafter, Mr. Logan missed various filing deadlines resulting in multiple fines being assessed against his committee. We recognize there is strict liability for a candidate's failure to meet the statutory filing deadlines, and therefore, we do not intend to waste the Board's time making excuses for the missed deadlines. Instead, we ask that the Board consider reducing the fines to such an amount that Mr. Logan may realistically payoff before the balance certification deadline of January 9, 2014.

In support of our plea, I ask the Board to consider the fact that Mr. Logan is a 28 year old professional with a young family and a middle management job in the banking industry. At this stage in his life and professional career, he has limited financial means. In addition, he has spent very little on his election efforts over the years. We estimate the cumulative expenditures made in connection with his multiple election efforts over the past 5 years total less than \$4,000, and his campaign account balance is presently in the \$50 range.

Mr. Logan has been a dutiful public servant during his tenure of the Winnebago County Board. He has twice been elected to office with overwhelming majorities. In view of his exemplary public service, limited campaign fundraising and spending, and limited financial means, we respectfully request that the Board consider reducing Mr. Logan's outstanding fines. We propose a compromised amount of \$2,887.50. This amount represents 25% of the total fines levied against the committee. Mr. Logan acknowledges his mistakes and takes full responsibility for them. He has learned a valuable lesson from this experience and assures the Board that he will not make the same mistakes in the future.

Thank you in advance for your time and your respectful consideration of our request.

Finally, if the Board would like to hear live testimony regarding the foregoing request, we respectfully ask that Mr. Logan be granted dispensation to do so through counsel, telephonically, at the October 22, 2013, Board meeting. Mr. Logan would like to appear himself at the meeting, but circumstances require his presence elsewhere. Mr. Logan along with various other County Board Members and the Winnebago County Chairman are defendants in a lawsuit pending in the federal court for the Northern District of Illinois, Western Division. That matter is scheduled to go to trial on the same date the Board is scheduled to meet in Chicago, October 22, 2013. Mr. Logan's presence is, obviously, required for the duration of the trial which is expected to last all of October 22, and potentially some of October 23. Therefore, his appearance, either in person or telephonically, at the Board's meeting is not possible.

Thank you for your consideration of this additional request. We look forward to hearing from you.

Sincerely,

Ian K. Linnabary

IKL:sae

cc: Mr. Kyle Logan

09388489.docx

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 24271

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Kyle Logan
14144 Prairie Commons Ln
South Beloit, IL 61080-9506

Dear Citizens for Kyle Logan:

7011 2970 0003 6441 6454

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 8/15/2013, 23 day(s) late. As such, this committee has been assessed a fine of \$1725.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2013	Multi-Assessment	\$3525
2013	Multi-Assessment	\$3900
2013	Multi-Assessment	\$1350
1/1/2013-3/31/2013	Quarterly	\$1050
TOTAL AMOUNT NOW DUE		\$11550

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

September 15, 2013

Illinois State Board of Elections

Springfield Office
2329 S. MacArthur Blvd.
Springfield, IL 62704

Dear Steve Sandviss,

I am writing to petition the SBOE for a motion to reconsider Final Order 13 DQ 242. On May 20th 2013 of this year I traveled down to the Springfield Office for a hearing in regards to a violation 12 SQ 213 . I received a favorable ruling due to electronic transfer issues with the IDIS system. At the hearing the board and counsel were more than fair in understanding the situation and I felt like the issue was resolved. The current violation is directly tied to the other violation that I received a favorable ruling on. I could not file the report because of the technical issues with IDIS. I filed a paper copy while I worked through issues with SBOE staff on IDIS matters too. The December 2012 Quarterly could not be filed electrically until the September 2012 Quarterly was filed which was ruled in my favor, hence the reason for late filing. I also left the hearing on May 20th with the last words being if I filed all reports now on time the committee would be just fine. Which I have since filed all reports in a timely manner. I feel this matter should be reconsidered due to the direct relationship to the previous favorable ruling for technical issues. It was out of my control to file and I did everything possible to resolve it including filing paper report until IDIS issue were resolved. If there was a favorable ruling on that matter, there would have to be on the other because one report could not be filed electronically without the other. I respectfully as that counsel and the Board to reconsider this order.

Sincerely,

A handwritten signature in black ink, appearing to read "Warren L. Dixon III". The signature is stylized with a large "W" and "D".

Warren L. Dixon III

Friends for Warren L. Dixon

Cc: Laura Arbold

Both Orders Attached

Laura →

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 13 DQ 242
)
Friends for Warren L Dixon)
 Respondent(s).)

FINAL ORDER

TO: Friends for Warren L Dixon ID# 24528
 1239 Chalet Rd, #100
 Naperville, IL 60563-8904

This matter coming to be heard this 20th day of August, 2013 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

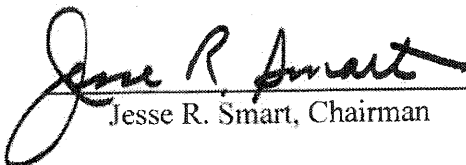
THE BOARD FINDS

1. In case number 13 DQ 242, a \$5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2012 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$1350.00, which was stayed as a first violation, for the delinquent filing of its D-1 Statement of Organization (12 D1-10). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$5000.00 is imposed and the stay is lifted on the previously assessed penalty of \$1350.00. The total amount of \$6350.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is August 22, 2013, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/22/2013


Jesse R. Smart, Chairman

Mr. Steve Sandvoss
General Counsel, State Board of Elections
2329 S. MacArthur
Springfield, Illinois 62704

Dear Mr. Sandvoss-

I am writing to you as the President and Treasurer of the Political Committee, Team Township, Committee ID #25015. This committee has been assessed fines totaling \$925. We do not wish to appeal these fines; however we are requesting consideration of a settlement on the amount due. The current balance in the committee's bank account as of today is \$497.19. This dollar amount is greater than half of the debt owed by our committee in fines, and I would like to offer the remaining balance of our account as settlement to what we owe. That being said, as the Committee Chairperson and Treasurer, I would close the bank account and would file a final report to dissolve the committee as soon as this settlement is agreed to.

I was informed that this matter will likely be discussed in a November meeting, and I look forward to hearing from you at that point. Please feel free to contact me at any time with any questions or concerns you may have.

Sincerely-

Linsey L. Sowa
Team Township Political Committee Chairperson and Treasurer
13955 S. Oak St
Homer Glen, IL 60491
(708)299-5878

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

September 11, 2013

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Team Township: Homer Twp Candidates for Supervisor, Clerk, ID# 25015

Linsey Sowa

13955 S Oak St

Homer Glen, IL 60491-7437

7011 2970 0003 6441 7048

Dear Team Township: Homer Twp Candidates for Supervisor, Clerk,:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/30/2013, 11 day(s) late. As such, this committee has been assessed a fine of \$550.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2013-3/31/2013	Quarterly	\$375
TOTAL AMOUNT NOW DUE		\$925

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

13 JQ 022

Committee to Elect Miceli

ID #959

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2013 Quarterly Report

The Report was received by the Board on July 16, 2013, 1 day late, resulting in a civil penalty assessment of \$25.

William C Miceli, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on an Appeal Affidavit the following:

On the Affidavit, Mr. Miceli states the Committee mailed the Report on July 8, 2013 in the US Mail. He adds he assumed there was not enough postage on the envelope.

The envelope did have sufficient postage on it and there was no postmark. Section 100.125(b) states in part that if the envelope containing a Quarterly Report is not timely received by the Board "*....if the political committee is assessed a civil penalty for failing to file or delinquently filing the report and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received.*" In order to be consistent with previous Board rulings where a postmark defense is raised, and since this Committee has not previously made such a claim, I recommend the appeal be granted.

(As of 9/30/13, this Committee reported a funds available balance of \$4,136.66.)



Kim Patrick – Hearing Officer
October 7, 2013

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
13 SEP 20 AM 11:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. Committee to Elect Miceli)
William C. MICELI)
Respondent(s).)

Case No. 1390022

APPEAL AFFIDAVIT

I, William C. Miceli, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to Elect Miceli
(Name of the Committee)

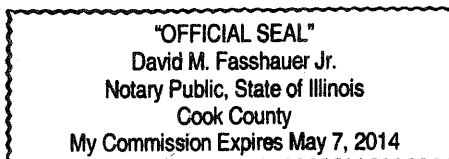
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I mailed the Report on July 8, 2013. Handling for Postage & an
Asian American women at the Park Ridge, IL Post Office, along
with a 150 piece mailing. I can only assume since this was a
different size she did not have stamps.

William C. Miceli
Signature of Chairman/Treasurer

Signed and Sworn to by:

William Miceli
before me this 18th Day of September, 2013
Notary Public [Signature]
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

Committee to Elect Miceli
William Miceli/James Wojciechowski
5157 N. Lowell
Chicago, IL 60630

ID# 959

Dear Committee to Elect Miceli:

7011 2970 0003 6441 5198

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

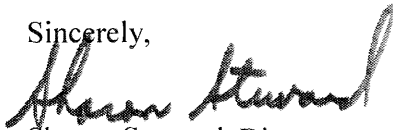
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/16/2013, 1 day late. As such, this committee has been assessed a fine of \$25.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold 217-782-1543.

Sincerely,


Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 JQ 027

Indo-American Democratic Org
Respondent

ID# 1420

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2013 Quarterly Report

The Report was received by the Board on July 24, 2013, 7 days late, resulting in a civil penalty assessment of \$700. Additionally, the Committee had previously been assessed a \$150 civil penalty (not appealed, unpaid) for delinquent filing of the March 2013 Quarterly Report, a \$50 civil penalty (not appealed, unpaid) for delinquent filing of a Schedule A-1 in the fourth quarter of 2012, a \$250 civil penalty (not appealed, paid) for delinquent filing of a Schedule A-1 in the first quarter of 2012, and a \$103 civil penalty (not appealed, paid) for delinquent filing of a Schedule A-1 in the fourth quarter of 2011. The total assessment is \$900.

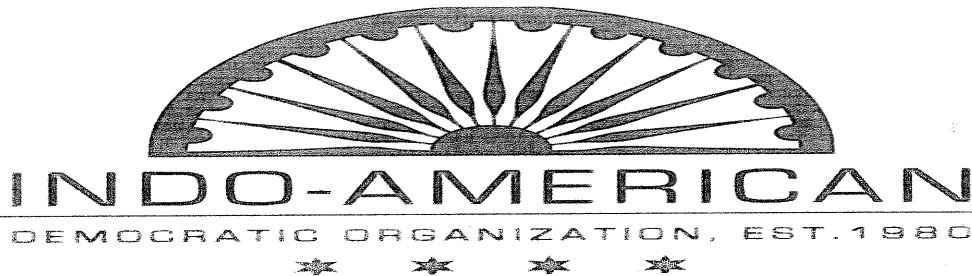
Mridu Sekhar, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Sekhar states that she was out of the country during the report filing period, but had planned to file the Report electronically using software from NGP VAN. After entering the Committee's data, she found that software was unable to properly connect to the Board. Ms. Sekhar adds that she has an Apple computer, and was therefore unable to use the Board's filing software. She says she filed the Report as soon as she returned to the country, and has now converted to the Board's web-based electronic filing system for filing future reports.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the previous \$200 in civil penalties will remain due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$34,475.20.)



Tom Newman – Hearing Officer
October 1, 2013



2013 SEP 26 PM 2:59
SECRETARY OF EL

Illinois State Board of Elections
2329 S. MacArthur Blvd
Springfield, IL 62704-4503

James R. Thomson Center
100 West Randolph Street Suite 14-100
Chicago, IL 60601-3232

Attention: Chairman Jesse R. Smart

Date : September 23 2013

Re: Complaint # 13JQ027 for Campaign # 1420

Dear Chairman Smart,

The quarterly report due between July 2 and July 15 of 2013 was delayed due to the following reason.

I was scheduled to be out of the country in Finland during this reporting window. I was comfortable that I could file this online as our committee had converted to using the software NGP VAN and had planned to use this software to file the report online.

On the weekend of the 13th and 14th I had scheduled time to do the filing with the help of NGP staff. After I had entered all the data for this filing on to NGP I was informed that NGP could not connect to the Illinois SEC software as Illinois and would be able to do so only after Illinois had converted to a new web based software! I proceeded to contact the SEC from Finland on Monday the 15th and they said that I could not do it directly without purchasing the software which was in the process of being discontinued. In any case I have an apple computer and their software was only available for the IBM computers. They advised me to file this quarters report as before at their office ! That I could connect to the web based software then this was released in august or september! I had no choice!

I proceeded to file the report upon my return the following week. Thus the delay of almost a week. I have since converted to the web based system and will be filing all reports on line and on time.

We would appeal to you that under these special circumstances you forgive us this one time.

Thank you for your consideration.

Sincerely,

Mridu Sekhar
Treasurer
1335 S. Prairie Ave #1605
Chicago, IL. 60605

State of Illinois)
County of Cook)

2013 SEP 26 PM 2:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Indo American Democratic)
Respondent(s). Organization

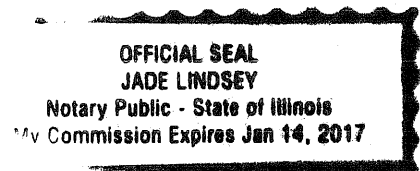
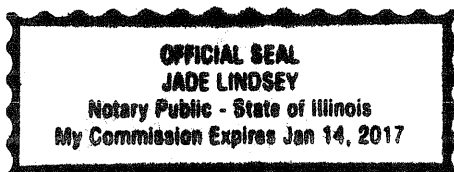
Case No. 13JG 027

WAIVER OF APPEARANCE

I, NRIDU SEKHAR, the Treasurer of the
(Name) (Chairman/Treasurer)
Indo American Democratic Organization
(Name of Committee)

Committee, appeals on behalf of the said committee the assessment of civil penalty proposed in this matter and submits in support of that appeal the accompanying affidavit. The said committee waives personal appearance before the State Board of Elections for hearing on this appeal and agrees that the State Board of Elections may enter its order with respect to this appeal in the absence of a representative of the committee.

Nridu Sekhar
(Signature of Chairman/Treasurer)



OVER

Jade Lindsey

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 1420

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Indo-American Democratic Org
c/o Mridu Sekhar Treasurer
1335 S Prairie Ave #1605
Chicago, IL 60605

Dear Indo-American Democratic Org:

7011 2970 0003 6441 5242

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/24/2013, 7 day(s) late. As such, this committee has been assessed a fine of \$700.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2013-3/31/2013	Quarterly	\$650
TOTAL AMOUNT NOW DUE		\$1350

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 JQ 034

DuPage Township Republican Organization

ID# 4678

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2013 Quarterly Report

The Report was received by the Board on July 17, 2013, 2 days late, resulting in a civil penalty assessment of \$50.

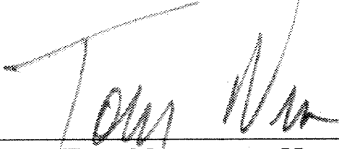
Patricia M. Stach, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Stach states that she mailed the Report from the Romeoville post office prior to 5pm on July 12. She says she was very surprised to learn the Report had arrived at the Board late, and was also surprised to hear the envelope lacked a postmark.

The envelope containing the Committee's Report was in fact missing a postmark. Section 100.125(b) of the Board's Rules and Regulations states,

"If the envelope containing the quarterly report... does not have a postmark printed by the United States Postal Service, or if the postmark is illegible, the report will either be deemed to have not been received or be deemed to have been received on the date the envelope officially arrives in the office of the State Board of Elections. However, if the political committee is assessed a civil penalty for failing to file or delinquent filing the report and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received."

Since this is the first time this Committee has raised such a defense, I recommend the appeal be granted. (As of 9/30/13, this Committee reported a funds available balance of \$51.46.)



Tom Newman – Hearing Officer
October 1, 2013

State of Illinois)
County of : Will)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 SEP 27 AM 11:41

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
DUPAGE TOWNSHIP REPUBLICAN ORGANIZATION)
Respondent(s). ID # 4678)

Case No. 1390034

APPEAL AFFIDAVIT

I, PATRICIA M. STACH, the TREASURER of the
(Name) (Chairman/Treasurer)
DUPAGE TOWNSHIP REPUBLICAN ORGANIZATION ID # 4678
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

DELIVERY OF OUR REPORT WAS MADE TO THE BOMEDVILLE POST OFFICE COUNTER
PRIOR TO 5 p.m ON JULY 12TH. I WAS VERY SURPRISED TO HEAR FROM YOUR
OFFICE THAT NO STAMP FOR TIME/DATE WAS APPLIED. I HAVE NEVER EXPERIENCE
ROUTING DIFFICULTIES WITH THE BOMEDVILLE BRANCH, AND I WAS ALSO VERY
SURPRISED THAT THIS PACKAGE ONLY WAS MARKED AS BEING RECEIVED BY YOU,
OFFICE VERY EARLY ON THE MORNING OF 7/17/2013.
CELL #- 630-253-8350

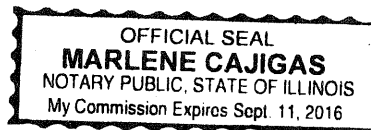
Pat M Stach
Signature of Chairman/Treasurer

Signed and Sworn to by:

PATRICIA M. STACH WHO APPEARED

before me this 24 Day of SEPTEMBER, 20 13

Notary Public
(seal)



Marlene Cajigas

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Dupage Township Republican Organization ID# 4678
c/o Trish Stach
1501 Sommerfield
Bolingbrook, IL 60490

7011 2970 0003 6441 5310

Dear Dupage Township Republican Organization:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

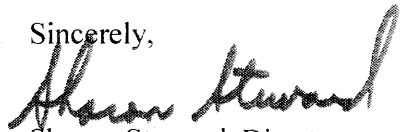
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/17/2013, 2 days late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold 217-782-1543.

Sincerely,


Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 AM 059

Citizens for Jerry Bennett

ID# 10946

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently filing a Schedule A-1 Report

The Committee received two \$1,000 contributions on 3/12/13 and reported them on a Schedule A-1 received by the Board on 3/15/13, 1 day late, resulting in a civil penalty assessment of \$1,000.

Marguerite Hodek, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Hodek states she deposited the contribution checks with the intention of filing the A-1 on time within 5 business and wasn't aware of the 2 day requirement 30 days preceding an election. She adds that this will not happen again.

The Candidate for this Committee was on the ballot for the 2013 Consolidated Election so the Schedule A-1 was due within 2 business days, therefore I must recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$100. If this recommendation is accepted by the Board, the \$100 civil penalty will be due and owing.

(As of 9/30/13, this Committee reported a funds available balance of \$49,120.83.)



Kim Patrick – Hearing Officer
October 7, 2013

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 OCT -4 AM 11:35**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Jerry Bennett)
Respondent(s).)

Case No. 13AM059

APPEAL AFFIDAVIT

I, Marguerite Hodelt, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Jerry Bennett
(Name of the Committee)

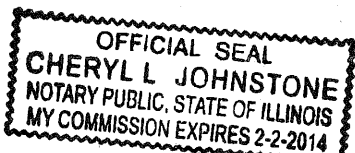
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Plea for probation

Marguerite Hodelt
Signature of Chairman/Treasurer

Signed and Sworn to by:
Cheryl L. Johnstone
before me this 1st Day of Oct, 2013

Notary Public
(seal)



citizens for **Jerry Bennett**

STATE BOARD OF ELECTIONS

13 OCT -4 AM 11:35

October 1, 2013

Ms. Sharon Steward, Director
Campaign Disclosure Division
State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704

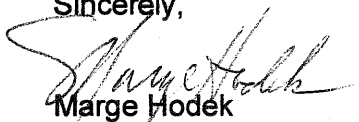
Dear Ms. Steward,

I am the Treasurer for the Citizens for Jerry Bennett and wish to appeal the penalty I have been assessed. At the bottom of my letter there is an asterisk that says I only reported the contributions on the March Quarterly, however I have enclosed a copy of my report for the A-1s filed on March 15, 2013 at 11:38:25 am listing both checks at \$1,000 each. Since the time allotted for A-1 filings was changed, I have not adjusted. Can't it just be unified to the same amount of days – no matter what?

I mean no offense by some of the things I will say. I have had over 30 years of political involvement and your Board is really the only entity that makes me nervous and sweaty. I am so anal when it comes to your reports that I can't believe I did this. I can't justify in my own mind what happened and that I did it completely unconsciously and unintentionally. I don't look for problems and I don't enjoy making mistakes especially if it means having to pay a fine for the end result with some one else's money. If I had been conscious of what was happening I either 1) would have filed within the 2 days allowed, or 2) realizing I was late, pulled the checks and filed after April 9th within the 5 days. But I did deposit the checks with the intension of filing the A-1 on time. Since neither happened correctly, you can bet it won't happen again.

I ask that you please take my ramblings into consideration as I am a responsible person and do admit to mistakes when brought to my attention. Since it is my first time, can I please ask for probation instead of the fine. Thank you so much for your time and consideration of my appeal.

Sincerely,



Marge Hodek

Treasurer

Citizens for Jerry Bennett

Cell: (708) 473-8668

Work: (708) 598-1711

Encls.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 10946

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens for Jerry Bennett
Marguerite Hodek
10313 S. Terry Dr.
Palos Hills, IL 60465

7011 2970 0003 6441 7598

Dear Citizens for Jerry Bennett:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Carey Cosentino Attorney at Law	3/12/2013	\$1000	3/15/2013	1	\$500
Neville & Mahoney	3/12/2013	\$1000	3/12/2013	1	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AJ 018

Friends of Tim Schmitz ID# 13511
Respondent

REPORT OF HEARING OFFICER

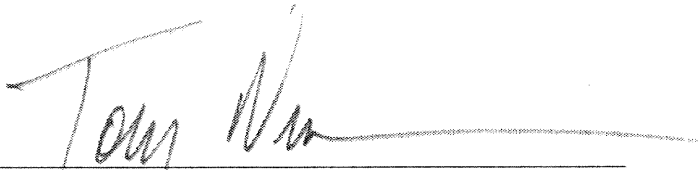
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$2,500 contribution on 5/6/13 and reported it on a Schedule A-1 received by the Board on 6/27/13, 32 days late, resulting in a civil penalty assessment of \$1,250.

Tim Schmitz, the Candidate of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Schmitz states that the wrong date was used to report the contribution. He says the Committee had received a previous contribution from the same contributor in May, and when the \$2,500 contribution was received the May date was mistakenly listed on the A-1. Mr. Schmitz says the contribution was actually received on June 27, the date the A-1 was filed. He includes a copy of the cancelled check as evidence.

Based on the evidence provided, it is apparent the contribution was in fact timely reported on a Schedule A-1. I recommend the appeal be granted. I further recommend the Committee be ordered to amend its June 2013 Quarterly Report to reflect the correct date of receipt, with said amendment to be filed within 30 days of the Final Board Order in this matter. (As of 9/30/13, this Committee reported a funds available balance of \$79,272.54.)



Tom Newman – Hearing Officer
October 1, 2013

State of Illinois)
County of : LANE)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 SEP 30 PM 1:01

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Tim Schmitz)
Respondent(s).)

Case No. 13A9018

APPEAL AFFIDAVIT

I, Tim Schmitz, the candidate of the
(Name) (Chairman/Treasurer)
Friends of Tim Schmitz
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The last check we received from Valley Ambulatory prior to their \$2500 contribution was on 5/8/2013. Unfortunately, when we entered the \$2500 check on 6/27/13, the date was not updated to reflect 6/27/2013. As you can see, we filed an A-1 for another contribution on 6/27/2013. Also, the date on Valley Ambulatory's \$2500 check is 6/21/13. This was an oversight on our part but not a late filing as we take these matters seriously.

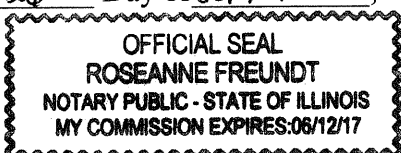
[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Roseanne Freundt

before me this 26th Day of SEPTEMBER, 2013

Notary Public
(seal)



Void after 90 days

Old Second Bank - Kane County

Wasco, IL
70-1018/719

Valley Ambulatory Surgery Cent

STATE BOARD OF ELECTIONS
2210 Dean Street
St. Charles, IL 60175

13 SEP 30 PM 1:31

Check Date

6/21/2013

Check Amount

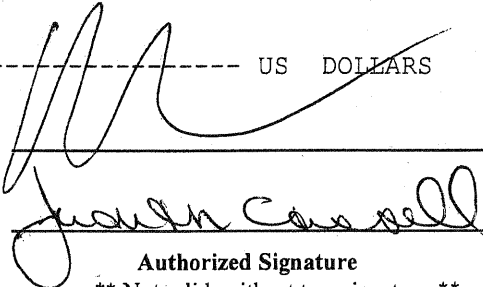
*****\$2,500.00

Two Thousand Five Hundred and 00/100-----

US DOLLARS

Pay to the
Order of

FRIENDS OF TIM SCHMIDZ
1921 West Wilson Street
Suite A
Batavia, IL 60510


Authorized Signature
** Not valid without two signatures**

ELMHURST-CHICAGO STONE CO.

400 W. First Street
P.O. Box 57
Elmhurst, IL 60126
(630) 832-4000

WEST SUBURBAN BANK
711 S. WESTMORE
LOMBARD, ILLINOIS 60148
70-2334
719

6/21/13

CHECK NO.

140396

AMOUNT PAID

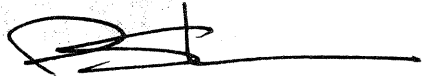

*****1,000.00

PAY EXACTLY

ONE THOUSAND ***** 00/100 DOLLARS

PAY TO THE
ORDER OF

FRIENDS OF TIM SCHMITZ
1921 WEST WILSON ST STE A
PO BOX 198
BATAVIA IL 60510


AUTHORIZED SIGNATURE

AUTHORIZED SIGNATURE

THIS DOCUMENT IS PRINTED ON SECURITY PAPER CONTAINING AN ARTIFICIAL WATERMARK ON THE BACK AND HAS A COLORED BACKGROUND ON THE FACE, NOT A WHITE BACKGROUND.

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE

Full name and complete mailing address of Political Committee

FRIENDS OF TIM SCHMITZ
1921 WEST WILSON STREET SUITE A198

BATAVIA, IL 60510

FOR OFFICE USE ONLY

STATE BOARD OF ELECTIONS

13 SEP 30 PM 1:31
POLITICAL COMMITTEE
IDENTIFICATION NO.

07024

☐ Check If An Address Change

See Pamphlet "A Guide To Campaign Disclosure" For Guidance.

This form must be filed within 5 business days after receipt of within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate, candidates, a public question, or public questions on the ballot at that election. (up through and including the day before any election) by either:

1. HAND DELIVERY - to a State Board of Elections office (see bottom of form for addresses),
2. FACSIMILE - to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records,
3. ELECTRONIC TRANSMISSION - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically. Postal service or other mail services may be used. CAUTION: such services do not guarantee that the A-1 form will be received by our office prior to the deadline. A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED. These contributions must also be reported on the next regularly Scheduled Form D-2 quarterly report, Schedule A or Schedule I.

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
Mr. And Mrs. Britt Lienau 3357 Merganzer Ct. Geneva, IL 60134	6/27/2013	\$1,000.00
Valley Ambulatory Surgery Center 2210 Dean Street St Charles, IL 60174	5/6/2013	\$2,500.00

SIGNATURE OF TREASURER OR CANDIDATE

DATE

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$5,000.00. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM

STATE BOARD OF ELECTIONS
PO BOX 4187
1020 SOUTH SPRING STREET
SPRINGFIELD, IL 62708-4187

ALL Political Committees Return To:

OR

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

Contributor Information			
Prefix	Ms.	Suffix	
First Name	Lori	Informal Salutation	Lori
Last Name	Starmann	Formal Salutation	Ms. Starmann
Company	Valley Ambulatory Surgery Center		
Work Address	2210 Dean Street		
Work Address 2			
City	St Charles	State	IL
		Zip	60174
Home Address			
Home Address 2			
City		State	IL
		Zip	
Contributor Type	Business	No Mail	<input type="checkbox"/>
Notes			

TATE BOARD OF ELECTIONS

13 SEP 30 PM 1:31

Reporting Information			
Name	Valley Ambulatory Surgery Center		
Address	2210 Dean Street		
Address 2			
City, State Zip	St Charles	IL	60174

Receipts			
Date	Amount	Event	
5/6/2013	\$2,500.00	summer 2013	
5/6/2013	\$250.00	Spring 2013	
9/4/2012	\$500.00	Golf 2012	
4/16/2012	\$250.00	Spring 2012	
10/21/201	\$250.00	Fall 2011 Event	

Donations			
Largest	\$2,500.00	YTD	\$2,750.00
Total	\$11,250.00	Prv Yr	\$750.00

FRIENDS OF TIM SCHMITZ

Yes		
Yes		
Yes	yes	
	Yes	

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 13511

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

Friends of Tim Schmitz
1921 West Wilson Street, Ste A PMB 198
Batavia, IL 60510

Dear Friends of Tim Schmitz:

7011 2970 0003 6441 8656

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Valley Ambulatory Surgery Center	5/6/2013	\$2500	6/27/2013	32	\$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1250.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 AM 066

Arab American Democratic Club

ID# 20046

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,000 contribution on 1/9/13 and reported it on a Schedule A-1 received by the Board on 1/29/13, 8 days late, resulting in a civil penalty assessment of \$500.

Miriam Zayed, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Zayed states that the apparent late filing was the result of a typographical error. She says the contribution in question was actually received on 1/28/13 and deposited the following day. She includes a copy of the cancelled check and bank records as evidence.

Based on the evidence provided, it is apparent the contribution was in fact timely reported on a Schedule A-1. I recommend the appeal be granted. I further recommend the Committee be ordered to amend its March 2013 Quarterly Report to reflect the correct date of receipt, with said amendment to be filed within 30 days of the Final Board Order in this matter. (As of 6/30/13, this Committee reported a funds available balance of \$12,439.57.)



Tom Newman – Hearing Officer
September 26, 2013

State of Illinois)
County of : Cook)

2013 SEP 19 PM 3:06
STATE OF ILLINOIS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
ARAB AMERICAN DEMOCRATIC CLUB)
Respondent(s).)

Case No. 13AM066

APPEAL AFFIDAVIT

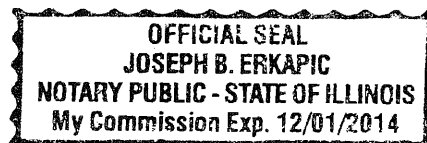
I, MIRIAM ZAYED, the TREASURER of the
(Name) (Chairman/Treasurer)
ARAB AMERICAN DEMOCRATIC CLUB
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

HPI MEDIA GROUP CHECK WAS DEPOSITED 1/29/13. OUR PERSON FILING
REPORT
THE CLERK MADE A TYPOGRAPHICAL ERROR IN RECORDING THE DATE. IT SHOULD HAVE
BEEN 1/29/13 NOT 1/9/13. I AM ATTACHING A COPY OF THE CHECK DATED 1/25/13
IT WAS RECEIVED 1/28/13, DEPOSITED IN BANK 1/29/13 & RECORDED AT THE
BOARD OF ELECTIONS 1/29/13. SORRY FOR THIS CARELESS ERROR
HOPEFULLY NO FURTHER ACTION NEEDS TO BE TAKEN

Miriam Zayed
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]
before me this 18TH Day of SEPTEMBER, 2013
Notary Public
(seal)





ARAB AMERICAN DEMOCRATIC
C/O MIRIAM ZAYED
9110 SHERI LN
ORLAND PARK IL 60462



979

IMPORTANT MESSAGE

about your Federal Benefit Checks!



You are required by the U.S. Treasury to switch from paper checks to electronic payments by **MARCH 1, 2013.**

- Ask a personal banker
- By phone (800) 333-1795
- Online at www.GoDirect.org

Last Statement Date
December 31, 2012

Current Statement Date
January 31, 2013

Pages
1 of 2

Statement Summary

Account Description	Account Number	Opening Balance	Total Credits*	Total Debits*	Ending Balance
BUSINESS CHECKING	[REDACTED]	10,751.64	1,300.22	375.44	11,676.42

*Total Credits/Total Debits do not include interest and/or Service Charge.

Statement Detail

Date	Check Number	Transaction	Credits(+)	Debits(-)	Balance
JAN 14		CARD RF#MDBA16KJK 01/13		50.12	10,701.52
		LES BROTHERS RESTA			
		OAK LAWN IL			
JAN 25	1514	CHECK		95.00	10,606.52
JAN 29		DDA DEPOSIT	1,300.00		11,906.52
JAN 30	1512	CHECK		230.10	11,676.42
JAN 31		PAYPAL VERIFYBANK	0.10		11,676.52
		ARAB AMERICAN DEMOCRAT			
JAN 31		PAYPAL VERIFYBANK	0.12		11,676.64
		ARAB AMERICAN DEMOCRAT			
JAN 31		PAYPAL VERIFYBANK		0.22	11,676.42
		ARAB AMERICAN DEMOCRAT			

CLEARED CHECKS

Check #	Date Paid	Amount	Check #	Date Paid	Amount	Check #	Date Paid	Amount
1512	JAN 30	230.10	* 1514	JAN 25	95.00			
2 check(s) cleared			Total of check(s): \$325.10			* Indicates check(s) out of sequence.		

Should you have any question

your account, please call (773) 838-3000.

FEW-101
CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE PROVISIONS
OF THE UNIFORM COMMERCIAL CODE OR ANY APPLICABLE COLLECTION AGREEMENT

DEPOSIT TICKET

ARAB AMERICAN DEMOCRATIC CLUB

10500 SOUTHWEST HIGHWAY
CHICAGO RIDGE, IL 60415

DATE 1-29-2013
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

SIGN HERE FOR CASH RECEIVED (IF REQUIRED) *




Archer Bank

Chicago, Illinois

☒ CASH

2-453-710

	1000.00
	300.00
(OR TOTAL FROM OTHER SIDE)	
SUB TOTAL	1300.00
* LESS CASH RECEIVED	
\$	1300.00

 **Convenient Community Banking**

Archer Bank
4970 S. Archer Avenue • Chicago, IL 60632
(773) 838-3000

5 1/29/2013 08:28 010-1009-1009
DDA Deposit *****9870
\$1,300.00

We offer free ATM access at
over 1,000 Chicagoland ATMs.
Ask for more details today!

MEMBER
FDIC

The transaction for which this receipt is issued is accepted subject to bank rules and regulations.

IFS 4-980-06D



MPI Media Group

16101 South 108th Avenue • Orland Park, Illinois 60467 • (708) 460-0555

OLD PLANK TRAIL
COMMUNITY BANK
Mokena, IL 60448 708-478-4447
www.oldplanktrailbank.com

70-2658/719

****ONE THOUSAND AND 0/100 DOLLARS

January 25, 2013

\$*****1,000.00

PAY
TO THE
ORDER
OF

AADC

DATE

AMOUNT

MALJACK PRODUCTIONS, INC.

MP

MIDWEST IMAGING AND DIAGNOSTIC CENTER, INC.

5702 WEST 95TH STREET, STE A
OAK LAWN, IL 60453

EZ-Check® Check Fraud
Protection for Business

2-1-710

PAY
TO THE
ORDER OF

A.A.D.C

DATE

1/23/13

\$ 300.00

Three hundred

DOLLARS

Security Features
Included.
Details on Back.

CHASE

JPMorgan Chase Bank, N.A.
www.Chase.com

FOR

MP

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.
Political Action 20046

Arab American Democratic Club
9110 Sheri Lane
Orland Park, IL 60462

ITEMIZED RECEIPTS	RECEIPT	DATE	AMOUNT OF
	TYPE	RECEIVED	EACH RECEIPT
FULL NAME, MAILING ADDRESS, AND ZIP CODE			
Individual Contribution			
MPI Media Group 16101 S 108 Ave Orland Park, IL 60467		1/9/2013	\$1,000.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

*Should have been
1/29/13*

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

Arab American Democratic Club
Miriam Zayed
9110 Sheri Ln
Orland Park, IL 60462-2179

ID# 20046

7011 2970 0003 6441 7666

Dear Arab American Democratic Club:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
MPI Media Group	1/9/2013	\$1000	1/19/2013	8	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 JQ 091

Citizens to Elect Dan Decker ID# 20305
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent filing of the
June 2013 Quarterly Report

The Report was received by the Board on July 22, 2013, 5 days late, resulting in a civil penalty assessment of \$250. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, stayed) for delinquent filing of the March 2013 Quarterly Report. The total assessment is \$325.

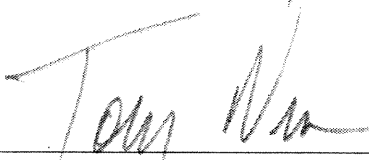
Daniel S Decker, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Decker states that he mailed the Report on July 9 and was surprised to get notification from the Board that it had not been received. He immediately phoned, then faxed a copy of the Report. Mr. Decker says he does not know what happened to the original mailing, as it has never been returned to him nor received by the Board.

Section 100.125(b) of the Board's Rules and Regulations states in part,

"If the envelope containing the quarterly report is not received by the Board...the report will either be deemed to have not been received or be deemed to have been received on the date the envelope officially arrives in the office of the State Board of Elections. However, if the political committee is assessed a civil penalty for failing to file or delinquent filing the report and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received."

Since this is the first time the Committee has made such a claim, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$75 civil penalty. (As of 6/30/13, this Committee reported a funds available balance of \$296.12.)



Tom Newman – Hearing Officer
September 25, 2013

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 SEP 19 PM 2:19

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Citizens to Elect Dan Decker)
Respondent(s).)

Case No. 1390091
#20305

APPEAL AFFIDAVIT

I, Daniel S. Decker, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens to Elect Dan Decker

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I sent the Campaign Disclosure form on July 9, 2013. I was surprised
to receive notification from Campaign Disclosure on approximately 7/22/2013,
stating my documentation wasn't received. I immediately phoned, then
faxed a copy to the office. It was sent in the envelope provided
by the Campaign Disclosure office. I don't know what happened to
the envelope, as it has not been returned
to my residence. I had been late one time
in the past, and take full responsibility for
that error. But, in this case, I did
meet all deadlines. In the future, I
will send through certified mail.

Daniel S. Decker
Signature of Chairman/Treasurer

Signed and Sworn to by:

Carrie Hove

before me this 16th Day of SEPTEMBER, 2013

Notary Public
(seal)
"OFFICIAL SEAL"
Carrie Hove
Notary Public, State of Illinois
My Commission Expires 3/29/2015

Thank You!

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013
ID# 20305

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Dan Decker
100 Clover Ave
East Peoria, IL 61611-4607

Dear Citizens to Elect Dan Decker:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/22/2013, 5 day(s) late. As such, this committee has been assessed a fine of \$250.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2013-3/31/2013	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$325

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AJ 032

Friends of Jim Oberweis ID# 23861
Respondent

REPORT OF HEARING OFFICER

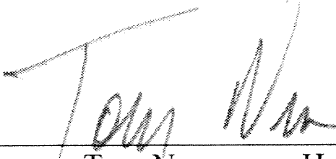
Appeal of Civil Penalty Assessment for Delinquent filing of a Schedule A-1 Report

The Committee received a \$2,000 contribution on 5/17/13 and reported it on a Schedule A-1 received by the Board on 6/17/13, 15 days late, resulting in a civil penalty assessment of \$1,000.

Shari Martin, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Martin states that the apparent late filing was the result of a typographical error. She says the contribution in question was actually received and deposited on 6/17/13, rather than 5/17/13. She includes a copy of the cancelled check as evidence.

Based on the evidence provided, it is clear the correct date of receipt for the contribution was 6/17/13, and therefore the A-1 was timely filed. I recommend the appeal be granted. I further recommend the Committee be ordered to amend its June 2013 Quarterly Report to reflect the correct date of receipt, with said amendment to be filed within 30 days of the Final Board Order in this matter. (As of 6/30/13, this Committee reported a funds available balance of \$16,236.43.)



Tom Newman – Hearing Officer
September 25, 2013

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 SEP 23 PM 4:08**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13A9032
)
Friends of Jim Oberweis)
Respondent(s).)

APPEAL AFFIDAVIT

I, Shari Martin, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Jim Oberweis
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The apparent late filing of Schedule A-1 was due to a typographical error.
The \$2000 contribution from Richard Pepper was received and deposited on
6/17/13, not 5/17/13 as erroneously reported. A copy of the front and back
of the canceled check is attached, verifying deposit on 6/17/13.

Shari Martin
Signature of Chairman/Treasurer

Signed and Sworn to by:

Brenda Dominguez

before me this 17 Day of September, 2013

Notary Public
(seal)



RICHARD S PEPPER

UTD 5-8-96

78 DUNDEE LN.
BARRINGTON, IL 60010-5106

2-2566/710

~~DATE~~ 6-15-2013

Pay to the order of

Friends of Jim Overwey

\$ 2000.00

Two thousand no 00/100

~~DATE~~ 6-15-2013

Security Features Included. Details on Back.

BMO  Private Bank

BMO Harris Bank N.A.
Chicago, Illinois

Richard S. Pepper

MP

ANTIQUE

First State Bank
20 56

Security Features:
• MicroPrint Line
• Invisibly Sensitive Ink
• Security Screen

DA 120 TX 56 05/17/1

Results of document alteration:
• MP Small type in two sizes vs. its dotted line when enlarged
• Stamps or spots may appear with chemical alteration
• Absence of "Original Document" watermark on back of check

DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE
RESERVED FOR FINANCIAL INSTITUTION USE *

ENDORSE HERE
Jim Overwey
480-409

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 23861

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

Friends of Jim Oberweis
Jim Oberweis
951 Ice Cream Drive
North Aurora, IL 60542

7011 2970 0003 6440 6752

Dear Friends of Jim Oberweis:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Richard Pepper	5/17/2013	\$2000	6/17/2013	15	\$1000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 JQ 167

Taxpayers for Dave Ehrlich Committee

ID# 24347

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2013 Quarterly Report

The Report was received by the Board on July 16, 2013, 1 day late, resulting in a civil penalty assessment of \$75. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, paid) for delinquently filing the March 2013 Quarterly report, and a \$25 civil penalty (not appealed, paid) for delinquently filing the June 2012 Quarterly report. The total assessment is \$75.

Dave Ehrlich, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ehrlich states the Committee attempted to use the IDIS filing software before the deadline. IDIS would not allow the report to be filed due to software errors. The Committee has attached a copy of the screenshot listing the errors.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted.

(As of 6/30/13, this Committee reported a funds available balance of \$189.30.)



Kim Patrick – Hearing Officer
October 8, 2013

State of Illinois)
)
County of: _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 OCT -8 AM 8:10**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
TAXPAYERS FOR DAVE EHRLICH)
COMMITTEE)
Respondent(s).)

Case No. 1390167

APPEAL AFFIDAVIT

I, DAVID EHRLICH, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
TAXPAYERS FOR DAVE EHRLICH COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

AS THE ATTACHED SCREEN SHOTS SHOW, THE COMMITTEE - FOR WHICH
THERE WAS NO ACTIVITY DURING THE REPORTING PERIOD - ATTEMPTED
TO USE IDIS TO FILE BEFORE THE DEADLINE. HOWEVER, IDIS DID NOT
ALLOW THE REPORT TO BE FILED DUE TO IDIS SOFTWARE ERRORS (SEE
ATTACHMENT). THE REPORT WAS FILED ON PAPER AND THE COMMITTEE
THEN SOUGHT TO BE A BETA TESTER FOR IDIS V. 3 ONLINE, SINCE IT
WOULD BE LESS PRONE TO SOFTWARE GLITCHES.
THAT REQUEST WAS GRANTED BY THE BOARD

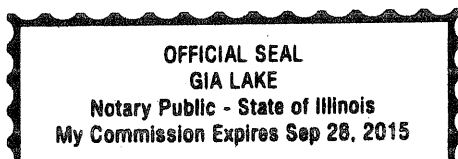
D. B. Gunt
Signature of Chairman/Treasurer
D. B. Gunt

Signed and Sworn to by:

David Ehrlich

before me this 3 Day of October, 2013

Notary Public
(seal)



Errors

Source	Message	StackTrace
ReportList.Validate	Report validation failed.	at ReportList.ValidateReport(Int32 vintID) at ReportList.mnuValidateReport_Click(Object sender, EventArgs e)
IDISReporting	Could not load file or assembly 'CrystalDecisions.Version=10.5.370 Culture=neutral, PublicKeyToken=	at IDIS.ReportProcessing..ctor() at ReportList.ValidateReport(Int32 vintID)

Please explain what you were doing when the error occurred

Email To IT

Close

Errors

Source	Message	StackTrace
Committees.Refresh	The search failed	at IDIS.Committees.Refresh(Int32 vintID) at IDISView.mnuFileOpenRptList_Click(0 at System.Data.SqlClient.SqlInternalConn exception, Boolean breakConnection) at System.Data.SqlClient.TdsParser.Throw stateObj) at System.Data.SqlClient.TdsParser.Run(runBehavior, SqlCommand cmdHandler, SqlDataReader dataStream, BulkCopySimpleResultSet bulkCopyHandler.

Please explain what you were doing when the error occurred

Email To IT

Close

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 24347

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Taxpayers for Dave Ehrlich Committee
512 N McClurg Ct, Apt 1206
Chicago, IL 60611-4150

7011 2970 0003 6441 6621

Dear Taxpayers for Dave Ehrlich Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/16/2013, 1 day(s) late. As such, this committee has been assessed a fine of \$75.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 MA 049

Citizens for Denise Moore ID# 24573
Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2013 Quarterly Report and Failure to File a Schedule A-1 Report

The March Quarterly Report was received by the Board on 4/18/13, 3 days late, resulting in a civil penalty assessment of \$300. The Committee received a \$1,000 contribution on 1/31/13 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, stayed) for delinquent filing of the December 2012 Quarterly Report. The total assessment is \$825.

Denise Moore, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Moore states that the person who had prepared the Committee's reports became ill and suffered a stroke during the last filing period. In regards to the missing Schedule A-1, she says confusion about the Committee's records resulted in a single contribution being reported twice, with two different dates. Two A-1s were filed on paper, on 3/4/13 and 3/6/13, showing the same \$1,000 contribution from PAC34 IBEW on 3/1. But when the data was entered for electronic filing of the Quarterly Report, that contribution was listed twice, with dates of 1/31 and 3/1. She says only the 3/1 contribution was actually received, and she includes copies of the Committee's bank records to verify this.

The evidence presented confirms that only a single contribution was received, and it was reported timely. I recommend the appeal be granted in regards to the A-1 filing. As for the delinquent Quarterly Report, I recommend the appeal be denied for lack of an adequate defense. If these recommendations are accepted by the Board, the stay would be lifted from the previous \$25 civil penalty, and the total assessment of \$325 will be due and owing. I further recommend the Committee be ordered to amend its March 2013 Quarterly Report to remove the duplicate contribution entry, with said amendment to be filed within 30 days of the Final Board Order in this matter. (As of 9/30/13, this Committee reported a funds available balance of \$4,459.02.)



Tom Newman – Hearing Officer
October 8, 2013

State of Illinois)
County of Peoria)

STATE BOARD OF ELECTIONS

13 OCT -8 AM 9:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Denise Moore)
Respondent(s).)

Case No. 13MA049

APPEAL AFFIDAVIT

I, Denise Moore, the Chairman/Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Denise Moore
(Name of the Committee)

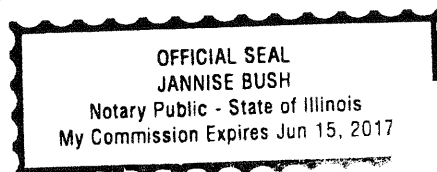
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We did not receive the \$1000 contribution in question . The person who entered data into IDIS. That person became ill and suffered a stroke during the last filing period. She and I asked Norma Goodale to help with data entry and filing. Norma did and listed herself as the submitter for my last qtr. report ending 6/30/2013. Norma updated the IDIS software from version 2.0 to web based IDIS 3. During the conversion it was unclear as to the beginning balance and as to what entries of contributions had been made. Especially since 2 different A1's were filed on 2 different 1,000 dollar contributions. The contribution in question,(PAC 34,IBEW) for \$1000.Had an A1 filed twice Mar.4,@8:00 am,and Mar.6,@2:54pm..There was not 2.only one. The input operator thought that they were 2 different contributions. They were not. There were other entries which appeared to be duplicates but were not. As soon as we realized what had occurred,we discussed the situation with the Election commission expressing our confusing and filing amendments to try and resolve the duplicates. We spoke with Mr.Newman and one other person. We were encouraged to continue correcting the filings.Documents are attached for futher explanation.

Signed and Sworn to by:

Denise Moore
before me this 7th Day of
OCTOBER, 2011-2013 BS
Jannise Bush
Notary Public

Denise Moore
(Signature of Chairman/Treasurer)





2119 S.W. ADAMS, PEORIA, IL 61605
(309)676-0521 • www.southsidebank.com

STATE BOARD OF ELECTIONS

Page: 1 of 2

13 OCT -8 AM 9:26

02/28/2013

CITIZENS FOR DENISE MOORE
DENISE MOORE
723 W SPRING HOLLOW LN
PEORIA IL 61605-2289

CYCLE-001

*** CHECKING *** UNINCORP NON BUS

Enclosures 6

Previous balance on February 01, 2013
Plus Deposits and Credits: 3
Less Checks and Debits: 8
Fees
Current Statement Balance as of February 28, 2013
Number of days in this statement period: 28

\$ 1,678.19
+ 11,290.13
- 2,446.81
- 0
\$ 10,521.51

Check Transactions

Serial	Date	Amount
1015	02/08	300.00
1016	02/22	342.00
1017	02/15	1,395.00

Serial	Date	Amount
1018	02/26	40.00
1019	02/22	18.40
1023*	02/28	250.00

Account Transactions

Date Description

02/04 DEPOSIT
02/14 DEPOSIT
02/19 DEPOSIT
02/21 AC-OFFICEMAX 0941 -PURCHASE
CITY-EAST ST-IL CK-000001020
NUMBER 0000001020
02/25 AC-OFFICEMAX 0941 -PURCHASE
CITY-EAST ST-IL CK-000001022
NUMBER 0000001022

DEBITS

63.16

38.25

CREDITS

3,820.13

1,470.00

6,000.00

Balance By Date

Date	Balance	Date	Balance	Date	Balance	Date	Balance
01/31	1,678.19	02/04	5,498.32	02/08	5,198.32	02/14	6,668.32
02/15	5,273.32	02/19	11,273.32	02/21	11,210.16	02/22	10,849.76
02/25	10,811.51	02/26	10,771.51	02/28	10,521.51		

Summary of Overdraft and Returned Item Fees

:	:	TOTAL FOR	:	TOTAL	:
:	:	THIS PERIOD	:	YEAR-TO-DATE	:
:	:	TOTAL OVERDRAFT FEES	:	.00	:
:	:	TOTAL RETURNED ITEM FEES	:	.00	:



2119 S.W. ADAMS, PEORIA, IL 61602
(309)676-0521 • www.southsidebank.com

13 OCT -8 AM 9:26

Page: 1 of 3

03/31/2013

CITIZENS FOR DENISE MOORE
DENISE MOORE
723 W SPRING HOLLOW LN
PEORIA IL 61605-2289

CYCLE-001

*** CHECKING *** UNINCORP NON BUS

Enclosures 10

Previous balance on March 01, 2013
Plus Deposits and Credits: 2
Less Checks and Debits: 17
Fees
Current Statement Balance as of March 31, 2013
Number of days in this statement period: 31

\$ 10,521.51
+ 2,975.00
- 3,390.96
- 0
\$ 10,105.55

Check Transactions

Serial	Date	Amount
1021	03/04	100.00
1024*	03/05	30.00
1026*	03/12	257.72
1027	03/15	300.00
1028	03/12	193.14

Serial	Date	Amount
1029	03/19	100.00
1030	03/18	136.05
1031	03/22	107.75
1037*	03/26	921.90
1039*	03/27	906.82

Account Transactions

Date	Description
03/04	DEPOSIT
03/06	AC-OFFICEMAX 0941 -PURCHASE CITY-EAST ST-IL CK-000001025 NUMBER 0000001025
03/12	DEPOSIT
03/19	AC-ECA DOLLAR TREE -PURCHASE CITY-PEOR ST-IL CK-000001032 NUMBER 0000001032
03/21	AC-OFFICEMAX 0941 -PURCHASE CITY-EAST ST-IL CK-000001033 NUMBER 0000001033
03/21	AC-OFFICEMAX 0941 -PURCHASE CITY-EAST ST-IL CK-000001034 NUMBER 0000001034
03/22	AC-OFFICEMAX 0941 -PURCHASE CITY-EAST ST-IL CK-000001035 NUMBER 0000001035
03/22	AC-OFFICE DEPOT -PURCHASE CITY-PEOR ST-IL CK-000001036 NUMBER 0000001036
03/26	AC-OFFICE DEPOT -PURCHASE CITY-PEOR ST-IL CK-000001038 NUMBER 0000001038

DEBITS

63.41
21.65
9.17
69.90
42.06
72.97
58.42

CREDITS

1,325.00
1,650.00

see attached

Balance By Date

Date	Balance	Date	Balance	Date	Balance
------	---------	------	---------	------	---------



2119 S.W. ADAMS, PEORIA, IL 61602
(309)676-0521 • www.southsidebank.com

13 OCT -8 AM 9:27

Page: 1 of 2

01/31/2013

CITIZENS FOR DENISE MOORE
DENISE MOORE
723 W SPRING HOLLOW LN
PEORIA IL 61605-2289

CYCLE-001

*** CHECKING *** UNINCORP NON BUS

Enclosures 4

Previous balance on January 01, 2013
Plus Deposits and Credits: 1 -
Less Checks and Debits: 5
Fees
Current Statement Balance as of January 31, 2013
Number of days in this statement period: 31

\$	1,597.18
+	1,385.00
-	1,303.99
-	0
\$	1,678.19

Check Transactions

Serial	Date	Amount	Serial	Date	Amount
1011	01/08	32.00	1013	01/11	107.45
1012	01/17	1,000.00	1014	01/17	100.00

Account Transactions

Date Description

01/04 AC-OFFICEMAX 0941 -PURCHASE
CITY-EAST ST-IL CK-000001010
NUMBER 0000801010

01/16 DEPOSIT

DEBITS

64.54

CREDITS

1,385.00

Balance By Date

Date	Balance	Date	Balance	Date	Balance	Date	Balance
12/31	1,597.18	01/04	1,532.64	01/08	1,500.64	01/11	1,393.19
01/16	2,778.19	01/17	1,678.19				

Summary of Overdraft and Returned Item Fees

:	:	TOTAL FOR	:	TOTAL	:	TOTAL FOR	:
:	:	THIS PERIOD	:	YEAR-TO-DATE	:	PREVIOUS YEAR	:
:	:	TOTAL OVERDRAFT FEES	:	.00	:	.00	:
:	:	TOTAL RETURNED ITEM FEES	:	.00	:	.00	:

STATE BOARD OF ELECTIONS

SCHEDULE A-1**REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE**

Full name and complete mailing address of Political Committee:

Citizens For Denise Moore
P.O. Box 163
Peoria, IL 61650FOR OFFICE USE ONLY
13 MAR -4 AM 8:00POLITICAL COMMITTEE
IDENTIFICATION No.☐ CHECK IF AN ADDRESS CHANGE 24573 06**SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.****Note: Schedule A-1 reporting requirements now apply throughout the calendar year.**

This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. **HAND DELIVERY** - to a State Board of Elections office (see bottom of form for addresses),
2. **FACSIMILE** - to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records,
3. **ELECTRONIC TRANSMISSION** - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used. **CAUTION:** such services do not guarantee that the A-1 form will be received by our office prior to the deadline. **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE I.

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
PAC-34 IBEW Local Union 34 400 NE Jefferson Ave Peoria, IL 61603	03/01/2013	\$ 1,000.00
		\$
		\$
		\$
		\$



03/03/2013

SIGNATURE OF TREASURER OR CANDIDATE

DATE

Name and address of person submitting this report if other than the committee's chairman or treasurer:

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 79-1161. DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd.
SPRINGFIELD, IL 62706-4187

ALL POLITICAL COMMITTEES RETURN TO:

OR

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

REVISED 1/1/11

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE

Full name and complete mailing address of Political Committee:

Citizens For Denise Moore
P.O. Box 163
Peoria, IL 61650

FOR OFFICE USE ONLY

STATE BOARD OF ELECTIONS

13 MAR -6 PM 2:54

POLITICAL COMMITTEE
IDENTIFICATION No.

☐ CHECK IF AN ADDRESS CHANGE 24573 06

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

Note: Schedule A-1 reporting requirements now apply throughout the calendar year.

This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. **HAND DELIVERY** - to a State Board of Elections office (see bottom of form for addresses),
2. **FACSIMILE** - to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records,
3. **ELECTRONIC TRANSMISSION** - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used. **CAUTION:** such services do not guarantee that the A-1 form will be received by our office prior to the deadline. **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE I.

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
PAC-34 IBEW Local Union 34 400 NE Jefferson Ave Peoria, IL 61603	03/01/2013	\$ 1,000.00
		\$
		\$
		\$
		\$


SIGNATURE OF TREASURER OR CANDIDATE

03/03/2013

DATE

Name and address of person submitting this report if other than the committee's chairman or treasurer:

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183, DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$5,000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd.
SPRINGFIELD, IL 62708-4187

ALL POLITICAL COMMITTEES RETURN TO:

OR

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST. STE 14-100
CHICAGO, IL 60601-3232

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

REVISED 1/1/11

13 OCT -8 AM 9:26

Citizens for Denise Moore-Summary of Bank Statements

Support Document, Deposit Activity regarding contributions over 1000.00 for which an A1 was required.

- Citizens for Denise Moore filed a D1 Statement of Organization with a Date Committee was created 9/28/2012. Amount of funds available were \$2550.00. (included \$2000.00 Cornish-Kelly Check)
- Did not have to file at that time but did.
- **9/30/2012 opening bank balance 9/17/2012 was 0**
- 1 contribution of \$2000.00 the Cornish-Kelly Check was made during the monthwith miscellaneous contributions amounting to \$550.31. The \$2000.00 check was from Dorothy Cornish and Kelly Robbins of Peoria and was later listed as a receipt (D2 rpt. filed 12/10/2012.)
- **Bank Statement 10/31/2012.** 1 deposit of \$100.00
- **Bank Statement 11/30/2012** No deposits.
- **Bank Statement 12/31/2012** \$475.00 total deposits made.
- **Bank Statement 1/31/2013** 1,385.00, 25 individual contributions (copies of checks verified) - no amount 1000.00 or over
- **Bank Statement 2/28/2013** Deposits & Credits 11,290.13 of which there were the following amounts of 1000.00 or more reported on A1's:
Laborers' I.U. of North America, #165 PAC, \$1000.00
IUOE Local 649 PAC, \$4000.00.
Business PAC of Central Illinois, \$2000.00
- **Bank Statement 3/31/2013.** Deposits of 2975.00 of which the following amounts of 1000.00 were reported on A1's.
Peoria Firefighters Local 50 \$1000.00

PAC 34, IBEW Local Union 34, \$1000.00
- **Bank Statement 4/30/2013** Deposits, 2325. There were no contributions 1000.00 or over.
- **Bank Statement 5/31/2013** Deposit 1 at \$100.00
- **Bank statement 6/30/2013** No deposits.

How we plan to correct the error.

Find the error, file an amendment. With the Quarterly report. Third Qtr. 2013.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens for Denise Moore
PO Box 163
Peoria, IL 61650

ID# 24573

7011 2970 0003 6441 8298

Dear Citizens for Denise Moore:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March 2013 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 4/18/2013, 3 day(s) late. As such, this committee has been assessed a fine of \$300.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
PAC-34 IBEW Local Union	1/31/2013	\$1000	*not filed	110	\$500

The committee is fined a **total** of \$500.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 MQ 253

Committee to Elect Michael Harner
Respondent

ID# 25087

REPORT OF HEARING OFFICER

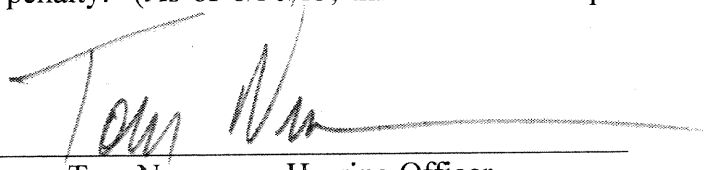
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2013 Quarterly Report

The Report was received by the Board on May 24, 2013, 29 days late, resulting in a civil penalty assessment of \$1,450. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 in the first quarter of 2013. The total assessment is \$1,550.

Michael D. Harner, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Harner states that he originally filed the Report via fax, but was notified that the Committee was required to file electronically. He says he was unable to do so from home, because the electronic filing software required a pc computer, which he does not own, and he was similarly unable to file from work. Mr. Harner says he downloaded the software on his parents' computer, used it to enter his Committee's information and thought he had properly submitted the Report electronically. After receiving notice that the Report had not in fact been filed, he called Board staff for assistance and was only then able to file the Report.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$100 civil penalty. (As of 6/30/13, this Committee reported a funds available balance of \$422.64.)



Tom Newman – Hearing Officer
October 2, 2013

State of Illinois)
County of: Winnebago)

STATE BOARD OF ELECTIONS

13 SEP 26 PM 1:29

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee to Elect Michael Harner)
Respondent(s).)

Case No. 13MQ253
CSA000040

APPEAL AFFIDAVIT

I, Michael D. Harner, the Treasurer of the
(Name) (Chairman/Treasurer)
Committee to Elect Michael Harner (ID# 25087)
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I filed the original paper work via fax and was notified that due to the fact that the committee had received more than \$10,000 I was required to file the paper electronically. During this time frame the only method of filing the electronic was through pc computer. I do not have a pc at my home and cannot use a computer through my employer. I downloaded the software by using my parent's computer, entered the information, and thought I had properly submitted the reports electronically. Upon receiving notice the reports had not been filed I called and spoke with a gentlemen who instructed me through properly logging in and submitting the information properly. This was clearly done after the prescribed deadline. This committee was formed for the purpose of running for a school board position in school district 205 in Rockford, Illinois. Since my position is a volunteer position and all of the appropriate information was submitted I am appealing for relief or at least reduction of the fine. I thought I had properly submitted the information, but it was not clear to me that I hadn't. Thank you.

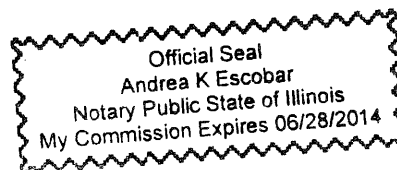
[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Michael Harner

before me this 24 Day of September, 2013

Notary Public Andrea K Escobar
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Committee to Elect Michael Harner
1643 N Alpine Rd, Ste 104, Box 107
Rockford, IL 61107-1464

ID# 25087

7011 2970 0003 6441 8359

Dear Committee to Elect Michael Harner:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 5/24/2013, 29 day(s) late. As such, this committee has been assessed a fine of \$1450.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2013	A-1	\$100
TOTAL AMOUNT NOW DUE		\$1550

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

-Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AM 106

Citizens for Rauner, Inc
Respondent

ID# 25185

REPORT OF HEARING OFFICER

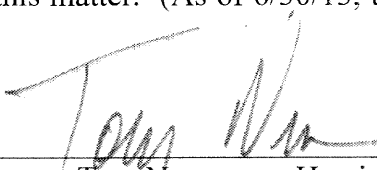
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$5,300 contribution on 3/10/13 and reported it on a Schedule A-1 received by the Board on 3/18/13, one day late, resulting in a civil penalty assessment of \$2,650. Additionally, the Committee was previously assessed a \$315 civil penalty (not appealed, paid) for delinquent filing of two Schedule A-1s in the first quarter of 2013. The total assessment is \$2,650.

James Schultz, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Schultz states that the contribution was not reported late. He includes copies of the Committee's bank records as evidence.

The bank records show the contribution in question was part of a deposit apparently made by the Committee on 3/14/13 that was posted to the bank account on 3/21/13. Since date of receipt is defined as the date a contribution is deposited into a committee's bank account or other repository of funds, the contribution was timely reported. I recommend the appeal be granted. However, I also recommend the Committee be ordered to amend its March 2013 Quarterly Report to reflect the correct date of receipt, with said amendment to be filed within 30 days of the Final Board Order in this matter. (As of 6/30/13, this Committee reported a funds available balance of \$642,001.23.)



Tom Newman – Hearing Officer
October 9, 2013

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS

13 OCT -8 PM 3:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Rauner, Inc.)
Respondent(s).)

Case No. 13AM106

APPEAL AFFIDAVIT

I, James Schultz, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Rauner, Inc.
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The attached documentation plainly shows that
the contribution at issue was not reported late.
Please dismiss the finding of delinquency and the
pending fine. Thank you.

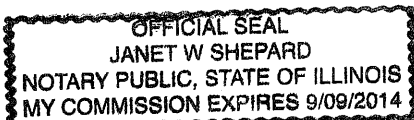
James M. Schultz
Signature of Chairman/Treasurer

Signed and Sworn to by:

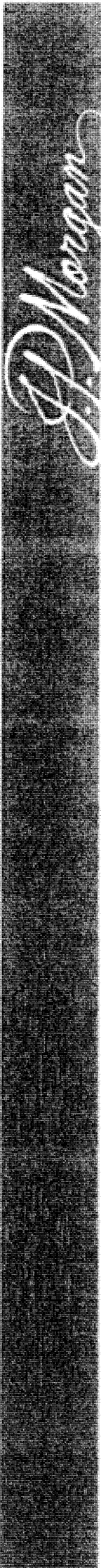
James Schultz

before me this 7th Day of October, 2013

Notary Public
(seal)



Janet W. Shepard



BRUCE RAUNER EXPLORATORY COMMITTEE

For the Period 3/5/13 to 3/29/13

Deposits & Credits

Date	Description	Amount
03/06	Deposit 1071517917	10,250.00
03/11	Deposit 1170820989	10,600.00
03/12	Fedwire Credit Via: Wells Fargo Bank/121000248 B.O. Priy Inc Austin TX 78701-1671 Ref: Chase Nyc/Cir Bnl=Bruce Rauner Exploratory Committee Chicago IL 60661-7513/Ac-000000004781 Rfb=000000000 Bnl=Bnp Morgan Chase Bank Inad: 031211570318021335 Trn: 3089109071FI	82,760.91
03/12	Stop Chk 2699367 Dtd 03/07/2013 Payable To Back Digital Media, LLC	5,000.00
03/15	Deposit 1170681888	52,800.00
03/20	Rally/Priy ACH 888-648-2220 PPD ID: 5330903620	3,770.00
03/21	Deposit 1151737852	79,600.00
03/21	Deposit 80334	6,550.00
03/21	Rally/Priy ACH 888-648-2220 PPD ID: 5330903620	42,506.75
03/22	Deposit 1170641615	50.00
03/22	Rally/Priy ACH 888-648-2220 PPD ID: 5330903620	11,498.50
03/25	Rally/Priy ACH 888-648-2220 PPD ID: 5330903620	23,326.87
03/26	Deposit 1162123443	77,800.00
03/26	Rally/Priy ACH 888-648-2220 PPD ID: 5330903620	15,457.00
03/27	Deposit 1151795198	27,900.00
03/27	Rally/Priy ACH 888-648-2220 PPD ID: 5330903620	38,359.75
03/28	Fedwire Credit Via: Bmo Harris Bank N.A./071000288 B.O. Joseph J Ritchie Naperville, IL 60563 Ref: Chase Nyc/Cir Bnl=Bruce Rauner Exploratory Committee Chicago IL 60661-7513/Ac-000000004781 Rfb=O B Bmo Harris B Obj=Joe Ritchie Contribmad: 0328610g750C005624 Trn: 6435909087FI	5,300.00
03/28	Internal Transfer of Funds As Requested	249,000.00
03/28	Rally/Priy ACH 888-648-2220 PPD ID: 5330903620	45,051.50
03/28	Merche-Solutions Merch Dep 000941000114537 CCD ID: Wlmsmes001	5.00
03/29	Deposit 1151856088	50,020.00
03/29	Deposit 1161754700	28,650.00

STATE BOARD OF ELECTIONS

13 OCT -8 PM 3:16

J.P. Morgan

13 OCT -8 PM 3:16

Payment details for 3/14/2013

	Number of Records	Amount	Transaction Fees	Settled Amount
Donations	7	\$45,100.00	\$2,593.25	\$42,506.75
Refunded Donations	0	\$0.00	\$0.00	\$0.00
Total Donations	7	\$45,100.00	\$2,593.25	\$42,506.75
Deposited Amount				<u>\$42,506.75</u>

Donations for this payment (7)

Donor	Campaign	Amount	Date
<u>Glen Tullman</u>	<u>Donate to Bruce...</u>	\$5,300.00	3/10/2013
<u>Joshua Gray</u>	<u>Donate to Bruce...</u>	\$200.00	3/11/2013
<u>Boland Jones</u>	<u>Donate to Bruce...</u>	\$2,500.00	3/11/2013
<u>Sidney Herman</u>	<u>Donate to Bruce...</u>	\$5,300.00	3/11/2013
<u>martin cole</u>	<u>Donate to Bruce...</u>	\$10,600.00	3/11/2013
<u>Christopher Roberts</u>	<u>Donate to Bruce...</u>	\$10,600.00	3/11/2013
<u>Lee Shapiro</u>	<u>Donate to Bruce...</u>	\$10,600.00	3/11/2013

Refunded Donations for this payment (0)

Donor	Campaign	Amount	Date
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STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

Citizens for Rauner, Inc
601 S LaSalle St, Ground floor
Chicago, IL 60605

ID# 25185

CORRECTED ASSESSMENT

7011 2970 0003 6442 2479

Dear Citizens for Rauner, Inc:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Glen Tullman	3/10/2013	\$5300	3/18/2013	1	\$2650

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2650.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$265.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 JQ 001

Greene County Republican Central Committee

ID# 22

Respondent

REPORT OF HEARING OFFICER

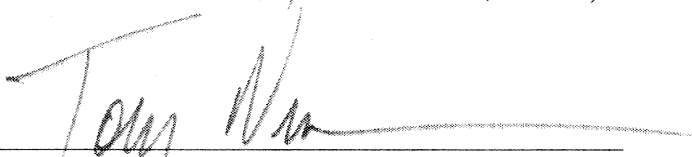
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2013 Quarterly Report

The Report was received by the Board on July 25, 2013, 8 days late, resulting in a civil penalty assessment of \$400. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, stayed) for delinquent filing of the March 2012 Quarterly Report. The total assessment is \$600.

Mark Strang, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Strang states that the former Committee Treasurer unexpectedly resigned. In the transition, the new Treasurer received two boxes of materials and only after sorting through the boxes did he learn of the Quarterly Report requirement. He adds that the Committee then sent in the required Report as soon as practicable.

Regardless of any change in officers, it is the Committee's responsibility to be aware of and to comply with the disclosure reporting requirements. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the prior penalty and the total assessment of \$600 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$1,493.88.)



Tom Newman – Hearing Officer

October 1, 2013

State of Illinois)
County of: GREENE)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 SEP 27 AM 11:41**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.) Case No. 13-JQ-001
GREENE COUNTY REPUBLICAN)
CENTRAL COMMITTEE)
Respondent(s).)

APPEAL AFFIDAVIT

I, Mark Strang, the Chairman of the
(Name) (Chairman/Treasurer)

Greene County Republican Central Committee

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See Attachment A

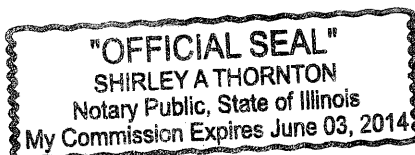

Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 24 Day of Oct., 2013

Notary Public
(seal)



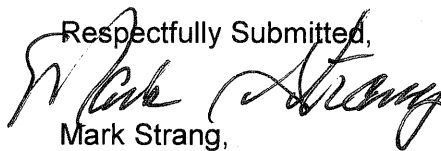


ATTACHMENT A

In April of 2013, the former Treasurer of the Greene County Republican Central Committee, Dan Arnold, suddenly and unexpectedly resigned from said position, as a result of ongoing personal issues. Upon acceptance of Mr. Arnold's resignation, the Greene County Republican Central Committee appointed Alan Graham to fill the position. In the transition, Mr. Graham was provided two (2) tubs, or boxes, of full material from the past Treasurer. It was not until Mr. Graham began to sort through said boxes did he realize the D-2 Quarterly Report requirement. Upon being notified of our lack of compliance via the email sent to Chairman Mark Strang from Pat Bensken, we sent in the required materials as soon as practicable.

The lack of compliance was not deliberate, nor was it an attempt to circumvent the any of the rules and/or procedures of the Election Code. We would respectfully request your consideration in modifying the assessed civil penalty.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Mark Strang", written in a cursive style.

Mark Strang,
Committee Chairman
Republican Central Committee
Greene County

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

September 11, 2013

ID# 22

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Greene County Republican Central Cmte
Alan Graham
321 Church
Carrollton, IL 62016

Dear Greene County Republican Central Cmte:

7011 2970 0003 6441 5013

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2013 through June 30, 2013
Filing Period: July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/25/2013, 8 day(s) late. As such, this committee has been assessed a fine of \$400.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/12-3/31/12	Quarterly	200
TOTAL AMOUNT NOW DUE		\$600

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AM 057

Illinois Insurance Assn PAC ID# 6609
Respondent

REPORT OF HEARING OFFICER

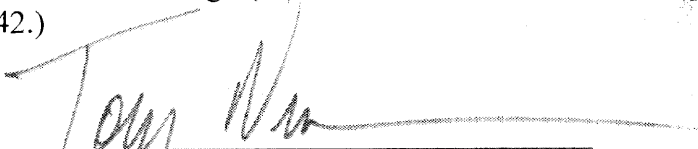
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$4,431 contribution on 1/8/13, and reported it on a Schedule A-1 received by the Board on 4/3/13, 52 days late, resulting in a civil penalty assessment of \$2,216. The Committee also received four contributions of \$5,810, \$5,810, \$5,000 and \$5,810 on 2/6/13, and reported these on a Schedule A-1 received by the Board on 4/3/13, 32 days late, resulting in a civil penalty assessment of \$11,215. The total assessment is \$13,431.

Kevin Martin, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Martin states that the late filings were due to an honest clerical error. He says the mistake was discovered as the Committee was preparing its Quarterly Report, and the A-1s were immediately filed once the oversight was identified. He adds that the Committee has revised its office procedures to prevent future errors.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$1,343. If these recommendations are accepted by the Board, the \$1,343 civil penalty will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$23,197.42.)



Tom Newman – Hearing Officer
October 1, 2013

State of Illinois)
County of Sangamon)

STATE BOARD OF ELECTIONS

13 SEP 26 PM 1:29

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 13AM057

IL Insurance Association PAC)
Respondent(s).)

APPEAL AFFIDAVIT

I, Kevin J. Martin, the Treasurer of the
(Name) (Chairman/Treasurer)

Illinois Insurance Association PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

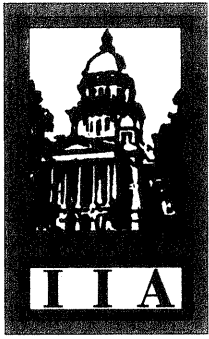
Signed and Sworn to by:

Jessica Wrincik
before me this 26th Day of
September, 2013

[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)





ILLINOIS INSURANCE ASSOCIATION

STATE BOARD OF ELECTIONS

Illinois Insurance Political Committee

13AM057

STATE BOARD OF ELECTIONS
13 SEP 26 PM 1:29

September 23, 2013

Ms. Sharon Steward, Director
Campaign Disclosure Division
Illinois State Board of Elections
2329 S. McArthur Blvd.
Springfield, IL 62704

Dear Ms. Steward,

I am writing to appeal the \$1,343 fine outlined in your September 11 letter.

Admittedly, the A-1 disclosures were late, but the delay was due to an honest clerical error. The mistake was discovered April 3 while preparing our quarterly PAC expenditure report. The A-1's were immediately filed on April 3 once the oversight was identified.

The Illinois Insurance Association PAC respects and supports campaign election laws. We recognize the importance of maintaining accurate campaign contribution records, and have revised our in-office procedures to prevent future errors.

In closing, this is our first offense, an honest oversight that was corrected immediately upon discovery, and safeguards are now in place. Please consider these factors as you review the penalty levied against our organization. Thank you.

Sincerely,

Kevin J. Martin
Treasurer, Illinois Insurance Association PAC

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 6609

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Illinois Insurance Assn PAC
Kevin Martin
217 East Monroe, Ste 110
Springfield, IL 62701

7011 2970 0003 6441 7574

Dear Illinois Insurance Assn PAC:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
C N A Insurance	2/6/2013	\$5810	4/3/2013	32	\$2905 -
COUNTRY insurance & Financial Services	2/6/2013	\$5810	4/3/2013	32	\$2905 -
GEICO	2/6/2013	\$5000	4/3/2013	32	\$2500 -
Illinois Farmers Insurance	2/6/2013	\$5810	4/3/2013	32	\$2905 -
Pekin Insurance	1/8/2013	\$4431	4/3/2013	52	\$2216 -

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$13431.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1343.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the March Quarter A-1 Report of Campaign Contributions of \$1000 or more

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AJ 016

Citizens to Elect Cheri L Neal
Cmte ID: 12684
Respondent

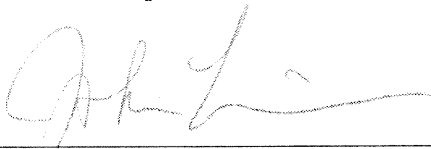
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Failing to File a Schedule A-1 Report

The Committee failed to file a required Schedule A-1 in connection with a donation of \$1,938.58 from Cancer Treatment Centers of America, received April 22, 2013. The donation was first reported on the Committee's June 2013 Quarterly Report, received July 2, 2013, 45 days late. The fine amount is \$970. The Committee was previously fined \$2,075 (reduced by the Board to \$75, stayed) for delinquently filing the September 2012 Quarterly Report.

Cheri Neal, the Candidate and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Neal stated she was unaware a separate form needed to be filed for donations of \$1,000 or more. She said the Committee has always had a modest balance and cannot afford to hire an accountant.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the assessment would be \$97 (reduced automatically to 10% of the fine amount as the product of a first A-1 violation). The stay on the \$75 fine for delinquently filing the September 2012 Quarterly Report would be lifted, and a total of \$172 would be due and owing. As of June 30, 2013, the Committee reported a cash balance of \$ \$438.34.



John Levin – Hearing Officer
October 7, 2013

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 13 SEP 25 AM 11:20
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Cheri L. Neal)
Respondent(s).)

Case No. 13AJ016

APPEAL AFFIDAVIT

I, Cheri L. Neal, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Cheri L. Neal Com
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

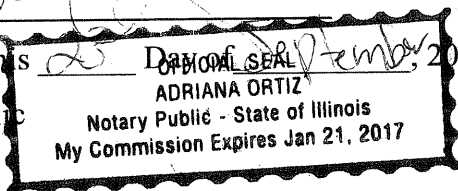
I was not aware that a separate form
had to be filed. The most I have ever had in
my account is \$3000, with a current balance
of \$388.34. I can't afford to hire an account to
do the filings for me.

Cheri L. Neal
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this

Notary Public
(seal)



STATE BOARD OF ELECTIONS
13 OCT -4 PM 2:21

To: Illinois State Board of Elections
From: Cheri L. Neal
Re: Case NO. 13AJ016
Date: October 1, 2013

I deeply regret that I did not recognize the responsibility to file a report for donations over \$1000. As a public servant of a community of just under 25,000 with deep issues, my constant focus is how to improve Zion. The most I have had in my campaign fund in a very long time has been \$3000 and never a donation of over \$1000. My campaign fund currently stands at \$388.34. If there is any way that this can serve as a warning, I would be very appreciative. I can't afford an accountant to oversee this and would appreciate your consideration of situation.

Sincerely,

A handwritten signature in cursive script, appearing to read "Cheri L. Neal". The signature is fluid and somewhat stylized, with the first and last names being more prominent than the middle initial.

Cheri L. Neal

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens to Elect Cheri L. Neal
1407 20th St
Zion, IL 60099-1726

ID# 12684

7011 2970 0003 6441 8632

Dear Citizens to Elect Cheri L. Neal:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Cancer Treatment Centers of America	4/22/2013	\$1938.58	*not filed	45	\$970

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$970.00 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$97.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
7/1/2012-9/30/2012	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$172

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

13 MQ 039

Melrose Vision Party 13905
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The March 2013 Quarterly Report


The March 2013 Quarterly Report was received by the Board on 5/6/13, 15 days late, resulting in a civil penalty assessment of \$750. In addition, the Committee has previously been assessed a \$200 civil penalty (not appealed, stayed) for delinquently filing the March 2012 Quarterly Report. The total assessment is \$950.

The Respondent was represented by attorney Steven Avalos at the September 26th appeal hearing. Also in attendance was the person who files the reports for the Committee, Patricia Dindia.

The Committee's data entry person for filing the reports electronically, Patricia Dindia, was hospitalized from 2/25/13 – 3/19/13 and during this time she underwent three surgeries. Ms. Dindia showed the hearing officer copies of her hospital bills. After the surgery Ms. Dindia was not allowed to go back to work until 4/15/13 the day the report should have been filed. Ms. Dindia was heavily medicated and had a lot on her plate considering she just went through three surgeries and she was out of work for some time. When she got back to work failing to file the Report was an oversight on her part and she didn't realize that a report was due. Ms. Dindia stated that she had no intention not to file the report however she admitted it slipped her mind. She stated that as soon as she received a notice that the committee failed to file the report she immediately filed the report. She also stated that since this was her responsibility to file the report that she was going to personally have to pay the civil penalty. Mr. Avalos stated that Ms. Dindia has been filing the reports for the committee for the last 14 years and he requests leniency from the Board considering this is her second violation in 14 years. Mr. Avalos requests that the Board stay the \$750 civil penalty as well as the previously assessed \$200 civil penalty. In the alternative he requests that the fine for the Melrose Victory Party and the fine for the Melrose Vision Party be reduced by half because essentially they are one in the same in that they support the same candidate and they have the same officers.

It is unfortunate that Ms. Dindia was hospitalized and had to undergo three surgeries and a recovery period of time. However, the political committee still had a responsibility to file the report in a timely manner. The treasurer of a political committee, not the data entry person, is responsible for keeping the records and filing the reports for a committee. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the \$200 civil penalty and the total assessment of \$950 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$0.)

In relation to the Mr. Avalos requesting that both civil penalties be stayed I recommend the Board not accept this request. As for the alternative that Mr. Avalos offered that the fines be reduced by half because the two Committees support the same candidate and they have the same officers I also recommend that the Board not consider this request. However, it has come to my attention that Ronald Serpico currently has three political committees on file with the State Board of Elections that are established to support his current office of Village President (Citizens to Elect Ronald M Serpico, Sr. Inc, Melrose Vision Party, and Melrose Victory Party) therefore I recommend the Board order that two of the three political committees finalize and file a Final Report as it is impermissible for a candidate to have more than one committee supporting him for the same office.



Andy Nauman – Hearing Officer
September 26, 2013

State of Illinois)
)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Melrose Vision Party)
Respondent(s).)

Case No. 13 MQ 39

APPEAL AFFIDAVIT

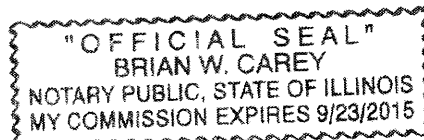
I, Ronald Serpico, the Chairman of the
(Name) (Chairman/Treasurer)
Melrose Victory Party
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Treasurer, Joseph Taconi, was unavailable due to severe illness when the report was due, and filed immediately upon return.

Signed and Sworn to by:
Ronald Serpico
before me this 30th Day of
August, 2011
[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2013
ID# 13905

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Melrose Vision Party
2405 Division St
Melrose Park, IL 60160-1827

7011 2970 0003 6442 7757

Dear Melrose Vision Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 6, 15 day(s) late. As such, this committee has been assessed a fine of \$750.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31 2012	Quarterly	\$200
TOTAL AMOUNT NOW DUE		\$950

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

13 MQ 043

Melrose Victory Party 14481

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The March 2013 Quarterly Report

A mix up took place in relation to this appeal and an appeal for the Melrose Vision Party. An appeal affidavit was supplied for the Melrose Vision Party appeal however the State Board of Elections did not realize it was also intended to be an affidavit for this committee as well. A Final Order was issued at the 9/16/13 Board Meeting assessing a penalty for this violation. I believe it would be appropriate for the Board to vacate that Order as part of the Order disposing of this appeal.

The March 2013 Quarterly Report was received by the Board on 5/6/13, 15 days late, resulting in a civil penalty assessment of \$750. In addition, the Committee has previously been assessed a \$200 civil penalty (not appealed, stayed) for delinquently filing the March 2012 Quarterly Report. The total assessment is \$950.

The Respondent was represented by attorney Steven Avalos at the September 26th appeal hearing. Also in attendance was the person who files the reports for the Committee, Patricia Dindia.

The Committee's data entry person for filing the reports electronically, Patricia Dindia, was hospitalized from 2/25/13 – 3/19/13 and during this time she underwent three surgeries. Ms. Dindia showed the hearing officer copies of her hospital bills. After the surgery Ms. Dindia was not allowed to go back to work until 4/15/13 the day the report should have been filed. Ms. Dindia was heavily medicated and had a lot on her plate considering she just went through three surgeries and she was out of work for some time. When she got back to work failing to file the Report was an oversight on her part and she didn't realize that a report was due. Ms. Dindia stated that she had no intention not to file the report however she admitted it slipped her mind. She stated that as soon as she received a notice that the committee failed to file the report she immediately filed the report. She also stated that since this was her responsibility to file the report that she was going to personally have to pay the civil penalty. Mr. Avalos stated that Ms. Dindia has been filing the reports for the committee for the last 14 years and he requests leniency from the Board considering this is her second violation in 14 years. Mr. Avalos requests that the Board stay the \$750 civil penalty as well as the previously assessed \$200 civil penalty. In the alternative he requests that the fine for the Melrose Victory Party and the fine for the Melrose Vision Party be reduced by half because essentially they are one in the same in that they support the same candidate and they have the same officers.

It is unfortunate that Ms. Dindia was hospitalized and had to undergo three surgeries and a recovery period of time. However, the political committee still had a responsibility to file the report in a timely manner. The treasurer of a political committee, not the data entry person, is responsible for keeping the

records and filing the reports for a committee. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the \$200 civil penalty and the total assessment of \$950 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$0.)

In relation to the Mr. Avalos requesting that both civil penalties be stayed I recommend the Board not accept this request. As for the alternative that Mr. Avalos offered that the fines be reduced by half because the two Committees support the same candidate and they have the same officers I also recommend that the Board not consider this request. However, it has come to my attention that Ronald Serpico currently has three political committees on file with the State Board of Elections that are established to support his current office of Village President (Citizens to Elect Ronald M Serpico, Sr. Inc, Melrose Vision Party, and Melrose Victory Party) therefore I recommend the Board order that two of the three political committees finalize and file a Final Report as it is impermissible for a candidate to have more than one committee supporting him for the same office.

A handwritten signature in cursive script that reads "Andy Nauman". The signature is written in dark ink and is positioned above a horizontal line.

Andy Nauman – Hearing Officer

September 26, 2013

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Melrose Victory Party)
Respondent(s).)

Case No. 13 m Q 43

APPEAL AFFIDAVIT

I, Ronald Serpico, the Chairman of the
(Name) (Chairman/Treasurer)

Melrose Victory Party

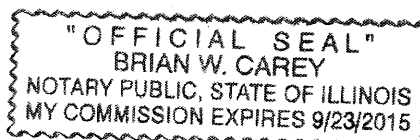
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Treasurer, Joseph Taconi, was unavailable due to severe illness when the report was due, and filed immediately upon return.

Signed and Sworn to by:
Ronald M. Serpico
before me this 30th Day of
August, 2011
Ronald M. Serpico
Notary Public

Ronald M. Serpico
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2013
ID# 14481

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Melrose Victory Party
2405 Division St
Melrose Park, IL 60160-1827

Dear Melrose Victory Party:

7011 2970 0003 6442 7795

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 6, 15 day(s) late. As such, this committee has been assessed a fine of \$750.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31 2012	Quarterly <i>12 mp081</i>	\$200
TOTAL AMOUNT NOW DUE		\$950

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AM 064

Friends of Rick Reinbold Campaign Committee ID# 15667
Respondent

REPORT OF HEARING OFFICER

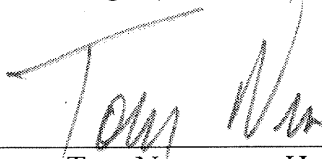
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,000 contribution on 1/9/13 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$400 civil penalty (not appealed, paid) for delinquent filing of the March 2013 Quarterly Report, a \$50 civil penalty (not appealed, paid) for failure to file a Schedule A-1 in the 4th quarter of 2012, a \$900 civil penalty (not appealed, paid) for delinquent filing of the March 2012 Quarterly Report, and a \$350 civil penalty (appealed, denied, paid) for delinquent filing of the December 2011 Quarterly Report. The total assessment is \$500.

Rick Reinbold, the Candidate of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Reinbold states that the contribution was reported on the Committee's Quarterly Report, but he was not aware of the additional A-1 reporting requirement.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second A-1 violation for this Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$250. If these recommendations are accepted by the Board, the \$250 penalty will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$22,008.73.)



Tom Newman – Hearing Officer
September 26, 2013

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 13 SEP 19 PM 2:20
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Rick Reinhold)
Respondent(s).)

Case No. 13 AM064

APPEAL AFFIDAVIT

I, Rich Reinhold, the _____ of the
(Name) (Chairman/Treasurer)
Friends of Rick Reinhold
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The amount was reported on March Quarterly Report
was not aware of the additional reporting requirement
of filing the A-1 Report.
Please see attached letter of 9-11-13



[Signature]
Signature of Chairman/Treasurer
708-481-7991

Signed and Sworn to by: ANNE KRUK
before me this 16 Day of SEPT., 2013
Notary Public
(seal)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

Friends of Rick Reinbold Campaign Committee ID# 15667
22833 Richton Square Rd
Richton Park, IL 60471-2505

7011 2970 0003 6441 7642

Dear Friends of Rick Reinbold Campaign Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Comcast	1/9/2013	1000	*Not Filed	61	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with the first name "Sharon" and last name "Steward" clearly distinguishable.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 JQ 063

The Integrity Party ID# 15706
Respondent

REPORT OF HEARING OFFICER

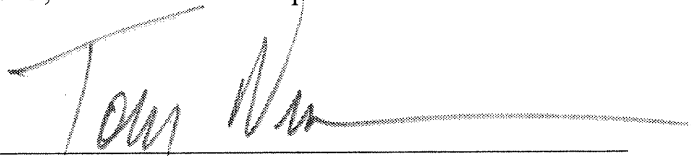
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2013 Quarterly Report

The Report was received by the Board on July 22, 2013, 5 days late, resulting in a civil penalty assessment of \$250. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, stayed) for delinquent filing of the September 2012 Quarterly Report. The total assessment is \$325.

Edward Guzdziol, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Guzdziol states that the Committee had no remaining funds as of April 30th, and although he knew a Final Report was needed to close the Committee, he did not realize the Quarterly Report still had to be filed as well.

Mr. Guzdziol is correct that a Final Report is needed to close a Committee. However, if the Final Report is not filed prior to the filing period for a Quarterly Report, then the Quarterly Report must be filed before the Final Report. That is what occurred in this situation. Since the Committee apparently had no activity after April 30th, it could have filed a Final Report in lieu of a Quarterly Report at any time prior to July 1, but did not do so until July 22 when the Quarterly Report was already late. I recommend the appeal be denied. If this recommendation is accepted by the Board, the total assessment of \$325 will be due and owing. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 6/30/13, this Committee reported a funds available balance of \$0.)



Tom Newman – Hearing Officer
October 2, 2013

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

13 SEP 19 PM 2:19

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
INTEGRITY PARTY)
Respondent(s).)

Case No. 13AQQ063
15706

APPEAL AFFIDAVIT

I, EDWARD S. GUZZIDIA, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
THE INTEGRITY PARTY
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED.

Bonnie M Price

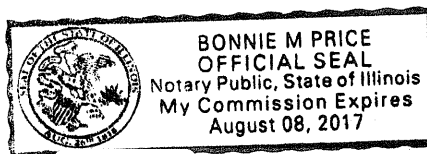
Edward A. Guzzidia
Signature of Chairman/Treasurer

Signed and Sworn to by:

Edward S. Guzzidia

before me this 16 Day of September, 2013

Notary Public
(seal)

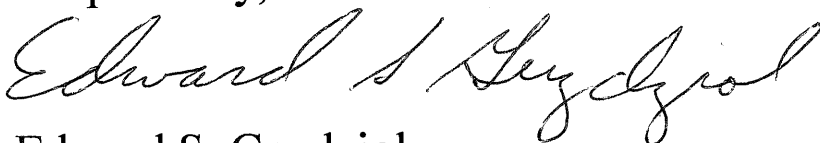


13 SEP 19 PM 2:10

To whom this may concern:

- The Integrity Party had no funds in our account as of April 30th, 2013 as evidenced by the attached Private Bank closing statement reflecting a zero balance.
- We knew we had to file a closing "Final Report" but did not realize that the "Quarterly Report" still had to be filed.
- We were notified of the error in our thinking and promptly submitted the "Quarterly Report".
- Since all funds were accounted for and there was no further fundraising or political contributing after the April 30th closing of our bank account, we mistakenly believed that the "Final Report" could be submitted when all cancelled checks were in.
- I ask the Arbitrator for our case to please consider our belief at the time, that the "Final Report" was a special, one of a kind, report that was to be submitted upon the termination of our political party. We essentially thought that the "Final Report" was all that was required and when we found out we still needed the "Quarterly Report" we missed the filing deadline.

Respectfully,



Edward S. Guzdziol

Chairman of the dissolved Integrity Party

9/16/2013

**THE PRIVATE BANK**120 South LaSalle Street, Chicago, IL 60603
Address Service Requested

MEMBER FDIC EQUAL HOUSING LENDER

STATE BOARD OF ELECTIONS

F 13 SEP 19 PM 2:19

Last Statement: March 31, 2013

Statement Ending: April 30, 2013

Total Days in Statement Period: 30

Page 1 of 3



00020150 WCFR00092050109 03 00000300

INTEGRITY PARTY
7419 W 109TH PLACE
WORTH IL 60482-1016**Customer Service Information**

For Personal Assistance, Call:

877-448-6500

HELEN J SOCHA



Visit Us Online:

www.theprivatebank.com



Written Inquiries:

The PrivateBank
6825 West 111th Street
Worth, IL 60482

** Closed Account - Final Statement

SIMPLIFIED BUSINESS CHECKING**Balance Summary**

Beginning Balance as of 03/31/13	\$	393.01
+ Deposits and Credits (1)		41.99 ✓
- Withdrawals and Debits (2)		435.00
Ending Balance as of 04/30/13	\$	0.00
Average Balance	\$	0.00
Low Balance	\$	0.00
Enclosures		1

Checks Posted

Number	Date	Amount
728	04/02	400.00

Debits

Date	Description	Subtractions
04/03	Overdraft Fee FOR OVERDRAFT CHECK # 728	35.00

Credits

Date	Description	Additions
04/08	Deposit	41.99

Daily Balances

Date	Amount	Date	Amount	Date	Amount
03/31	393.01	04/03	-41.99	04/30	0.00
04/02	-6.99	04/08	0.00		

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 15706

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

The Integrity Party
Edward Guzdziol/Anita Guzdziol
7419 W 109th Pl
Worth, IL 60482-1012

7011 2970 0003 6441 5556

Dear The Integrity Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/22/2013, 5 day(s) late. As such, this committee has been assessed a fine of \$250.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
7/1/2012-9/30/2012	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$325

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 JQ 071

Illinois Corn Growers Assn Political Involvement Fund ID# 16797
Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2013 Quarterly Report

The Report was received by the Board on July 26, 2013, 9 days late, resulting in a civil penalty assessment of \$450. Additionally, the Committee had previously been assessed a \$313 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 for the 2011 General Election. The total assessment is \$763.

Stanley Blunier, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Blunier states that the Committee filed what he calls a "quarterly A-1 report" on 7/15/13, and everything appeared to be correct when the Report was filed. He says they received notice on 7/26/13 that the Report had not been filed, but when they attempted to upload the Report again received an error message that required the assistance of the Board's IT staff before the Report could be uploaded.

While the error described by Mr. Blunier would in fact require staff assistance to correct, I am unaware of any circumstance where a report filing would be indicated as successful when in fact the report had not been filed. Additionally, without a successful filing the Report would continue to be listed in the "Reports Due Now" section of the IDIS filing software. So while the Committee did experience an electronic filing issue, it appears that issue was fixed the same day it occurred – after the Report filing was already delinquent. For this reason I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty and the total assessment of \$763 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$11,188.35.)



Tom Newman – Hearing Officer
October 1, 2013

State of Illinois)
County of: McLean)

STATE BOARD OF ELECTIONS

13 SEP 20 AM 11:10

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

ID: F101914

Case No. 1390071

Respondent(s): Illinois Corn Growers Assn Political Involvement Fund

APPEAL AFFIDAVIT

Stanley Blumer, the treasurer of the
(Name) (Chairman/Treasurer)

Illinois Corn Growers Assn Political Involvement Fund
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

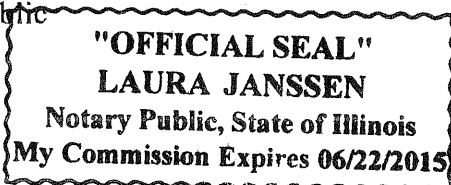
Please see attached.

Stanley Blumer
Signature of Chairman/Treasurer

Subscribed and Sworn to by:
Laura Janssen

Before me this 19 Day of September, 2013

Notary Public
(Signature)



Illinois State Board of Elections Electronic Filing Problem:

Kayla Veeder (Clark), with the Illinois Corn Growers Association (FID1914) filed a quarterly A-1 report on 7/15/13. This was the first report she has filed with the State Board of Elections and everything appeared to be correct when the report was filed.

On 7/26/13, the office received a letter stating the June Quarterly Report was late. Kayla called the State Board of Elections Campaign Disclosure department and asked about the issue. When attempting to upload the report again, an error came up saying something to the affect of, "Unable to upload via SQL?" and offered another means of uploading. The report would not upload with the secondary option either so Kayla was transferred to the IT department, who fixed the problem with the report and helped upload it.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 16797

BOARD MEMBERS
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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Illinois Corn Growers Assn Political
Kayla Clark
PO Box 1623
Bloomington, IL 61702-1623

7011 2970 0003 6441 5464

Dear Illinois Corn Growers Assn Political:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/26/2013, 9 day(s) late. As such, this committee has been assessed a fine of \$450.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
	A-1	\$313
TOTAL AMOUNT NOW DUE		\$763

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

13 MA 003

Citizens for Pat Dowell 16892
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Failing to File
A Schedule A-1 in the second and third quarter of 2012


This committee received three \$1,000 contributions, four \$1,500 contributions, and two \$2,500 contributions, but failed to list these contributions on a Schedule A-1, resulting in a civil penalty assessment of \$7,000. In addition, the Committee has previously been assessed a \$25 civil penalty (not appealed, paid) for delinquently filing the June 2006 Semi-Annual Report; a \$200 civil penalty (not appealed, paid) for delinquently filing the Pre-Election Report for the 2007 Consolidated Election; a \$100 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 Report for the 2008 General Primary Election; a \$100 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 Report for the 2008 General Primary Election; a \$100 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 Report for the 2008 General Primary Election; a \$800 civil penalty (appealed, denied, paid) for delinquently filing the June 2009 Semi-Annual Report; a \$50 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 in the first quarter of 2011; a \$50 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 in the first quarter of 2011; a \$115 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 in the first quarter of 2011; a \$125 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 in the first quarter of 2011; a \$75 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 in the first quarter of 2011; a \$250 civil penalty (appealed, denied, paid) for failing to file a Schedule A-1 in the second quarter of 2011; a \$300 civil penalty (not appealed, paid) for delinquently filing the March 2012 Quarterly Report; and a \$100 civil penalty (not appealed, paid) for delinquently filing the September 2012 Quarterly Report. The total assessment is \$7,000.

The person who currently prepares the reports for the committee, DeAnna Grant, appeared at the August 1, 2013 appeal hearing.

DeAnna Grant stated that she took over the filing requirements for the political committee around March or April of 2012 because the prior person got sick. She explained that she had no experience in dealing with political accounts and she had no training on the campaign disclosure requirements. She stated she was not made aware of the Schedule A-1 filing requirement until around November of 2012. Ms. Grant requests the fines be greatly reduced because this was an inadvertent violation and she was unaware of the Schedule A-1 filing requirement.

The fact that Ms. Grant was not aware contributions of \$1,000 or more are required to be filed on a Schedule A-1 is not a valid defense. I recommend that the appeal be denied for lack of an adequate defense. However, since there is no indication that the JJ2 Limited Partnership violation was anything

other than inadvertent and unintentional, I also recommend that this penalty be reduced to 50% of the original assessment as a second violation, or \$375. Furthermore, I recommend the remaining 8 violations receive no further reduction since these violations are considered as being a third violation. The assessment on the remaining 8 violations is \$6,250. If this recommendation is accepted by the Board, the \$6,625 civil penalty will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$139,672.04.)



Andy Nauman – Hearing Officer
August 1, 2013

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Pat Powell)
Respondent(s).)

Case No. 13MA003

Duplicate

APPEAL AFFIDAVIT

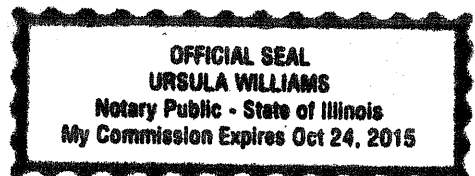
I, DeAnna Grant-Hines, the treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Pat Powell
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The treasurer position was transferred to a
new person due to the prior accountant
was on medical leave. The position was
transferred to a new person, we request waiver fees.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
[Signature]
before me this 12 Day of April, 2013
Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 16892

Citizens for Pat Dowell
5044 S State St
Chicago, IL 60609-4108

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

7011 2970 0003 6442 9409

Dear Citizens for Pat Dowell:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the second quarter of 2012:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
JJ2 Limited Partnership	4/5/2012	\$1500	*		\$750

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$750 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the third quarter of 2012:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Faraz Inc	8/24/2012	\$2500	*		\$1250
Grand Boulevard Plaze	9/27/2012	\$1000	*		\$500
HH2 Limited Partnership	8/29/2012	\$1500	*		\$750
Kates Detective Agency	8/24/2012	\$1000	*		\$500
Leeway Wrecking Inc	8/23/2012	\$1500	*		\$750
Nicky's In N Out	8/24/2012	\$1000	*		\$500
Ultimate Gas & Mini Mart	8/24/2012	\$1500	*		\$750

VLV Development & Financial	8/24/2012	\$2500	*		\$1250
-----------------------------	-----------	--------	---	--	--------

Your committee is subject to a fine of \$6250 for delinquently filing Schedule A-1 reports.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$6250, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$6625.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June or September Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 AQ 023

Friends of Mattie Hunter

ID#17385

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received three \$1,000 contributions and two \$1,500 contributions on 6/28/13 and reported all five on a Schedule A-1 received by the Board on 7/9/13, 1 day late, resulting in a total assessment of \$3,000.

Patricia Kates-Collins, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Collins states the Schedule A-1 report was delinquent due to human miscalculation. She went out of town and when she returned she realized the Committee's filing would be one day late.

I recommend the appeal be denied for lack of an adequate defense. While there is no indication these violations were anything other than inadvertent and unintentional and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment or \$300. If these recommendations are accepted by the Board, the \$300 civil penalty will be due and owing.

(As of 6/30/13, this Committee reported a funds available balance of \$26,535.95.)



Kim Patrick – Hearing Officer
October 7, 2013

State of Illinois)
)
County of: Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 OCT -3 PM 2:11**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 13A9023

Friends of Mattie Hunter

Respondent(s).

APPEAL AFFIDAVIT

I, Patricia Kates-Collins, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends of Mattie Hunter

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

My defense for being one day delinquent on filing the Schedule A-1 is human miscalculation
of the 5 business days as stated in the Board of Election Disclosure manual. I went
out of town for vacation planning to submit the Schedule A-1 on my return
and as I prepared my documents on July 9th it showed that I will be one day
late filing.

Patricia Kates-Collins
Signature of Chairman/Treasurer

Signed and Sworn to by:

Theresa Montes
before me this 1 Day of Oct, 2013

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 17385

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

Friends of Mattie Hunter
Patricia Kates-Collins
11604 S. Church
Chicago, IL 60643

7011 2970 0003 6440 6660

Dear Friends of Mattie Hunter:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Community Network Systems, Inc	6/28/2013	\$1000	7/9/2013	1	\$500
FORSIGHT Energy Services	6/28/2013	\$1500	7/9/2013	1	\$750
REKOOH Management, LLC	6/28/2013	\$1000	7/9/2013	1	\$500
Centene Corporation Political Action Committee	6/28/2013	\$1000	7/9/2013	1	\$500
SEIU Healthcare II in PAC	6/28/2013	\$1500	7/9/2013	1	\$7500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$3000.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$300.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the June Quarter
1 Report of Campaign Contributions of \$1000 or mo

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

13 MQ 071

United Peoples Party 18568

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing

The March 2013 Quarterly Report

The Report was received by the Board on May 7, 2013, 16 days late, resulting in a civil penalty assessment of \$800. Additionally, the Committee had previously been assessed a \$150 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2007 Consolidated Election; a \$25 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2007 Consolidated Election; and a \$25 civil penalty (not appealed, stayed) for delinquently filing the June 2011 Quarterly Report. The total assessment is \$825.

The Respondent was represented by attorney Sara Gallagher at the August 9th appeal hearing.

Sarah Gallagher stated that the delinquent filing of the March 2013 Quarterly Report was done inadvertently. The committee typically receives email notifications from the State Board of Elections when the reports are due however the treasurer did not receive an email regarding this filing. Ms. Gallagher stated that the email did not show up in the treasurer's regular, deleted or spam email folder. Once the treasurer was made aware of the filing on May 6, 2013 the report was promptly filed the next day. The candidates involved in this committee ran unopposed in the 2013 Consolidated Election and the committee had no activity during the March 2013 Quarterly Report reporting period. The Respondent requests the civil penalty be waived or in the alternative the committee requests that they be allowed to pay the \$25 civil penalty for delinquently filing the June 2011 Quarterly Report and then the Board stay the March 2013 Quarterly Report civil penalty. The committee received an email regarding the June 2013 Quarterly Report.

The hearing officer looked at the State Board of Elections internal program that logs when email notifications were sent out. An email notice for the March 2013 Quarterly Report was sent to the email address on record with the State Board of Elections on 2/27/13 at 9:07:49 AM. The State Board of Elections did not receive a notification that the email was not deliverable otherwise the State Board of Elections would have mailed out a packet to the committee. I recommend the appeal be denied for lack of an adequate defense. I also recommend the Board not consider the two requests the committee made to waive the fine or in the alternative allow them to pay the first smaller civil penalty and stay the second larger civil penalty. If this recommendation is accepted by the Board the stay will be lifted off of the \$25 civil penalty and a total amount of \$825 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$5,227.92.)


Andy Nauman – Hearing Officer

August 14, 2013

State of Illinois)

County of: Cook)

STATE BOARD OF ELECTIONS

13 JUN 11 PM 12:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No.

ID# 18568

13M2071

United Peoples Party

Respondent(s).

APPEAL AFFIDAVIT

I, Annette M. Kaptur, the Treasurer of the
(Name) (Chairman/Treasurer)United Peoples Party
(Name of the Committee)

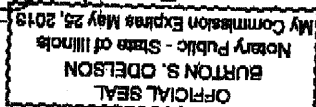
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The violation was committed inadvertently in that a notification email had not been received by Treasurer and did not show up in regular, deleted or spam email. The Treasurer was not aware of a required filing or a missed filing until May 6, 2013 after which promptly filed the post election report. There had been no activity on the accounts of the party from Jan 1, 2013 to March 31, 2013.

Activity in April will be reported in the Report April 1, 2013 to June 30, 2013 by July 15, 2013.

Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 9th Day of June, 2013Notary Public
(seal)

The email does work and an email was received for the upcoming filing, but one was not received for special filing. Grant all penalties be removed.

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

Complainant(s)

v.

United Peoples Party
Respondent(s)

No. 13Ma02

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S)

or

☒ RESPONDENT(S)

(insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

Sara Gallagher/Odelson & Sterk, Ltd.

Address:

3318 W. 95th St.

City/State/Zip:

Evergreen Park, IL 60805

Telephone:

(708) 424-5678

Fax No.:

(708) 425-1898

Email address:

sgallagher@odelsonsterk.com

Will you accept service of documents via FAX transmission? Check one: ☒ Yes ☐ No

Sara M. Gallagher
Signature

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2013
ID# 18568

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

United Peoples Party
8224 Independence Dr
Willow Springs, IL 60480-1018

7011 2970 0003 6442 7955

Dear United Peoples Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 7, 16 day(s) late. As such, this committee has been assessed a fine of \$800.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$25
TOTAL AMOUNT NOW DUE		\$825

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 MA 053

Ed Motley for Sheriff ID# 22169
Respondent

REPORT OF HEARING OFFICER

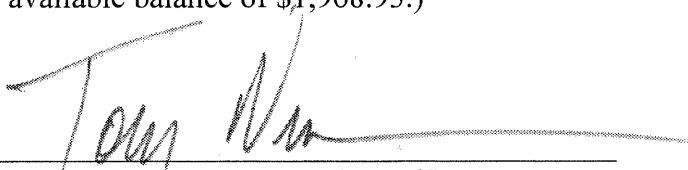
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2013 Quarterly Report and a Schedule A-1 Report

The June Quarterly Report was received by the Board on July 31, 2013, 12 days late, resulting in a civil penalty assessment of \$300. Additionally, the Committee received a \$1,000 contribution on 4/10/13, and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500. The total assessment is \$800.

Edward B Motley, the Candidate of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Motley states that in regards to the Quarterly Report, he did not receive the filing forms and reminder in the mail as usual, but once he was notified that the Report was late he filed it immediately. In regards to the A-1, Mr. Motley says he was not aware of the 5 day filing rule for contributions of \$1,000 or more, and thought it only needed to be reported on a Schedule A with the Quarterly Report. He adds that he was not trying to hide the contribution and that the assessed penalty would be a financial hardship for his Committee.

The Committee was sent report filing forms and a reminder of the upcoming Quarterly Report filing on February 27 and in any case, after several years of filing should have been aware of the reporting deadlines. I recommend the appeal for the late Quarterly Report be denied. I also recommend the appeal for the missed A-1 be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. If these recommendations are accepted by the Board, the total assessment of \$350 will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$1,968.93.)



Tom Newman – Hearing Officer
October 2, 2013

State of Illinois)
County of Edgar)

STATE BOARD OF ELECTIONS

13 SEP 25 AM 9:05

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Ed Motley for Sheriff)
Respondent(s).)

Case No. 13MA053

*Quarterly
Appeal
Affidavit*

APPEAL AFFIDAVIT

I, Edward B. Motley, the Chairman/Candidate of the
(Name) (Chairman/Treasurer)
Ed Motley for Sheriff
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I am requesting that the assessment be removed. I have always filed my Campaign Disclosures through the mail and this is the first time I did not receive my Campaign Disclosure forms through the postal service for filing. I have now switched to electronic filing and I have set up a calendar reminder for all future filings. When I received noticed of not filing the 2nd Quarter filing, I immediately filed and forwarded the Campaign Disclosure forms. If I had received the forms in the mail as usual, this would not have happen for a late filing. Thank you for your consideration in this matter.

Signed and Sworn to by:

ALICE M. PINKSTON
before me this 23 Day of
SEPTEMBER, 2013
Alice M. Pinkston
Notary Public

Ed B. Motley
(Signature of Chairman/Treasurer)



State of Illinois)
County of EDGAR)

STATE BOARD OF ELECTIONS

13 SEP 25 AM 8:46

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Ed Motley for Sheriff)
Respondent(s).)

A1
Appeal
Affidavit

Case No. 13MA053

APPEAL AFFIDAVIT

I, Edward B. Motley, the Chairman/Candidate of the
(Name) (Chairman/Treasurer)
Ed Motley for Sheriff
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As the Chairman and Candidate the only reason I have is I was not aware of the 5 day rule regarding the \$1000.00 or more contributions by an individual had to be filed separately on Schedule A-1. I thought that filing a Schedule A was the correct form to use. Even though I filed my Campaign Disclosure late and with the Schedule A attached, I did in fact disclose the funds and was not trying to hide it. I ask that this penalty assessment of \$350 be waived due to the financial hardship it would cause my re-election campaign. It is difficult to raise money in this amount. I have always disclosed every type of fund(s) that I have received.

Signed and Sworn to by:

ALICE M PINKSTON
before me this 23 Day of
SEPTEMBER, 2013
Alice M Pinkston
Notary Public

Ed B. Motley
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 13, 2013

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Ed Motley for Sheriff
31 Breanna Drive
Paris, IL 61944

ID# 22169

CORRECTED ASSESSMENT

Dear Ed Motley for Sheriff:

7011 2970 0003 6441 7284

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June 2013 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/31/2013, 12 day(s) late. As such, this committee has been assessed a fine of \$300.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Royer and Karen Busby	4/10/2013	\$1000	Not filed	73	\$500

The committee is fined a **total** of \$500.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$350.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by October**

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

13 DQ 239

Lake County Life PAC 22333
Respondent


REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment for Delinquently Filing
the December 2012 Quarterly Report

The December 2012 Quarterly Report was received by the Board on 2/21/13, 24 days late, resulting in a civil penalty of \$4,800. In addition, the Committee has previously been assessed a \$525 civil penalty (appealed, denied, reduced, paid) for delinquently filing the March 2011 Quarterly Report; a \$50 civil penalty (appealed, denied, paid) for delinquently filing the June 2011 Quarterly Report; a \$50 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 during the 1st Quarter of 2012; a \$825 civil penalty (appealed, denied, paid) for delinquently filing the June 2012 Quarterly Report. The total assessment is \$4,800.

The Respondent was represented by Peter Breen at the August 8th appeal hearing. Roderick Bobinski, the treasurer, was also in attendance.

Mr. Breen stated that the prior treasurer who was terminated by the committee refused to turn over the committee's books to the new treasurer, Mr. Bobinski. Mr. Breen stated that the books were eventually turned over however while the prior treasurer was refusing to turn over the books the committee considered taking legal action against the former treasurer. The delay in receiving the books ultimately put the current treasurer Mr. Bobinski in a bind because he did not want to file or put his name on a report that was not accurate and without the books he could not file an accurate report. Mr. Bobinski stated that the books were eventually given to him in mid February and he completed the reports as soon as he was able to piece everything together because the committee had a good amount of activity during that period of time. The Respondent requests the Board to be lenient on the committee because of their inability to obtain their books and the current fine amount would wipe them out. The committee has a new treasurer and they ask the Board for mercy.

The Respondents stated that the former treasurer refused to turn over the committee's books and it was not until mid February that the books were ultimately turned over. In the opinion of the hearing officer the failure of an officer to turn over the books is an unfortunate internal matter that has no bearing on the responsibility of timely filing quarterly reports. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board the total assessment of \$4,800 will become due and owing. (As of 6/31/13, this Committee reported a funds available balance of \$5,045.50.)


Andy Nauman – Hearing Officer
August 12, 2013

STATE BOARD OF ELECTIONS

13 JUL -8 AM 8:37

State of Illinois)
)
County of LAKE)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
LAKE COUNTY LIFE PAC)
Respondent(s).)

Case No. 13DQ239

APPEAL AFFIDAVIT

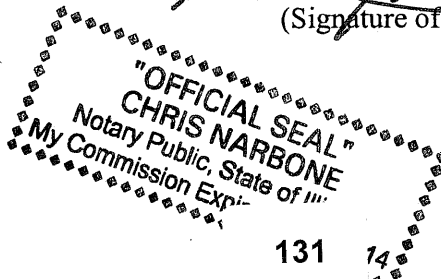
I, Roderick Drobinshi, the Treasurer of the
(Name) (Chairman/Treasurer)
Lake County Life PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

There was a change over in treasurers during this period, due to the prior treasurer having filing issues. Because of that, there were difficulties in getting all the documentation & records over to the new treasurer, and the new treasurer did not want to file what might have been inaccurate filings, which would be sworn as accurate and, thus, file fraudulent reports with the Board.

Signed and Sworn to by:
Chris Narbone
before me this 2 Day of
July, 2013
Chris Narbone
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2013
ID# 22333

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Lake County Life PAC
100 N Atkinson Rd, Ste 112C
Grayslake, IL 60030-7805

7011 2970 0003 6442 6606

Dear Lake County Life PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013


Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 21, 2013, 24 day(s) late. As such, this committee has been assessed a fine of \$4800.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

13 MA 012

Clint Krislov State Campaign Committee (ID 22431)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 September & 2012 December Quarterly Reports

The 2012 September Quarterly Report was received by the Board on January 30, 2013, 70 days late resulting in a \$3,500 civil penalty assessment.

The 2012 December Quarterly Report was received by the Board on January 30, 2013, 10 days late, resulting in a \$750 civil penalty assessment. Additionally, this Committee was assessed a \$225 civil penalty (not appealed, not paid, stayed) for delinquently filing the 2012 March Quarterly Report. The total fine is \$4,475.

Clinton Krislov, Candidate and Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 16, 2013.

Attorney Adam Lasker and Maria Manolas, filing assistant appeared on behalf of the Respondent. Mr. Lasker stated that the Committee was formed to support Mr. Krislov's race for Comptroller in 2010. The candidate lost the election and in 2012 the Committee was in the process of ceasing operations. There was a filing issue with the 2012 September Quarterly Report. Mr. Lasker assisted with the electronic filing of the September and December Quarterly Reports and the subsequent Final Report filed on April 15, 2013. Mr. Lasker stated that the Committee has a history of filing campaign disclosure reports timely and that the delinquent filings were not intentional. Furthermore, Mr. Lasker stated the fine assessed is the maximum penalty without regard to Section 125.425, which indicates the amount of the fine amount should take into consideration whether or not the violation was committed inadvertently and good standing of the Committee's past filing history.

The Act states that active political committees are required to be timely file Quarterly Reports until a Final Report is filed. This Committee presented the defense that they were in the process of closing down when the reports were due. I recommend that the appeal be denied based on the inadequate defense. If the Board accepts this recommendation, \$4,475 will be due and owing. Since the Committee filed a Final Report, I further recommend that the assessment will be abated two years following the effective date of the Final Board Order if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b). (As of 4/15/13, this Committee reported a funds available balance of \$0.)



Tara Molnar – Hearing Officer

August 26, 2013

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

State Board

Complainant(s)

v.

No:

13MA 012

Clint Krislov State Campaign
Respondent(s) Committee

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S)

or

☒ RESPONDENT(S)

Committee and Mr. Krislov and Ms. Mandas

(insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

Adam Lasker

Address:

140 S. Dearborn #600

City/State/Zip:

Chicago 60603

Telephone:

312-604-9162

Fax No.:

Email address:

alasker@angelink.com

Will you accept service of documents via E-mail transmission? Check one: ☒ Yes ☐ No

[Signature]

Signature

State of Illinois)
County of: Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 13 MA012

CLINT KRISLOV STATE CAMPAIGN COMMITTEE)

Respondent(s).)

CHICAGO
2013 APR 15 AM 10:22
STATE BOARD OF ELECTIONS

APPEAL AFFIDAVIT

I, CLINTON A. KRISLOV, the FORMER CANDIDATE AND NOW CHAIRMAN AND TREASURER
(Name) (Chairman/Treasurer) of the

CLINT KRISLOV STATE CAMPAIGN COMMITTEE

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- (1) repeated good faith efforts of the campaign staff to comply with reporting requirements.

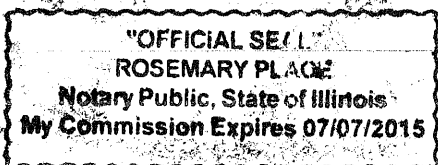


Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 12th Day of April, 2013

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 22431

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Clint Krislov State Campaign Committee
c/o Krislov & Associates Ltd
20 N Wacker Dr, Ste 1350
Chicago, IL 60606-2902

7011 2970 0003 6442 9089

Dear Clint Krislov State Campaign Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September 2012 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 30, 2013, 70 day(s) late. As such, this committee has been assessed a fine of \$3500.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	December 2012 Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 30, 2013, 10 day(s) late. As such, this committee has been assessed a fine of \$750.

The total for all new assessments is \$4250.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AD 103

Friends of Tabares
Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,000 contribution on 10/30/12 and reported it on a Schedule A-1 received by the Board on 12/4/12, 19 days late, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed \$100 in civil penalties (appealed, denied, paid) for failure to file two Schedule A-1s during the fourth quarter of 2011. The total assessment is \$500.

Silvana Tabares, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Tabares states the late A-1 filing resulted from an oversight.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second A-1 violation for this Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$250. If these recommendations are accepted by the Board, the \$250 civil penalty will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$6,096.84.)



Tom Newman – Hearing Officer
October 1, 2013

State of Illinois)
County of : Cook)

STATE BOARD OF ELECTIONS

13 SEP 30 PM 1:31

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Silvana Tabares)
Respondent(s).)

Case No. 13AD103

APPEAL AFFIDAVIT

I, Silvana Tabares, the Chairman and Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Tabares
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

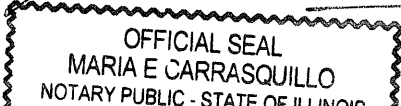
It was an error failing to file.
An oversight.

Silvana Tabares
Signature of Chairman/Treasurer

Signed and Sworn to by:
Silvana Tabares.

before me this 25 Day of Sep., 2013

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

Friends of Tabares
Silvana Tabares
PO Box 6941
Chicago, IL 60680

ID# 23775

7011 2970 0003 6441 8465

Dear Friends of Tabares:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
AT&T Services, Inc on behalf AT&T IL	10/30/2012	\$1000	12/4/2012	19	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 JQ 175

Committee to Elect Max Mitchell

ID #24518

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2013 Quarterly Report

The Report was received by the Board on 7/16/13, 1 day late, resulting in a civil penalty assessment of \$25.

Max Mitchell, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Mitchell states the computer holding the IDIS software with the Committee information failed. He adds the software was put onto a new computer and there was problems getting it to work. Once the problems were repaired, the report was filed.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted.

(As of 6/30/13, this Committee reported a funds available balance of \$1,742.58.)



Kim Patrick – Hearing Officer
October 7, 2013

State of Illinois)
County of: CHAMPAIGN)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 OCT -4 AM 8:40**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Committee to Elect Max Mitchell
Respondent(s).)

Case No. 1390175

APPEAL AFFIDAVIT

I, MAX MITCHELL, the CHAIRMAN of the COMMITTEE TO ELECT MAX MITCHELL
(Name) (Chairman/Treasurer)
COMMITTEE TO ELECT MAX MITCHELL
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

MY COMPUTER HAD THE SOFTWARE FOR THE STATE BOARD OF
ELECTIONS AND COMMITTEE TO ELECT MAX MITCHELL FILED. I NEEDED
TO PUT THE SOFTWARE ONTO A NEW COMPUTER WHICH CAUSED TIME
PROBLEMS IN GETTING IT INTO WORKING CONDITION. ONCE
REQUIRED, REPORT WAS FILED.

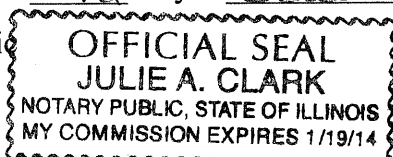
10/2/13 - I CANNOT USE THE AUTOMATED
FILING TO FILE THE CURRENT REPORT.

Max Mitchell
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]

before me this 2nd Day of October, 2013

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

Committee to Elect Max Mitchell
Max Mitchell
3345 Stoneybrook Drive
Champaign, IL 61822

ID# 24518

Dear Committee to Elect Max Mitchell:

7011 2970 0003 6441 6836

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/16/2013, 1 day late. As such, this committee has been assessed a fine of \$25.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".
Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

12 SQ 202

Citizens Opinion Matter (ID 24595)

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 September Quarterly
Report

The report was received on November 16, 2012, 22 day late, resulting in a \$550 civil penalty.

Jose Suarez, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on February 15, 2013.

Mr. Suarez stated that the Committee was formed to support a slate of candidates running for the village offices of Stone Park. The Committee filed the D-1 Statement of Organization prior to crossing the \$3,000 threshold. Mr. Suarez stated that the Committee was confused by the campaign finance laws and assumed that a D-2 Quarterly Report was not due until the \$3,000 threshold was met, rather than the filing deadline of October 15, 2012. The Committee received notice that the report was late and filed accordingly. Mr. Suarez stated that the Committee does not have the funds to pay the fine and asks for leniency.

I understand the Committee's confusion about the Campaign Finance Act, however failure to understand the law is not a valid defense for the late filing. Therefore, I recommend that the appeal be denied. If the Board accepts this recommendation, a \$550 civil penalty will be assessed but stayed as a first time violation. Since this Committee has filed a Final Report on June 26, 2013, I recommend that the fine be abated should this Committee remained dissolved and refrain from establishing a successor Committee for a period for two years following the date of the Final Order imposing the fine. (As of 6/26/13, this committee reported a funds available balance of \$0.)


Tara Molnar – Hearing Officer

March 14, 2013

State of Illinois)
County of: COOK)

CHICAGO

2013 JAN -4 AM 10:51

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

~~STATE BOARD OF ELECTIONS~~

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs. CITIZEN OPINIONS MATTER)
)
JOSE L. SUAREZ)
Respondent(s).)

Case No. 12 SQ 202

APPEAL AFFIDAVIT

I, JOSE L. SUAREZ, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CITIZEN OPINIONS MATTER
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

BEING THAT WE ARE NEW TO THIS, AND OUR LACK OF KNOWLEDGE
OF FUNDS TO HIRE LEGAL REPRESENTATION DUE TO HOURLY COSTS.
DISC, WE THOUGHT THAT D-2 WERE TO BE FILED AS SOON AS
\$3,000 WERE DEPOSITED IN THE ACCOUNT.

Jose L. Suarez
Signature of Chairman/Treasurer

Signed and Sworn to by:

Alma A. Solis
before me this 2 Day of Jan, 2013

Notary Public
(seal)
OFFICIAL SEAL
ALMA A. SOLIS
Notary Public, State of Illinois
My Commission Expires May 18, 2015

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012
ID# 24595

Citizen Opinions Matter
3505 W Lake St
Melrose Park, IL 60160-2825

Dear Citizen Opinions Matter:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on November 16, 2012, 22 days late. As such, this committee has been assessed a fine of \$550.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 MA 040

Citizens for One Wauconda

Cmte ID: 24810

Respondent


REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Schedule A-1 Report was filed on July 19, 2013, 21 days late, stemming from a donation of \$1,000 from the Illinois Association of Realtors, dated June 12, 2013. The Committee has also been fined \$375 for delinquently filing the June 2013 Quarterly Report.

Sal Saccomanno, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Saccomanno stated the delinquent filings were the result of a former treasurer who was good at keeping finances in order but unaware of filing deadlines. Mr. Saccomanno said he has since named himself Treasurer and has experience in the position that should ensure timely filing of all future reports.

I appreciate Mr. Saccomanno's diligence here but recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a total of \$50 would be assessed (10% of the above referenced fine amount) because the violation is the Committee's first regarding an A-1. Added to the fine for the delinquent June Quarterly report, a total of \$425 would be due and owing. As of September 30, 2013, the Committee reported a cash balance of \$903.84.



John Levin – Hearing Officer
October 7, 2013

State of Illinois)
County of: LAKE)

STATE BOARD OF ELECTIONS
13 OCT -4 PM 2:20

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR ONE WAUCONDA)
Respondent(s).)

Case No. 13MA040

APPEAL AFFIDAVIT

I, SAL SACCOMANNO, the TREASURER of the
(Name) (Chairman/Treasurer)
CITIZENS FOR ONE WAUCONDA
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE ATTACHED SHEET OF PAPER

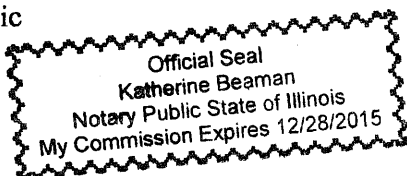
Sal Saccomanno
Signature of Chairman/Treasurer

Signed and Sworn to by:

Kate Beaman

before me this 1 Day of October, 2013

Notary Public
(seal)



To: Illinois State Board Of Elections

RE: Citizens For One Wauconda (ID# 24810)

10-1 -13

Dear Board,

I am writing on behalf of the Citizens For One Wauconda committee. It has come to my attention that the committee failed to file the last D-2 on time and they also didn't file an A-1 in a timely fashion. The former treasurer has been replaced. He was very good at keeping the finances in order but he was not knowledgeable in the states rules regarding reporting. I have filed an amended D-1 naming myself as the new treasurer. I am familiar with the states requirements and have been a treasurer in the past of committees. I am proficient in using the states IDIS reporting software.

This committee began as a grass roots organization with no prior knowledge of how the states reporting process operated. I have offered to assist them going forward to maintain the financial integrity of the committee as well as following all reporting requirements of the state. They have all been advised that any and all transactions go directly to me. I will be the signatory on the banks account and will have access to all monthly bank statements.

I ask that you please consider a reduction in the committee's penalty or if possible eliminate it completely and allow me to show you that in the future all reporting will be done accurately and in a timely fashion as required by our states statuettes.

Sincerely,

A handwritten signature in cursive script that reads "Sal Saccomanno".

Sal Saccomanno

Treasurer-Citizens For One Wauconda

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens for One Wauconda
Jeff Lockhart
2262 Clearbrook Ct
Wauconda, IL 60084

ID# 24810

7011 2970 0003 6441 8205

Dear Citizens for One Wauconda:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 8/5/2013, 15 day(s) late. As such, this committee has been assessed a fine of \$375.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Association of Realtors, IL	6/12/2013	\$1000	7/19/2013	21	\$500

The committee is fined a **total** of \$500.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$425.00.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 JQ 193

230 United
Cmte ID: 24876
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2013 Quarterly Report

The Report was received July 16, 2013, one day late, resulting in an assessment of \$50. The Committee was previously assessed \$100 (not appealed, stayed) for delinquently filing the March 2013 Quarterly Semi-Annual Report.

Dennis Cook, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Cook stated he was out of state on the deadline date and did not have access to his computer on which the Committee's records are kept. He said no one else associated with the Committee had access to the computer, either.

I recommend the appeal be denied. The Report in question covered a period in which the Committee was not active and would not have taken long to file had someone associated with the Committee contacted Board staff prior to the deadline, regardless of whether the specific computer was accessible. If the Board accepts this recommendation, the stay on the \$100 fine for the late March 2013 Quarterly Report would be lifted, and a total of \$150 would be due and owing. The Committee filed a Final Report on September 6, 2013, with an ending balance of 0.00. Accordingly, under Section 125.425 of the Rules accompanying the Act, the assessment would be abated if the Committee does not reactivate within two years of the final board order in this matter.



John Levin – Hearing Officer
October 7, 2013

State of Illinois)
County of : Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 OCT -4 PM 2:21**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
230 United)
Respondent(s).)

Case No. 1399193
130100005

APPEAL AFFIDAVIT

I, Dennis W. Cook, the Treasurer of the
(Name) (Chairman/Treasurer)
230 United
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

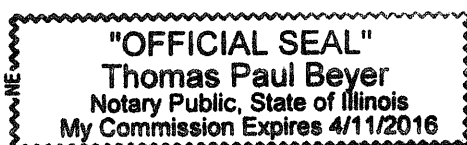
As Treasurer I was responsible for filing the Quarterly report. However, I was
unable to file for this committee because I was out of state traveling and
did not make it back to my computer where I did software was to file. However
I did do it once I returned (1 day late). the other members of the 230
United Committee did not have access to my office to file. We have since
closed the account. I would hope that you could waive this fine. Thank you!

Dennis W. Cook
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]

before me this 4th Day of Oct., 2013

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 24876

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

230 United
Susan Dalton
13801 Elm St
Orland Park, IL 60462

7011 2970 0003 6441 6751

Dear 230 United:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/16/2013, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2013-3/31/2013	Quarterly	\$100
TOTAL AMOUNT NOW DUE		\$150

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

13 AM 041

Committee to Elect J B Johnson 25036

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st Quarter of 2013

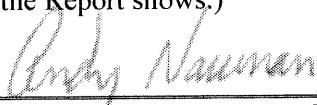
This committee received a \$5,000 contribution on 2/11/13, and reported this contribution on a Schedule A-1 received by the Board on 3/11/13, 12 days late, resulting in a civil penalty assessment of \$2,500. The total assessment is \$2,500.

J B Johnson, the candidate of the committee, appeared at the rescheduled August 21, 2013 appeal hearing.

J B Johnson stated that the loan from her husband George V Johnson was not for \$5,000, but rather for a smaller amount. Mrs. Johnson did not recall the amount of the contribution however she believes it was closer to \$4,800. Mrs. Johnson also could not recall when the contribution was actually deposited and she was having difficulty obtaining information from the bank in relation to the amount and the deposit date. She stated that she informed a bank employee to close the account however that employee never closed the account and fees have since sent the account in to the red. Additionally, Mrs. Johnson stated that when the funds were deposited into the account they were not made available to her for a couple of days after the deposit. Mrs. Johnson wrote on the appeal affidavit that a Schedule A-1 was mailed to the State Board of Elections on 2/13/13 however later in the same affidavit it appears the same Schedule A-1 was rather mailed on 2/11/13. However, during the appeal hearing Mrs. Johnson stated that a Schedule A-1 and a D-1 were faxed to the State Board of Elections on 2/11/13 and she would have given it to her husband to be mailed out on 2/12/13. Mrs. Johnson was a little disturbed that the State Board of Elections concentration was on her, the little person, rather than people like the recent incarcerated Governor and Congressman since she only received \$308 from outside sources.

Ms. Johnson did not affirmatively confirm the actual amount of the contribution however she believes the contribution amount was \$4,800 rather than \$5,000. Additionally, Ms. Johnson could not identify the actual deposit date. Therefore, the hearing officer has no alternative than to use the date that was provided on the filing as the received date. I understand that the Respondent stated that the Statement of Organization and the Schedule A-1 were faxed and mailed to the State Board of Elections on or around 2/11/13 however the State Board of Elections only received a fax containing the Statement of Organization on 2/11/13 and the mailed copy of Statement of Organization and the Schedule A-1 were never received by the State Board of Elections. The State Board of Elections was not made aware of the "\$5,000" contribution until a Schedule A-1 was received via fax on 3/11/13. I recommend that the appeal be denied for lack of an adequate defense. Furthermore, since the Respondent did not provide the hearing officer with any evidence to show that the contribution was not a \$5,000 contribution I recommend the amount of \$5,000 be used for assessment purposes. However, since there is no indication that the violation was anything other than inadvertent and unintentional, I also recommend that this civil penalty be reduced to 10% of the original assessment as a first violation, or \$250. As a first violation the penalty

is stayed. (As of 7/15/13 the Committee filed a Final Report and listed that they had a funds available balance of \$0 however that is not what the Report shows.)

A handwritten signature in cursive script, reading "Andy Nauman", written in dark ink.

Andy Nauman – Hearing Officer
September 26, 2013

State of Illinois)
)
County of: COOK)

STATE BOARD OF ELECTIONS

13 JUL -8 AM 8:37

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Committee to Elect J B Johnson

Respondent(s).

Case No. 13AM041

APPEAL AFFIDAVIT

I, J B Johnson, the J B. JOHNSON, Committee CHAIRMAN & TREASURER of the
(Name) (Chairman/Treasurer)

Committee to Elect J B JOHNSON

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

IF ORDERED TO APPEAR, REQUEST THE CHICAGO LOCATION FOR HEARINGS UNLESS

THIS BOARD IS TO PROVIDE TRANSPORTATION TO THE RESPONDENT. All required forms,

attachments and reporting pages were filed by the required date (here two days after the Committee to

Elect J B Johnson was formed and before the rest of the organization was in place that A-1 was mailed on

February 13, 2013), other forms were filed by their respective filing deadlines, in addition to an amended

form a State Board of Elections employee directed the Committee to re-do and

J B Johnson

Signature of Chairman/Treasurer

Committee to Elect J B Johnson
c/o J B Johnson
111 N Dec Rd
Park Ridge IL 60068-2812

Signed and Sworn to by:

Angela Berger
before me this 2nd Day of July, 2013

Notary Public
(seal)

OFFICIAL SEAL
ANGELA BERGER
Notary Public - State of Illinois
My Commission Expires Jul 25, 2016

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2013
ID# 25036

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Committee to Elect J B Johnson
c/o J B Johnson
111 N Dee Rd
Park Ridge, IL 60068-2812

7011 2970 0003 6442 6224

Dear Committee to Elect J B Johnson:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
George V Johnson	2/11/2013	\$5000	3/11/2013	12	\$2500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 JQ 220

Citizens to Elect Charles Allen January Jr
Respondent

ID# 25190

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2013 Quarterly Report

The Report was received by the Board on July 30, 2013, 11 days late, resulting in a civil penalty assessment of \$275.

Charles Allen January Jr, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. January states that he and the Committee Treasurer were traveling back and forth from South Carolina to assist his ill mother-in-law during the filing period, so he was not able to file the Report on time.

I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 6/30/13, this Committee reported a funds available balance of \$2,988.62.)



Tom Newman – Hearing Officer
October 2, 2013

State of Illinois)
County of: LAKE)

STATE BOARD OF ELECTIONS
13 SEP 26 PM 1:29

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,
Complainant
Vs. Charles Allen January Jr.
&
Citizens to Elect Charles Allen January Jr.
Respondent(s).

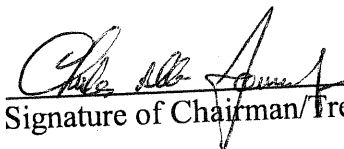
Case No. 1390220

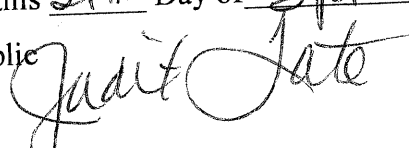
APPEAL AFFIDAVIT

I, Charles Allen January Jr, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Charles Allen January Jr.
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was and with Treasurer back and fourth to South Carolina for Ill Mother in law and was not able to fill papers on time and as soon as I returned and received the papers I called and was told to fax them and this is what I did. I will not be late on filling in the future and please forgive my not filling them on time and will file electronicly from now on .


Signature of Chairman/Treasurer

Signed and Sworn to by:
Judith Tate
before me this 24th Day of Sept, 2013
Notary Public
al) 



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens to Elect Charles Allen January Jr. ID# 25190
Kathy Ann January/Charles Allen January Jr.
2364A Delaware Ave
Great Lakes, IL 60086

7011 2970 0003 6441 7161

Dear Citizens to Elect Charles Allen January Jr.:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

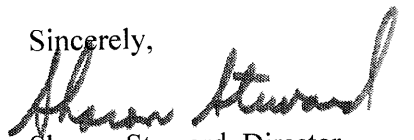
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/30/2013, 11 days late. As such, this committee has been assessed a fine of \$275.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold 217-782-1543.

Sincerely,


Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AM 110

Warren First Political Party
Cmte ID: 25253
Respondent

REPORT OF HEARING OFFICER

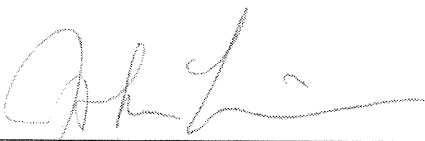
Appeal of Civil Penalty Assessment
For Failing to File Two (2) Schedule A-1 Reports

The Committee failed to file required Schedule A-1 Reports with regards to two (2) donations from Suzanne Simpson. The donations were first reported on the Committee's March 2013 Quarterly Report, received April 15, 2013. The first donation, received on March 15, 2013, was for \$3,649.83 and was reported 19 days late; the second, received on March 26, 2013, was for \$1,580 and was reported 12 days late. The total fine is \$2,615. This is the Committee's first assessment. The fine amount is automatically reduced to \$262 (10% of the above referenced fine amount) as the product of a first A-1 violation.

George Iler, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter.

Mr. Iler stated the failure to file required Schedule A-1s was unintentional and was not an effort to evade reporting.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a total of \$262 would be due and owing. The Committee filed a Final Report on July 11, 2013, with an ending balance of \$0.00. Accordingly, under Section 125.425 of the Rules accompanying the Act, the assessment would be abated if the Committee does not reactivate within two years of the final board order in this matter.



John Levin – Hearing Officer
October 7, 2013

STATE BOARD OF ELECTIONS

13 OCT -4 PM 2:20

The Warren First Political Party ID# 25253

George Iler, Treasurer
4595 Kings Way N.
Gurnee, IL 60031
847-244-7203, ilerg@comcast.net

13AM 110

September 26, 2013

State Board of Elections
Attn: Campaign Disclosure
2329 S MacArthur Blvd.
Springfield, IL 62704-4503

RE: Delinquency Fine

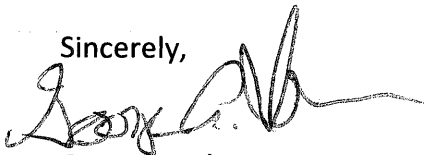
Dear Election Board Members:

The Warren First Political Party hereby requests relief from civil fines as a result of inadvertent delinquency filing of Schedule A-1. The subject contributions were made on March 15 and March 26, 2013 and reported on Schedule A on March 26, 2013 on the March Quarterly Report. This oversight was unintentional and an oversight by the organizational treasurer with no intention whatsoever to evade reporting.

Additionally, the Warren First Party was terminated effective June 30, 2013.

Thank you for your consideration.

Sincerely,



George A. Iler
Treasurer
Warren First Political Party

State of Illinois)
County of COKE)

STATE BOARD OF ELECTIONS

13 OCT -4 PM 2:20

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
WARREN FIRST POLITICAL PARTY)
Respondent(s).)

Case No. 13AM110

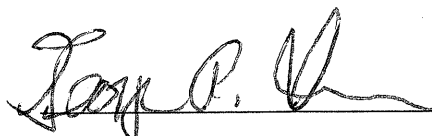
WAIVER OF APPEARANCE

I, GEORGE A. ILER, the TREASURER of the
(Name) (Chairman/Treasurer)

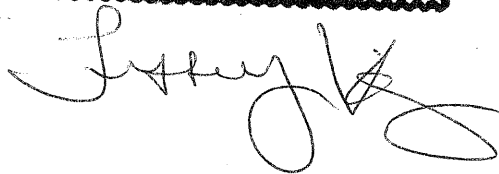
WARREN FIRST POLITICAL PARTY

(Name of Committee)

Committee, appeals on behalf of the said committee the assessment of civil penalty proposed in this matter and submits in support of that appeal the accompanying affidavit. The said committee waives personal appearance before the State Board of Elections for hearing on this appeal and agrees that the State Board of Elections may enter its order with respect to this appeal in the absence of a representative of the committee.



(Signature of Chairman/Treasurer)



OVER

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 25253

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Warren First Political Party
George Iler
4595 Kings Highway North
Gurnee, IL 60031

7011 2970 0003 6441 8090

Dear Warren First Political Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Suzanne Simpson	3/15/2013	\$3649.83	*Not Filed	19	\$1825
Suzanne Simpson	3/26/2013	\$1580	*Not Filed	12	\$790

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2615.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$262, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AJ 049

Friends of Janet Ryan Grasso ID# 25298
Respondent

REPORT OF HEARING OFFICER

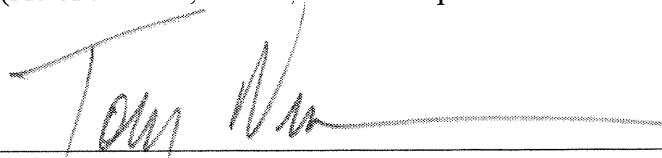
Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,300 contribution on 5/14/13 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$650. Additionally, the Committee had previously been assessed a \$500 civil penalty (appealed, denied, stayed) for delinquent filing of the March 2013 Quarterly Report. The total assessment is \$1,150.

Gary Grasso, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Grasso states that the Committee raised less than \$2,500 in total, and therefore did not believe the contribution in question had to be reported on an A-1. He adds that the Committee has filed the paperwork to close the campaign fund.

Once it filed a Statement of Organization, the Committee was subject to the reporting requirements of the Disclosure Act. The contribution should therefore have been reported on a Schedule A-1. I recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for the Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$65. If these recommendations are accepted by the Board, the stay would be lifted from the previous penalty and the total assessment of \$565 will be due and owing. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the Board order imposing the fine, the fine be abated. (As of 9/15/13, this Committee reported a funds available balance of \$0.)



Tom Newman – Hearing Officer
September 25, 2013

State of Illinois)

County of : DuPage)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 13 SEP 23 PM 4:08
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Friends of Janet Ryan
Respondent(s). GRASSO)

Case No. 25298
13A9049

APPEAL AFFIDAVIT

I, Gary Grasso, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Janet Ryan Grasso
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Because of committee only raised - as expected -
less than the \$2,500 to start a committee,
we did not believe it had to be reported. We have
now filed paperwork to close the campaign
fund (it has only about \$70) and was only for a
local village trustee election.

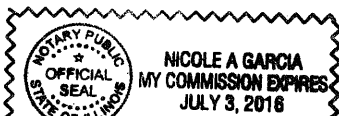
Gary Grasso
Signature of Chairman/Treasurer

Signed and Sworn to by:

Nicole Garcia

before me this 19th Day of September, 2013

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

Friends of Janet Ryan Grasso
760 Village Center Dr, Suite 200
Burr Ridge, IL 60527-4507

ID# 25298

7011 2970 0003 6440 6929

Dear Friends of Janet Ryan Grasso:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Gary Grasso	5/14/2013	\$1300	*not filed	32	\$650

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$650.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$65.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
1/1/2013-3/31/2013	Quarterly	\$500
TOTAL AMOUNT NOW DUE		\$1150

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 D1-008

Committee to Elect Greg Voudrie Coles County Sheriff
Respondent ID# 25415

REPORT OF HEARING OFFICER

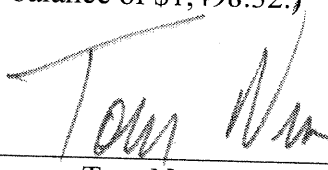
Appeal of Civil Penalty Assessment for Delinquent Filing of the Statement of Organization

The Statement of Organization was received by the Board on May 28, 2013, 26 days late, resulting in a civil penalty assessment of \$1,300.

Gregory Russell Voudrie, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Voudrie states that as a new candidate, he contacted the Board for information about required reporting, and was told that he did not need to start reporting until he reached the \$3,000 threshold. He says he opened his account by depositing \$5,000 of personal funds on April 5. Mr. Voudrie says he later realized he should have begun reporting at this time and so sent in his D-1 form along with a letter admitting his mistake. He adds that he did not understand that he needed to file the D-1 first to begin the reporting process and does not remember being told so, but he did his best to correct the problem once he became aware of it.

The Committee crossed the creation threshold when the \$5,000 deposit was made on April 5, and the Statement of Organization should have been filed within 10 business days of this date. Whether Mr. Voudrie remembers it or not, I am confident he was informed that the first filing for a political committee is the D-1 Statement of Organization, as this information is given routinely and regularly to those that inquire. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 9/30/13, this Committee reported a funds available balance of \$1,498.32.)



Tom Newman – Hearing Officer
October 2, 2013

State of Illinois)
County of: coles)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **\$3 SEP 25 AM 11:20**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee to Elect Greg Voudrie Coles)
Respondent(s). County Sheriff)

Case No. 25475 13DI-008

APPEAL AFFIDAVIT

I, Gregory Russell Voudrie, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to Elect Greg Voudrie Coles County Sheriff
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

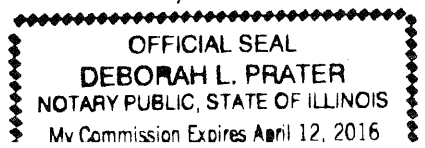
See attached letters dated May 22, 2013 and September 23, 2013.

Gregory Russell Voudrie
Signature of Chairman/Treasurer

Signed and Sworn to by:
Deborah L. Prater

before me this 23rd Day of September, 2013

Notary Public
(seal)



Illinois State Board of Elections

Attn: Campaign Disclosure

2329 S. MacArthur Blvd.

Springfield, IL 62704-4503

To whom it may concern,

My name is Greg Voudrie and I am a retired police officer seeking the office of Sheriff of Coles County in the upcoming 2014 elections. I began this journey this past spring and the first thing I did was phone the State Board of Elections and inquire about the process, especially in regards to the campaign financing and reporting. I was told that I didn't need to worry about the financial reporting until the committee had spent \$3,000. I then opened the account, depositing \$5,000 to start the account with my own personal funds. As we approached the \$3,000 limit and I began to look at the reporting process, I discovered that I had committed an error in not filing our D-1 at the time of opening the account and I self-reported this mistake (see enclosed letter submitted May 22nd).

In response to my error, I received notice that I had been assessed a civil penalty of \$1,300 for the lateness in filing the D-1. While I am grateful that the penalty was stayed, I would still like to appeal this ruling. I would ask the board to consider the following factors:

- I am new to the political process, and was relying on information provided to me over the phone in regards to this process. Perhaps I was just overly concerned about the financial reporting and missed the advice that I needed to file the D-1 to start the process first. I do not remember being told this.
- Secondly, the funds used to open the account were my own personal funds, not funds that were raised.
- Thirdly, I self-reported the error when I discovered it and acted to rectify the oversight as quickly as I could.
- Fourthly, it is my understanding from speaking with Laura Marbold, that the required level to start this process was raised from \$3,000 to \$5,000 this past June. Had this been the case just months previous, I would not have been in violation (my understanding is the reporting is required when you have accumulated this level of funding, not just spent it).

Lastly, I would ask the board to consider the issues mentioned in the civil penalty assessment section (125.425 (d) 4-A), specifically that this oversight was inadvertent and unintentional and that there had not been previous violations. I appreciate the board's time to consider this appeal and should I need to provide any additional information, please feel free to contact me any time.

Sincerely,

A handwritten signature in black ink, appearing to read "Gregory R. Voudrie". The signature is stylized with a large, looped "G" and a long, horizontal stroke at the end.

Gregory R. Voudrie

May 22, 2013 STATE BOARD OF ELECTIONS

13 SEP 25 AM 11:20

Illinois State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704-4503

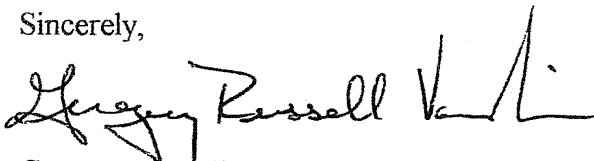
To whom it may concern,

I am writing to offer an explanation for the tardiness of submitting this Statement of Organization for my candidacy for the office of Sheriff of Coles County in the 2014 election process. I am new to the political process and instead of reading through the guidelines, on – or about – April 5th of this year, I phoned the Springfield office with questions regarding the financial reporting and what I needed to do. I was told that I could spend up to \$3,000 before the reporting requirement kicked in. What I failed to inquire about was filing the D-1 first, which in hindsight now, appears should have been the first step.

Also, when I set up the account, I deposited \$5,000 of my own funds as a personal contribution to start the committee. On reading the guidelines, it appears that this also would have meant I needed to start the reporting process within ten days (which I obviously did not). From reading through the guidelines, I believe that we are still within the April 1 – June 30th quarter for reporting, so I am under the assumption that the D-2 report should not be submitted for the first time until after June 30th. If this is incorrect, please let me know as soon as possible.

In closing, let me just apologize for putting the cart before the horse. Any questions, please feel free to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "Gregory Russell Voudrie". The signature is fluid and cursive, with a large initial "G" and "V".

Gregory Russell Voudrie

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
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Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Committee to Elect Greg Voudrie Coles County Sheriff
PO Box 425
Mattoon, IL 61938

ID# 25415

7011 2970 0003 6441 8380

Dear Committee to Elect Greg Voudrie Coles County Sheriff:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

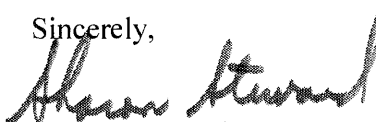
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 5/28/2013, 26 day(s) late. As such, this committee has been assessed a fine of \$1300.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12 MQ-CL 002

Friends of Ken Dunkin (ID 11436)
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for a Violation of Contribution Limits

During the election cycle, this Committee received In-Kind Contributions valued at \$48,017 from Hold On Communications and \$15,000 from Maldo Management, exceeding the \$10,000 contribution limit from a corporation to a candidate political committee and failed to return the portion in excess of the limit to the contributor or donate the same to charity, resulting in an escheatment of \$43,017 and a civil penalty of \$64,525.50. Since this is the first contribution limit violation, the fine is reduced to \$6,453 or 10% of the above-referenced amount. The total assessment including the escheatment is \$49,470.00.

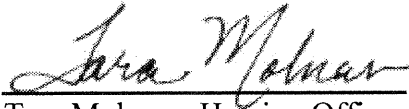
Ken Dunkin, Candidate, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 28, 2012.

Attorney Michael Kasper appeared on behalf of the Respondent. Mr. Kasper stated that Hold On Communications is a political consulting firm that performed printing and mail services for the Committee in the amount of \$48,017. Currently, the Committee is disputing a portion of the outstanding invoice and the amount has not been paid. This entry should have been listed as a debt and obligation; however the Committee inadvertently listed these expenditures as in-kind contributions on the 2012 March Quarterly Report. The Respondent submitted a letter issued by counsel for Hold On Communications stating that the amounts reported were not considered in-kind contributions.

Mr. Kasper stated that the in-kind contributions from Maldo Management represented office space used by the campaign. In July of 2013, the Committee reimbursed \$4,500 to Maldo Management as payment for the in-kind amount received over the limit.

I recommend the appeal be granted related to the in-kind contributions from Hold On Communications valued at \$48,017. The Respondent supported its claim that the Committee inadvertently reported the contribution as in-kind contributions rather than a debt and has amended the report accordingly. I recommend that the appeal be denied related to the in-kind contributions from Maldo Management valued at \$15,000. 10 ILCS 5/9-8.5(b) indicates that the value of the in-kind contribution from a business cannot exceed the contribution limit of \$10,000 during an election cycle. A Committee is allowed to accept rent for office space valued at \$10,000. Rent accepted in excess of the limit must be

returned to the vendor by way of expenditure. The Committee reimbursed Maldo Management \$4,500; however this does not cover the exceeded limit of \$5,000. Based upon the Committee's partial repayment of \$4,500, I recommend that the Committee escheat \$500 to the State and a \$75 civil penalty will be assessed but stayed as a first time contribution limit violation. (As of 6/30/13, this Committee reported a funds available balance of \$190,968.36).

A handwritten signature in cursive script, reading "Tara Molnar", written in black ink.

Tara Molnar – Hearing Officer
September 10, 2013

State of Illinois)
County of: COOK)

CHICAGO

2012 JUL -2 PM 3:56

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Ken Dunkin)
Respondent(s).)

Case No. 12MQ-CL002

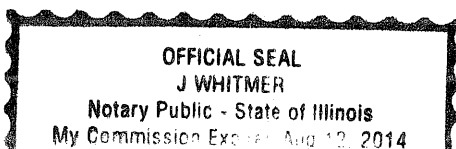
APPEAL AFFIDAVIT

I, Ken Dunkin, the Chairman of the
(Name) (Chairman/Treasurer)
Friends of Ken Dunkin
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Ken Dunkin
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]
before me this 2nd Day of July, 2012
Notary Public
(seal)



RANDY CRUMPTON
ATTORNEY AT LAW

**Three First National Plaza
70 West Madison
SUITE 1400
CHICAGO, ILLINOIS 60602**

Telephone: 312-214-3327

**Facsimile: 312-214-3341
Email: rancrump@aol.com**

August 7, 2012

Friends of Ken Dunkin
P. O. 16802
Chicago, Illinois 60616

RE: Hold On Communications, In-Kind Contribution to Friends of Ken Dunkin

Dear Sir or Madam:

Please be advised that I represent Hold On Communications. This letter is to correct an error on behalf of my client, Hold On Communications, with regards to an in-kind contribution and invoices made to the Friends of Ken Dunkin. Hold On Communications, stand by all of its invoices.

The invoices in the amounts of \$9,412.00, \$16,860.00, \$5,885.00 and \$15,860.00 were reported as in-kind contributions but should have been invoiced to the committee and are considered a debt to the company. While the committee disputes the invoices, my client believes them to be accurate. Hold On Communications is prepared to work something out with the committee to settle this matter. We apologize for the communication and billing errors.

If you have any questions, please call me,

Sincerely,


Randy Crumpton

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
May 31, 2012

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Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Ken Dunkin
Samuel Parrott
1520 N Wells St
Chicago, IL 60610

ID# 11436

RE: Violation of Contribution Limits

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(1):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Hold On Communications	3/16/12	\$1785	\$10000	
Hold On Communications	3/16/12	\$485	\$10000	
Hold On Communications	3/16/12	\$425	\$10000	
Hold On Communications	3/16/12	\$16860	\$10000	
Hold On Communications	3/16/12	\$5885	\$10000	
Hold On Communications	3/16/12	\$15860	\$10000	
Hold On Communications	3/16/12	\$385	\$10000	
Hold On Communications	3/16/12	\$2485	\$10000	
Hold On Communications	3/16/12	\$870	\$10000	
Hold On Communications	3/16/12	\$870	\$10000	
Hold On Communications	3/16/12	\$1285	\$10000	
Hold On Communications	3/16/12	\$485	\$10000	
Hold On Communications	3/16/12	\$337	\$10000	
	TOTAL	\$48017	\$10000	\$38017

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Maldo Management	3/21/11	\$1500		
Maldo Management	5/27/11	\$1500		
Maldo Management	6/29/11	\$1500		
Maldo Management	7/29/11	\$1500		
Maldo Management	10/14/11	\$3000		
Maldo Management	12/12/11	\$4500		
Maldo Management	1/13/12	\$1500		
	TOTAL	\$15000	\$10000	\$5000

The committee did not return the portion of the contribution exceeding \$10,000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$64,525.50, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$6453.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

The total amount of \$49,470.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid within 30 days of the issuance of the Board Order.

Enclosed please find Section 10 of ILCS 5/9-8.5(j) of the Act, Section 100.75(d-g) of the Rules and Regulations, and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. Appeal forms may also be found on our website, www.elections.il.gov. Notice of Appeal must be filed within 30 days of the date of this assessment letter for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-0608. If you have questions regarding the Act or the contribution limits themselves, please call the Campaign Disclosure Division at 217/782-4141.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: sk

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

12 CD 064

Oak Lawn Leadership Team,
Respondent.

ID# 21642

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2011 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on April 16, 2012, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

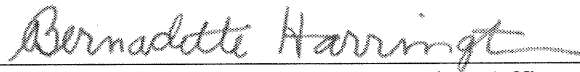
The Respondent filed a Statement of Organization on January 26, 2009 with the purpose of supporting members of the Leadership Team. As of the date of this report, the Respondent has failed to file the required December 2011 Quarterly report. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the August 5, 2013 Public Hearing and was provided adequate notice; however, following the Public Hearing, the committee filed the delinquent December 2011 Quarterly Report.

CONCLUSIONS AND RECOMMENDATIONS

In light of the Respondent's filing of the required report, I recommend that the Complaint be found to have been filed on justifiable grounds but be dismissed and no further action be taken by the Board other than referral of the matter to appropriate staff for the assessment of civil penalties related to the late filing of the report.

A handwritten signature in cursive script, reading "Bernadette Harrington", is positioned above a horizontal line.

Bernadette Harrington – Hearing Officer
October 11, 2012



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 12CD064

NAME AND ADDRESS OF RESPONDENT:

21642
Oak Lawn Leadership Team

Jerry Hurckes
7036 W 96th St
Oak Lawn, IL 60453-2013

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

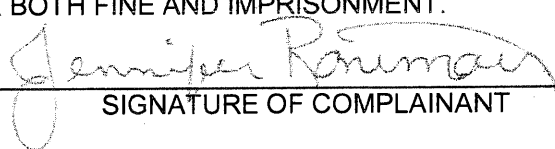
Failure to file the December 2011 Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

April 16, 2012
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

a) BY PERSONALLY DELIVERING THE SAME ON THE ____ DAY OF _____, 20__ AT ____ O'CLOCK ____ M.
(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMENT AGE).OR:

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 16th DAY OF APRIL, 2012, AT THE MAIL BOX OR POSTAL STATION LOCATED AT:

2105 EAST COOK STREET, SPRINGFIELD, IL 62703


SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 16 DAY OF APRIL, 2012


NOTARY PUBLIC



INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS REVISED STATUTES, CHAPTER 46, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.
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3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

12 CD 081

Illinois Black Business PAC,
Respondent.

ID# 22756

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2010 Semi-annual Report, and the March 2011, June 2011, September 2011 and December 2011 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on April 16, 2012, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

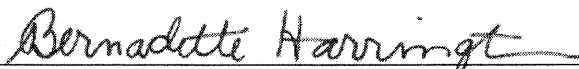
The Respondent filed a Statement of Organization on May 10, 2010 with the purpose of supporting candidates who are supportive of African American owned and operated businesses in the State of Illinois. The Respondent filed a Semi-annual report on September 3, 2010, which showed a positive balance of \$100.00. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT'S CASE-IN-CHIEF

The Respondent appeared at the October 9, 2012 Public Hearing and indicated that the delinquent reports would be filed along with a Final Report; however, at the time of this report, neither the delinquent reports nor a Final Report have been filed.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to file the necessary reports, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent Semi-annual and Quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", is written over a horizontal line.

Bernadette Harrington – Hearing Officer
October 11, 2012



**FORM
D-4**

**COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT**

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 12CD081

NAME AND ADDRESS OF RESPONDENT:

22756
Illinois Black Business PAC

c/o Dillarad and King
705 Lyman Ave
Oak Park, IL 60304-1613

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the December 2010 Semi-Annual, March 2011 Quarterly, June 2011 Quarterly, September 2011 Quarterly and December 2011 Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

April 16, 2012

DATE

Jennifer Rouman
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

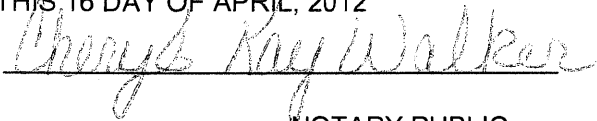
a) BY PERSONALLY DELIVERING THE SAME ON THE ____ DAY OF _____, 20__ AT ____ O'CLOCK ____ M. (NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMATE AGE).OR:

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 16th DAY OF APRIL, 2012, AT THE MAIL BOX OR POSTAL STATION LOCATED AT:

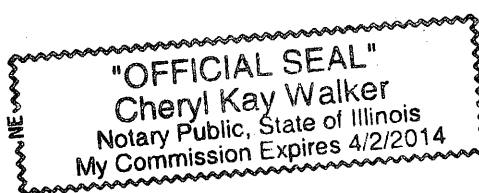
2105 EAST COOK STREET, SPRINGFIELD, IL 62703


SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 16 DAY OF APRIL, 2012



NOTARY PUBLIC



INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS REVISED STATUTES, CHAPTER 46, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.
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STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 006

Illinois School Psychologists PAC,
Respondent.

ID# 409

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2012 and September 2012 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

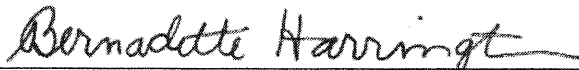
The Respondent filed a Statement of Organization on December 6, 1982 with the purpose of providing support to individuals and groups who advocate for the promotion of education and mental health of children and families. The Respondent most recently filed the March 2012 Quarterly Report. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The last filing shows a positive balance of \$4,243.72. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the August 12, 2013 Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent Quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", is written over a horizontal line.

Bernadette Harrington – Hearing Officer

August 15, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD006

NAME AND ADDRESS OF RESPONDENT:

409
Illinois School Psychologists PAC
Blake E Martin
24 Burning Tree
Chatham, IL 62629

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 June and September Quarterlies Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE

Jennifer Rouman
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

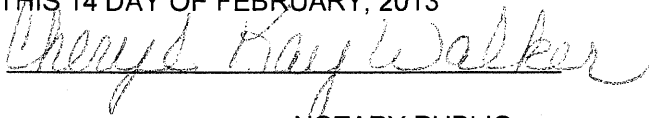
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(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMATE AGE).OR:

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 14th DAY OF FEBRUARY, 2013, AT THE MAIL BOX OR POSTAL STATION LOCATED AT:

2105 EAST COOK STREET, SPRINGFIELD, IL 62703


SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 14 DAY OF FEBRUARY, 2013



NOTARY PUBLIC



INSTRUCTIONS

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STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 009

Committee to Re-elect Mike Slape,
Respondent.

ID# 1068

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2009, December 2009, June 2010, and December 2010 Semi-annual Reports and the March 2011, June 2011, September 2011, December 2011, March 2012, June 2012, and September 2012 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

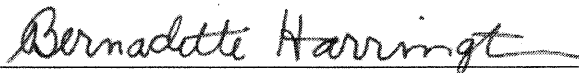
The Respondent filed a Statement of Organization on November 15, 1977 with the purpose to work for the nomination and election of Mike Slape to the General Assembly of the State of IL. The Respondent most recently filed the December 2008 Semi-annual Report, which shows a positive balance of \$20,250.00. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the August 12, 2013 Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its failure to file the required Semi-annual and Quarterly reports, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent Semi-annual and Quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", is written over a horizontal line.

Bernadette Harrington – Hearing Officer

August 15, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD009

NAME AND ADDRESS OF RESPONDENT:

1068
Committee to Re-Elect Mike Slape
200 S Missouri Ave
Belleville, IL 62220-3868

Michael Slape
P.O. Box 211
Pocahontas, IL 62275

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2009 Januauary and December Semi-Annuals, 2010 January and Decmeber Semi-Annuals, 2011 March, June, September, and December Quarterlies, and 2012 March, June, and September Quarterlies Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE

Jennifer Roman
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

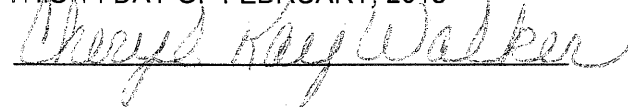
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(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMENT AGE).OR:

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2105 EAST COOK STREET, SPRINGFIELD, IL 62703


SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 14 DAY OF FEBRUARY, 2013



NOTARY PUBLIC



INSTRUCTIONS

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STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 031

Citizens to Elect Alvin Parks Jr,
Respondent.

ID# 19743

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2012, June 2012 and September 2012 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

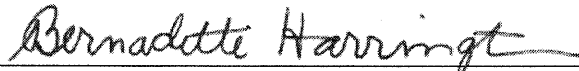
The Respondent filed a Statement of Organization on June 15, 2006 with the purpose of assisting Alvin Parks Jr. in pursuing elected office, particularly the position of Mayor. The Respondent most recently filed the March 2011 Quarterly Report, which shows a positive balance of \$2,143.21. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", written over a horizontal line.

Bernadette Harrington – Hearing Officer
August 15, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD031

NAME AND ADDRESS OF RESPONDENT:

19743
Citizens to Elect Alvin Parks Jr
Claudia E Nash-Thomas
144 N 16th St
East St Louis, IL 62203

Alvin Parks Jr
8922 Woestboul Ave
East St Louis, IL 62203

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

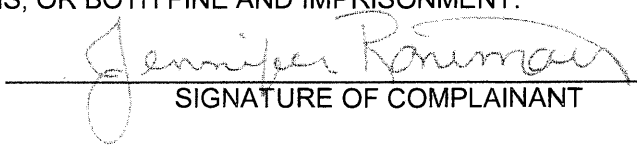
Failure to file the 2012 March, June, and September Quarterlies Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:


a) BY PERSONALLY DELIVERING THE SAME ON THE ____ DAY OF _____, 20__ AT ____ O'CLOCK ____ M.
(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMENT AGE).OR:

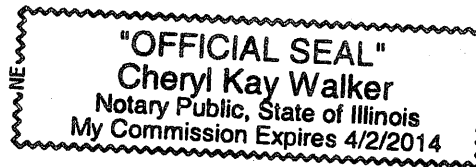
b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 14th DAY OF FEBRUARY, 2013, AT THE MAIL BOX OR POSTAL STATION LOCATED AT:

2105 EAST COOK STREET, SPRINGFIELD, IL 62703


SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 14 DAY OF FEBRUARY, 2013


NOTARY PUBLIC



INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS REVISED STATUTES, CHAPTER 46, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.
2. THE FILING AND HEARING OF COMPLAINTS ARE GOVERNED BY RULES AND REGULATIONS ADOPTED BY THE BOARD. IF A COMPLAINT IS FILED WITHIN 60 DAYS PRIOR TO THE DATE OF AN ELECTION IN REFERENCE TO WHICH THE COMPLAINT IS FILED, THE COMPLAINANT MUST SERVE A COPY OF THE COMPLAINT UPON ALL RESPONDENTS PRIOR TO THE TIME OF FILING. COPIES OF THE RULES AND REGULATIONS ARE AVAILABLE UPON REQUEST.
3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 045

Oak Lawn Leadership Team,
Respondent.

ID# 21642

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2012 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

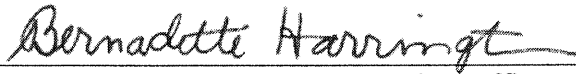
The Respondent filed a Statement of Organization on January 26, 2009 with the purpose of supporting members of the Leadership Team. As of the date of this report, the Respondent has failed to file the required March 2012 Quarterly report. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the August 5, 2013 Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent Quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", written over a horizontal line.

Bernadette Harrington – Hearing Officer
August 7, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD045

NAME AND ADDRESS OF RESPONDENT:

21642
Oak Lawn Leadership Team
Jerry Hurckes Joe Conroy Debbie Janicke Dan Geraghty Terry Vorderer
7036 W 96th Street 10609 S Kilbourn 4317 W 99th St 5828 W 90th St 9609 S Kolin Ave
Oak Lawn, IL 60453 Oak Lawn, IL 60453 Oak Lawn, IL 60453 Oak Lawn, IL 60453 Oak Lawn, IL 60453

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 March Quarterly (January 1 through March 21) Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013

DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

a) BY PERSONALLY DELIVERING THE SAME ON THE ____ DAY OF _____, 20__ AT ____ O'CLOCK ____ M.
(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMENT AGE).OR:

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 14th DAY OF FEBRUARY, 2013, AT THE MAIL BOX OR POSTAL STATION LOCATED AT:

2105 EAST COOK STREET, SPRINGFIELD, IL 62703

Jennifer Ronimus
SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 14 DAY OF FEBRUARY, 2013

Cheryl Kay Walker
NOTARY PUBLIC



INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS REVISED STATUTES, CHAPTER 46, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.
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3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 055

Illinois Black Business PAC,
Respondent.

ID# 22756

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2011, June 2011, September 2011, December 2011, March 2012, June 2012, and September 2012 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

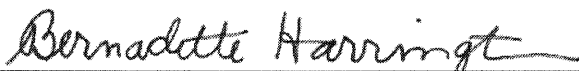
The Respondent filed a Statement of Organization on May 10, 2010 with the purpose of supporting candidates who are supportive of African American owned and operated businesses in the State of Illinois. The Respondent filed a Semi-annual report on September 3, 2010. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The last filing shows a positive balance of \$100.00. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the August 5, 2013 Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent Quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script that reads "Bernadette Harrington". The signature is written in dark ink and is positioned above a horizontal line.

Bernadette Harrington – Hearing Officer

August 7, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD055

NAME AND ADDRESS OF RESPONDENT:

22756
Illinois Black Business PAC
Robert Dale c/o Dillarad & King,
705 S Lyman
Oak Park, IL 60304

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2011 March, June, September, and December Quarterlies and 2012 March, June, and September Quarterlies Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013

DATE

Jennifer Rannan
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

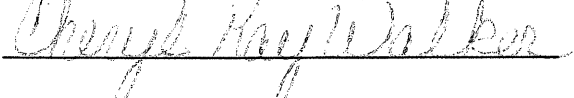
a) BY PERSONALLY DELIVERING THE SAME ON THE ____ DAY OF _____, 20__ AT ____ O'CLOCK ____ M.
(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMENT AGE).OR:

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 14th DAY OF FEBRUARY, 2013, AT THE MAIL BOX OR POSTAL STATION LOCATED AT:

2105 EAST COOK STREET, SPRINGFIELD, IL 62703


SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 14 DAY OF FEBRUARY, 2013


NOTARY PUBLIC



INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS REVISED STATUTES, CHAPTER 46, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.
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3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 068

Education First Party,
Respondent.

ID# 23516

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2012, June 2012 and September 2012 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

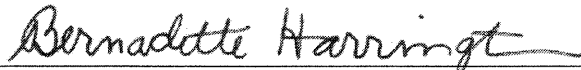
The Respondent filed a Statement of Organization on March 10, 2011 with the purpose of supporting candidates for the election to school board of Bellwood School District 88. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the August 5, 2013 Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent Quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", written over a horizontal line.

Bernadette Harrington – Hearing Officer

August 7, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD068

NAME AND ADDRESS OF RESPONDENT:

23516

Education First Party
PO Box 66
Bellwood, IL 60104-0066

Ronald "R C" Anderson
141 Linden Ave
Bellwood, IL 60104

Tommie Miller
350 Bellwood Ave
Bellwood, IL 60104

Daphene Walker
331 Bellwood Ave
Bellwood, IL 60104

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 March, June, and September Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013

DATE



SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

a) BY PERSONALLY DELIVERING THE SAME ON THE ____ DAY OF _____, 20__ AT ____ O'CLOCK ____ M.
(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMENT AGE).OR:

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 14th DAY OF FEBRUARY, 2013, AT THE MAIL BOX OR POSTAL STATION LOCATED AT:

2105 EAST COOK STREET, SPRINGFIELD, IL 62703

Jennifer Ronimus
SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 14 DAY OF FEBRUARY, 2013

Cheryl Kay Walker

NOTARY PUBLIC



INSTRUCTIONS

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3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 069

Friends of Dick Breckenridge,
Respondent.

ID# 23617

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2012 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

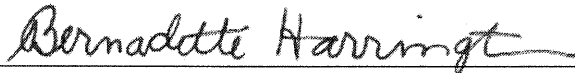
The Respondent filed a Statement of Organization on April 4, 2011 with the purpose to support re-election. The Respondent most recently filed the June 2012 Quarterly Report, which shows a positive balance of \$1,138.66. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", is written over a horizontal line.

Bernadette Harrington – Hearing Officer

August 15, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD069

NAME AND ADDRESS OF RESPONDENT:

23617
Friends of Dick Breckenridge
Richard (Dick) Breckenridge
1560 N 1100 E Rd
Taylorville, IL 62568

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

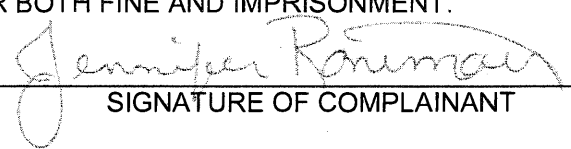
Failure to file the 2012 September Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

a) BY PERSONALLY DELIVERING THE SAME ON THE ____ DAY OF _____, 20__ AT ____ O'CLOCK ____ M.
(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMENT AGE).OR:

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 14th DAY OF FEBRUARY, 2013, AT THE MAIL BOX OR POSTAL STATION LOCATED AT:

2105 EAST COOK STREET, SPRINGFIELD, IL 62703

Jennifer Ronimus

SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 14 DAY OF FEBRUARY, 2013

Cheryl Kay Walker

NOTARY PUBLIC



INSTRUCTIONS

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STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 081

Tammy Baer for Douglas County State's Attorney,
Respondent.

ID# 24201

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2012 and September 2012 Quarterly Reports of Campaign Contributions and Expenditures.

STATE'S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT'S CASE-IN-CHIEF

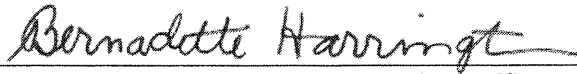
The Respondent filed a Statement of Organization on February 7, 2012 with the purpose to support the candidacy of Tammy Baer for the office of State's Attorney-Douglas County, IL. The Respondent most recently filed the March 2012 Quarterly Report, which shows a positive balance of \$838.80. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", is written over a horizontal line.

Bernadette Harrington – Hearing Officer
August 15, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD081

NAME AND ADDRESS OF RESPONDENT:

24201
Tammy Baer for Douglas County State's Attorney
Tammy L Baer Michael Baer
PO Box 236
Tuscola, IL 61953

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

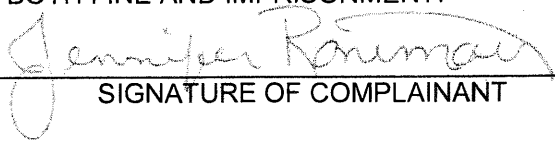
Failure to file the 2012 June and September Quarterlies Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, JENNIFER RONIMOUS, HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

a) BY PERSONALLY DELIVERING THE SAME ON THE ____ DAY OF _____, 20__ AT ____ O'CLOCK ____ M.
(NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMATE AGE).OR:

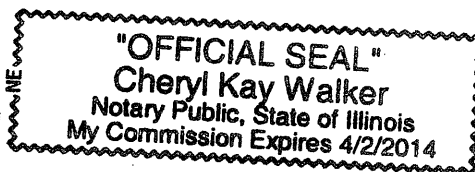
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2105 EAST COOK STREET, SPRINGFIELD, IL 62703

Jennifer Ronimus
SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 14 DAY OF FEBRUARY, 2013

Cheryl Kay Walker
NOTARY PUBLIC



INSTRUCTIONS

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2329 S MacArthur Blvd
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 084

Friends of Tom Bucher,
Respondent.

ID# 24290

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2012 and September 2012 Quarterly Reports of Campaign Contributions and Expenditures.

STATE'S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT'S CASE-IN-CHIEF

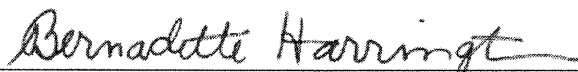
The Respondent filed a Statement of Organization on March 9, 2012 with the purpose to elect Tom Bucher State's Attorney. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", written over a horizontal line.

Bernadette Harrington – Hearing Officer

August 15, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD084

NAME AND ADDRESS OF RESPONDENT:

24290
Friends of Tom Bucher
Tom Bucher
PO Box 1002
Charleston, IL 61920

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 June and September Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

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February 14, 2013
DATE

Jennifer Rouman
SIGNATURE OF COMPLAINANT

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Jennifer Ronimus
SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 14 DAY OF FEBRUARY, 2013

Cheryl Kay Walker

NOTARY PUBLIC



INSTRUCTIONS

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CHICAGO, IL 60601-3232

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 090

Taking Back America,
Respondent.

ID# 24494

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2012 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

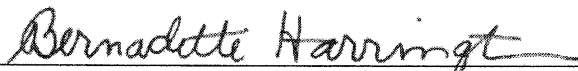
The Respondent filed a Statement of Organization on August 17, 2012 with the purpose of informing and educating voters. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent did participate telephonically at the Closed Preliminary Hearing and indicated that the D-1 Statement of Organization was filed in error because the committee never crossed the \$3,000.00 threshold; however, at the time of this report, no documentation has been received to verify such statement.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to file the necessary quarterly report, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.

A handwritten signature in cursive script, reading "Bernadette Harrington", written over a horizontal line.

Bernadette Harrington – Hearing Officer

August 15, 2013



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD090

NAME AND ADDRESS OF RESPONDENT:

24494
Taking Back America
Roger K Davis Laura Davis
2023 Spruce St
Quincy, IL 62301

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 September Quarterly Report(s) of Campaign Contributions and Expenditures

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February 14, 2013
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SIGNATURE OF COMPLAINANT OR AGENT

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Cheryl Kay Walker

NOTARY PUBLIC



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STATE BOARD OF ELECTIONS
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CHICAGO, IL 60601-3232

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: October 9, 2013

Listed below are committees that have been assessed a civil penalty for the delinquent filing of Schedule A-1's. These violations were not appealed & should be issued a Final Board Order.

Schedule A-1 (13 AD)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
22365	Friends of Carol Sente	500	50
Schedule A-1 (13 AM)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
23749	Friends of Jerry Costello	500	50
Schedule A-1 (13 AS)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
24507	Friends of Tim Parker	1000	100

(** - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)

**/STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141**

Sharon Steward

Director, Campaign Disclosure Division

To: Rupert T Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: October 9, 2013

The following committees have made payment of outstanding civil penalties for the period of 9/5/2013 – 10/9/2013

- Friends of David Moore - \$150.00 (credit card \$153.15)
- Rock Island County Democrat Womans Club - \$100.00
- Citizens to Elect Zediker - \$150.00
- Lizveth for cicero Town President - \$1487.00
- Friends of Tom Cullerton - \$1520.00
- Friends of Emil Jones III - \$200.00
- Elgin Township Democrats - \$113.00
- Warren County Democrats Central Committee - \$200.00
- Citizens for Steve Kim - \$50.00
- Maine Teachers Assn PACE - \$5075.00
- Friends for Michael Carbone - \$75.00 (credit card)
- Committee to Elect Jeffrey M. Diederick - \$75.00 (credit card)
- Friends of Lori Geschwandner - \$125.00
- Friends of John F. Argoudellis, LLC - \$225.00
- Performance Party - \$50.00
- Friends of Bonnie Hester - \$525.00
- Keith Sommer Campaign Committee - \$375.00
- Campaign County Democratic Central Committee - \$425.00
- Profit for Governor - \$350.00
- Gene Siegal Political Committee - \$300.00
- Kilkelly for Waukegan - \$25.00
- Citizens to Elect Lorna Lane - \$175.00
- Committee to Elect David Harris - \$500.00
- Village Improvement Party - \$ 206.00
- Citizens for Holt - \$200.00
- Citizens for Michael Bram - \$225.00
- The New Students First Party - \$375.00
- Jackson Co. Democratic Cent. Comm. - \$1250.00
- Personal PAC - \$1625.00
- Taxpayers for Quinn - \$1750.00
- Citizens for Cynthia M. Santos - \$300.00
- Friends of Kris Wasowicz - \$130.00
- Friends to Elect Judge Caroline Kate Moreland - \$1000.00
- Citizens to Elect John Gallagher - \$100.00

- Taxpayers First - \$200.00
- Yes at Large - \$250.00
- Our Parks Legacy - \$525.00
- Friends of John Doss - \$1841.00
- Friends of Frank Soto - \$250.00 (+\$5.25 Credit Card Fee)
- Friends of Matt Stimac - \$1650.00
- Citizens to Elect Sal Garza - \$700.00
- McDonough County Rep. Central Comm. - \$512.00
- Committee to Elect Thomas Poynton - \$300.00
- Committee to Elect Robert Abboud - \$100.00
- 670 PAC Fund - \$200.00
- Friends of Mary Flowers - \$300.00
- Local 157 PAC Fund - \$800.00
- Illinois Chiropractic Society - \$1150.00
- Citizens for Lofchie - \$100.00
- Friends of Kurt Kojzarek - \$5.00
- Friends of Joe Vosicky - \$200.00
- Knox County Democratic Central Committee - \$100.00
- Citizens for Leon Rockingham - \$200.00
- Citizens to Elect Anthony Beale - \$75.00
- Illinois Civil Justice League - \$2875.00
- Citizens for Elizabeth Hernandez - \$50.00
- Engineers Political Ed. Committee - \$1400.00
- Citizens to Elect Patrick Gordon - \$50.00
- Kendall County Democratic Party - \$150.00
- Jessica O'Brien for Judge Committee - \$146.00
- Friends of Kate Gorman - \$100.00
- Friends of Dave Koehler - \$10000.00
- Friends of Amy Meyer - \$475.00
- Friends of Bonnie Drew - \$325.00
- Friends of Linda Little - \$275.00
- Schuyler Co. Republican Central Committee - \$200.00
- Liz Peerboom for City Clerk - \$850.00
- Crete Township Democrates - \$1001.31
- Committee to Elect Robert Lovero - \$38,480.00
- Democratic Citizens of Berwyn - \$8875.00
- Committee to Elect Will Guzzardi - \$1325.00
- Friends of Burt Gerl - \$150.00
- Democrats United for a Better Downers Grove - \$75.00
- Committee to Elect Raul Aguirre Jr. - \$134.00
- Downers Grove Republican Ticket TWP - \$100.00
- Club Twenty Two - \$425.00
- Citizens to Elect Mary T. McCann - \$350.00
- Citizens for Elaine Zannis - \$1000.00
- The Friends of Tommy Brown for Mayor of Blue Island - \$100.00
- Palos Park First - \$400.00
- Citizens for Brendan Kelly - \$550.00
- Citizens for Michael J Walkup - \$400.00
- Citizens for Debbie Meyers-Martin - \$550.00

- Citizens for Vincent E Lockett - \$50.00
- Citizens for a Better Steger - \$211.00
- Manteno Unity Party - \$275.00
- Democratic Organization of Lyons Township - \$500.00 (10.50 processing fee) CREDIT CARD

TOTAL \$100,736.31

Year to Date Totals:

12/7/12 to 1/11/13: \$28,761.50
 1/11/13 to 2/7/13: \$15,818.43
 2/8/13 to 3/5/13: \$8751.14
 3/5/13 to 4/3/13: \$17,820.45
 4/3/13 to 5/8/13: 17594.50
 5/9/2013 to 6/6/2013: \$6880.00
 6/7/13 to 6/20/13: \$22,588.50
 6/21/13 to 8/7/13: \$36,771.07
 8/7/13 to 9/4/13: \$20,728.36
 9/5/13 to 10/9/13: \$100,736.31

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601
312/814-6440
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

MEMORANDUM

TO: Chairman Smart, Vice Chairman Scholz, Members of the Board
Executive Director Rupert T. Borgsmiller

From: Steve Sandvoss, General Counsel

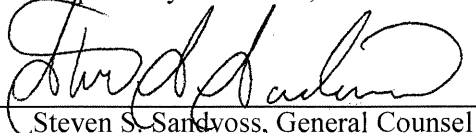
Re: Policy for Accepting Nominating Petitions

Date: October 10, 2013

Beginning November 25th, candidates running for Governor and Lieutenant Governor will be filing their nominating papers with the State Board of Elections. Prior to the passage of P.A. 96-1018 which amended Section 7-10 of the Election Code, candidates seeking the office of Governor or Lt. Governor would simply file a petition to run for whichever of the two offices they sought. As a result of the amendment to Section 7-10, such candidates must now file a joint petition that includes one candidate for each of the two offices. This raises two possibilities that should be addressed prior to the beginning of the petition filing: 1) a candidate filing a petition for Governor that does not include a candidate for Lieutenant Governor, and 2) a candidate filing a petition for Lt. Governor without a corresponding candidate for Governor. To address these possibilities, the SBE staff intends to implement the following policy. Petitions containing only a candidate for Governor, will be accepted, subject of course to the petition objection process. Petitions containing the name of a candidate for Lt. Governor only, will not be accepted. The reason for treating the two situations differently is primarily based on what appears to be the intent of P.A. 96-1018. Clearly the legislature intended that the office of Lt. Governor should no longer be elected "standing alone" but rather as part of a joint ticket, both in the Primary and the General Election. To give effect to this intent, it would seem prudent to not accept stand alone petitions for Lt. Governor. On the other hand, it is not readily apparent that a Lt. Governor candidate is essential to the validity of a petition for Governor. In other words, a Governor can serve without a Lt. Governor (as was the case when the current Governor took office following the impeachment of the former Governor). In fact, there is no statutory provision to fill a vacancy in the office of Lt. Governor, should one arise. There cannot however be a Lt. Governor without a serving Governor, for even if there were a vacancy in the office of Governor, the Lt. Governor would immediately succeed to the office of Governor.

Based on this reasoning, the SBE staff will take the above described action unless the Board directs otherwise.

Respectfully Submitted,


Steven S. Sandvoss, General Counsel

STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director
Re: HART InterCivic-Approval Request; De Minimis hardware upgrade
Date: October 9, 2013

Hart InterCivic has requested a de minimis hardware change, which consists of commercial off-the-shelf (COTS) 15 pin high density DSUB adapters (port protectors) to be used with the Hart Voting system (HVS) 6.2.1.

The HVS 6.2.1 is comprised of the Judges Booth Controller (JBC) and the individual eSlate voting devices, which are networked to communicate by daisy-chain cable connections. The port protectors would be installed to prevent damage to the external port connector of each eSlate Voting Booth.

Staff has reviewed the documentation submitted by the applicant, including review by SLI Global, an EAC accredited laboratory, and has concluded that the requested change meets the definition of a de minimis change and no additional testing is needed by the Board's staff.

I recommend the Board grant a two-year interim approval for this de minimis change request, restarting the approval period for the HVS 6.2.1 voting system.

Memorandum

From the desk of....Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Chairman Smart
Vice Chairman Scholz
Members of the Board
Executive Director Borgsmiller
Subject: Department of Justice
Date: October 8, 2013

Please be advised that the United States Department of Justice (DOJ) would like to extend the consent decree entered into as a result of the insufficient time period for transmittal of overseas absentee ballots relating to the Special Congressional Election to fill the vacancy of then Representative Jesse Jackson Jr. (Case No. 13-cv-00189). The current Decree expires on October 31, 2013 and the DOJ wishes to extend it to January 2015.

Their reasoning is that paragraph 12, located on page 12, was not accomplished in its entirety.

“(12) The Defendants shall take such actions as are necessary to assure that UOCAVA voters shall have a fair and reasonable opportunity to participate in future Federal elections, including proposing legislation and taking any administrative action needed to fully remedy the potential UOCAVA violations arising from Illinois law governing the State’s special election calendar, Specifically, the State Board of Elections will recommend an amendment to Section 25-7 of the Election Code...”

The State Board of Elections did introduce legislation during this legislative session; House Bill 3266, sponsored by Representative Barbara Flynn Currie. The bill was assigned to House Executive Committee, but has since been reassigned back to House Rules Committee. Should the SBE agree to extend the Decree, the DOJ will refrain from seeking a court ordered remedy during the remainder of the legislative session, with the expectation that HB 3266 or similar legislation is enacted. Should the SBE not agree to an extension, the DOJ has indicated that they will seek a permanent remedy in Federal Court at some point in the near future.

I have reached out to the legislative caucus attorneys and inquired as to the possibility of this legislation moving forward in 2014, and am awaiting their response. We are also waiting on proposed language from the DOJ as to the exact wording of Paragraph 12 of the Decree.

Staff respectfully requests a response from the Board to provide to the DOJ.

Thank you.

State Board of Elections
2329 So. MacArthur
Springfield, IL 62704

S STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director
Re: Paperless Online Voter Application Update
Date: October 9, 2013

The technical specifications documentation for the new Paperless Online Voter Application (POVA) System was completed this month and forwarded to all election authorities and their Election Management System (EMS) vendors. This document outlines the three methods by which an election jurisdiction may receive the electronic voter registration application along with the signature image obtained from the Secretary of State. Also included are the modifications to the EMS databases necessary to receive this information seamlessly.

The three options available to the election authorities to receive the electronic registration application information are 1) live interaction through web services, 2) batch download, and 3) individual records processing. The web services option requires more technical modifications be made to their current system; however, it doesn't require them to visit the IVRS website in order to access the records, as do the latter two options. The third option (processing records individually) is expected to be utilized by many of the smaller jurisdictions, since their registration counts are much lower.

The technical specifications document also lists additional items affected by recent changes to the election law that will require modifications to the local EMS's. Details of these modifications are currently being drafted.

Testing of file exchanges between SBE and the Secretary of State continued in September. A test file of 111,000 simulated voter applications was transmitted to the SOS, processed against their database, and returned. The turnaround time was very good. We look forward to further testing, as we continue system development.

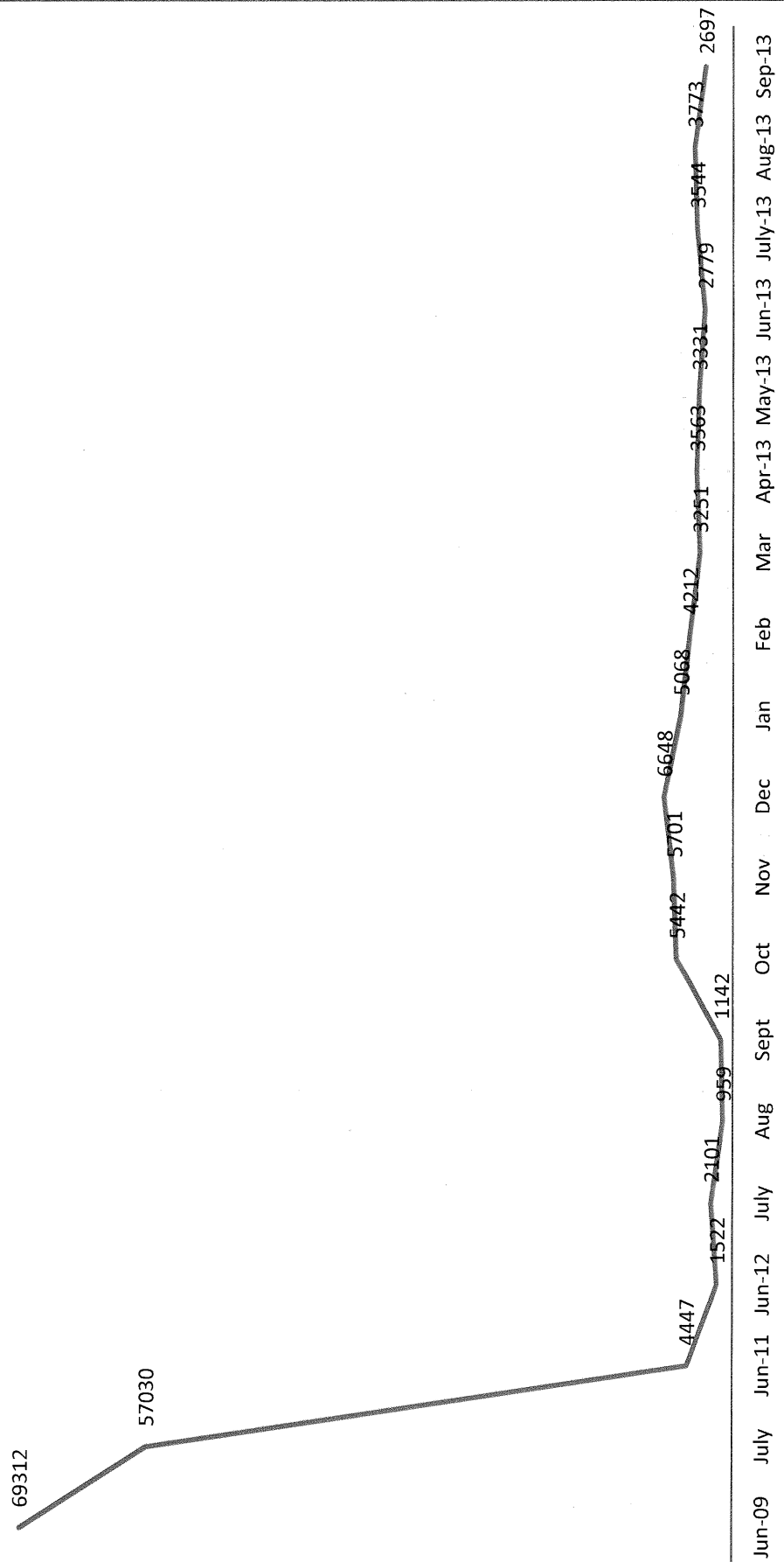
Jurisdiction	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	Oldest Match Date
Adams County	1	6	6	5	6	9	1	14	20	28	29	21	15	11	11	13	14	14	21	21	23	10/9/2012
Alexander County	1	2	2	4	5	3	3	2	4	4	6	6	6	2	2	1	1	1	2	5	6	2/6/2009
Bond County	8	9	8	7	11	13	15	15	19	26	27	29	26	27	29	30	24	24	31	7	3	2/29/2012
Boone County	13	3	5	8	5	6	7	7	6	13	15	20	3	5	4	8	8	7	4	5	7	2/6/2009
Brown County	0	2	2	1	1	1	3	0	1	7	7	6	7	0	0	1	3	3	3	2	5	5/7/2013
Bureau County	2	2	1	4	2	1	3	1	0	2	2	2	5	2	1	7	6	1	3	8	1	10/9/2012
Calhoun County	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Carroll County	0	1	2	3	4	3	4	4	3	6	7	6	10	4	3	4	5	4	2	2	0	n/a
Cass County	4	2	2	3	3	2	8	5	4	10	9	6	7	3	3	5	5	5	1	1	2	5/11/2012
Champaign County	72	78	83	16	26	28	54	26	81	499	502	548	326	263	209	204	183	170	203	226	195	2/6/2009
Christian County	4	5	2	3	4	3	3	2	2	4	2	4	3	1	1	1	2	1	1	1	0	n/a
Clark County	3	4	4	3	1	1	1	1	1	9	5	2	1	2	2	2	1	2	7	1	3	8/27/2013
Clay County	27	39	33	36	39	39	39	37	44	46	46	50	53	54	49	43	43	42	31	26	26	2/6/2009
Clinton County	3	10	6	9	15	14	13	8	11	18	25	19	25	29	15	18	18	18	16	13	3	5/11/2012
Coles County	2	3	0	2	2	3	6	3	2	27	23	23	27	18	15	15	14	14	14	16	16	10/9/2012
Cook County	793	535	329	168	106	191	247	40	51	1,033	1,067	1,325	754	533	464	532	535	533	823	1,007	561	2/6/2009
Crawford County	18	7	8	4	5	5	8	4	6	6	7	8	9	13	10	4	5	5	8	8	7	5/18/2012
Cumberland County	0	0	0	0	0	0	2	1	0	1	3	0	0	0	0	2	0	0	0	0	0	n/a
DeKalb County	7	24	4	5	2	10	12	10	39	49	49	62	53	44	14	13	16	12	30	17	17	9/6/2012
DeWitt County	2	9	2	3	7	4	12	10	2	7	12	12	12	9	9	10	11	11	10	9	11	2/6/2009
Douglas County	1	1	1	2	0	0	1	0	0	7	11	10	5	5	6	2	2	2	0	2	1	9/27/2013
DuPage County	26	40	46	15	10	15	44	6	47	275	286	351	391	296	53	58	41	43	123	152	38	2/6/2009
Edgar County	2	2	2	3	3	5	8	1	1	4	3	5	6	7	8	8	12	3	9	5	5	7/30/2013
Edwards County	2	1	1	1	2	2	2	2	1	1	1	1	1	2	1	1	1	1	1	1	0	n/a
Effingham County	1	4	3	1	3	2	4	0	1	3	5	3	1	1	0	1	3	0	1	0	0	n/a
Fayette County	2	3	6	6	3	3	2	1	3	1	5	7	11	8	9	13	12	10	14	9	8	10/18/2012
Ford County	1	2	0	0	2	5	12	4	3	1	5	6	9	2	1	11	3	3	8	8	2	2/6/2009
Franklin County	0	3	5	4	5	7	14	14	20	26	28	30	6	9	1	6	9	2	4	6	6	7/30/2013
Fulton County	6	16	17	19	5	4	10	9	10	11	13	15	12	14	4	5	7	8	9	7	2	8/6/2013
Gallatin County	0	1	1	2	0	0	0	0	0	0	0	0	0	3	1	0	1	0	0	0	0	n/a
Greene County	0	0	0	2	2	2	2	1	3	6	8	10	9	4	3	4	5	4	7	6	8	9/6/2012
Grundy County	10	17	8	2	2	3	7	5	8	30	29	18	25	23	7	5	8	1	2	2	1	7/30/2013
Hamilton County	1	0	0	1	1	1	2	2	0	0	2	4	3	2	0	0	2	1	1	0	0	n/a
Hancock County	1	0	0	0	0	0	0	0	0	1	0	1	1	0	0	2	1	1	0	0	0	n/a
Hardin County	1	0	1	2	0	3	0	0	0	0	0	0	2	7	4	5	3	0	2	0	0	n/a
Henderson County	0	0	0	1	0	0	2	1	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Henry County	0	8	7	8	9	10	15	14	18	25	26	28	1	3	3	8	8	8	13	11	14	12/5/2012
Iroquois County	3	1	1	2	1	2	5	2	6	6	9	6	3	3	2	2	4	4	6	8	4	7/30/2013
Jackson County	5	12	5	5	10	11	5	3	13	31	33	37	23	21	17	18	19	16	16	10	12	8/27/2009
Jasper County	5	1	1	1	2	1	2	0	1	1	1	1	1	1	2	2	3	1	4	4	2	10/17/2012
Jefferson County	1	0	1	4	5	2	4	3	7	7	9	12	12	4	3	3	3	4	3	1	2	9/25/2013

Jurisdiction	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	Oldest Match Date
Jersey County	22	22	22	23	27	26	28	27	29	34	35	45	42	41	40	41	48	47	53	52	57	2/6/2009
JoDavieess County	0	0	0	0	0	0	0	0	0	3	3	4	5	0	0	0	1	0	1	1	0	n/a
Johnson County	0	1	1	1	0	0	0	0	0	0	0	1	1	2	0	1	1	0	3	3	1	9/27/2013
Kane County	33	24	26	17	8	10	52	10	12	70	76	115	124	90	38	52	31	20	39	52	21	3/25/2011
Kankakee County	16	10	8	12	17	16	14	12	24	29	31	35	33	27	14	35	20	14	28	38	40	2/6/2009
Kendall County	15	13	2	1	9	8	21	4	8	73	83	99	86	45	23	32	6	5	18	16	11	10/9/2012
Knox County	18	0	1	2	5	5	8	7	12	4	5	7	6	9	1	5	5	5	9	1	2	2/6/2009
Lake County	80	27	34	19	70	98	165	11	16	85	94	126	150	78	64	66	78	63	100	114	47	2/6/2009
LaSalle County	17	8	4	5	16	20	25	22	38	49	53	45	41	18	10	15	8	6	11	15	6	10/26/2012
Lawrence County	1	1	1	1	1	1	1	1	1	3	2	2	3	2	2	1	1	1	2	2	1	8/7/2013
Lee County	0	1	0	0	1	2	1	0	0	6	9	3	2	1	1	7	1	0	2	1	0	n/a
Livingston County	2	2	3	0	4	1	8	5	4	11	11	13	14	6	2	7	2	2	13	13	8	10/24/2012
Logan County	7	1	1	2	4	2	8	3	3	8	11	16	10	11	5	9	4	4	4	4	4	7/30/2013
Macon County	33	51	12	6	23	12	15	12	41	86	80	92	93	102	99	16	8	9	23	33	38	2/6/2009
Macoupin County	1	2	2	2	0	0	4	1	5	9	10	12	10	2	1	5	11	12	22	19	23	10/29/2010
Madison County	16	15	19	17	21	23	31	26	28	46	50	55	47	41	38	40	43	41	36	35	38	2/6/2009
Marion County	9	20	15	16	16	14	15	12	14	24	28	16	22	28	15	16	17	18	18	18	15	2/6/2009
Marshall County	2	0	1	3	2	0	0	0	3	0	0	2	1	1	1	4	4	3	0	2	1	9/25/2013
Massac County	2	2	1	2	0	1	1	1	1	0	1	2	1	2	1	2	1	1	4	1	3	7/30/2013
Massac County	4	4	4	1	1	1	2	2	2	2	2	1	2	6	4	1	0	0	0	2	3	8/6/2013
McDonough County	2	0	0	1	1	0	3	1	0	20	19	21	18	13	10	10	11	11	11	6	8	10/9/2012
McHenry County	30	7	22	35	57	73	30	16	30	54	64	98	89	88	12	28	17	11	34	14	28	11/13/2009
McLean County	10	32	31	32	48	51	72	38	18	27	46	50	44	52	52	66	19	24	38	39	61	2/6/2009
Menard County	0	4	3	4	2	4	3	3	3	3	3	4	5	3	3	4	3	2	2	2	2	6/10/2011
Mercer County	5	9	9	10	5	4	7	7	10	11	11	17	7	10	13	12	13	13	17	12	14	2/6/2009
Monroe County	4	4	3	3	4	6	8	5	5	20	21	16	11	11	12	12	13	15	3	1	1	11/5/2012
Montgomery County	2	1	1	1	1	1	1	1	1	1	2	5	3	4	3	2	2	2	2	2	0	n/a
Morgan County	0	0	0	1	0	0	3	3	4	15	16	17	14	6	6	13	14	15	12	9	14	10/29/2012
Moultrie County	1	2	2	0	0	0	1	0	1	5	1	1	1	2	0	1	2	3	3	3	1	7/30/2013
Ogle County	4	1	1	1	1	0	0	0	0	8	11	8	7	4	3	5	4	1	7	4	0	n/a
Peoria County	5	6	3	9	9	3	7	8	6	14	13	21	17	18	19	17	20	18	17	4	10	2/6/2009
Perry County	0	0	0	4	0	0	1	0	1	2	2	3	1	4	0	1	1	0	1	1	0	n/a
Platt County	1	1	1	2	2	3	5	4	4	6	6	7	9	2	2	1	3	6	13	17	4	7/31/2013
Pike County	0	1	1	3	3	3	5	5	8	11	11	14	15	13	12	13	12	12	12	10	11	5/18/2012
Pope County	2	1	0	0	0	1	0	0	0	2	2	1	0	1	0	0	0	0	0	0	0	n/a
Pulaski County	2	3	3	3	3	2	3	3	5	9	9	8	9	9	9	8	4	4	3	5	6	2/6/2009
Putnam County	1	1	0	0	1	0	1	0	0	1	2	0	0	1	0	1	0	0	0	0	0	n/a
Randolph County	6	8	7	10	7	6	9	9	10	17	20	28	24	27	20	18	18	19	7	2	1	5/11/2012
Richland County	5	5	6	4	3	2	2	2	2	3	3	5	4	7	6	7	7	6	7	6	6	2/6/2009
Rock Island County	32	47	44	50	52	54	53	52	66	99	97	105	31	31	33	37	45	46	61	57	65	2/4/2010
Saline County	0	0	2	6	0	2	0	0	0	1	2	1	1	12	1	2	3	2	1	1	0	n/a

Jurisdiction	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	Oldest Match Date
Sangamon County	23	19	18	12	12	16	14	8	22	38	40	46	30	26	25	28	25	26	27	25	24	2/6/2009
Schuyler County	2	3	0	0	1	1	2	2	0	3	7	4	3	1	1	1	1	2	3	3	3	10/9/2012
Scott County	0	0	0	0	3	3	4	3	6	5	7	5	5	4	4	4	5	5	3	2	1	5/18/2012
Shelby County	1	0	3	1	2	3	1	5	1	3	5	3	4	4	3	2	3	2	2	1	1	10/18/2012
Stark County	0	0	0	0	0	0	0	0	0	1	2	2	2	3	3	4	4	4	3	2	2	11/21/2012
St. Clair County	17	22	23	26	36	35	47	44	47	107	113	124	125	112	99	100	101	102	19	16	7	9/3/2010
Stephenson County	15	16	16	20	24	25	28	28	34	53	53	56	53	50	50	51	48	30	8	8	8	10/22/2010
Tazewell County	38	100	58	35	13	15	28	23	21	16	21	45	19	26	16	18	16	13	25	9	16	2/6/2009
Union County	8	11	13	12	13	13	14	11	12	26	22	25	22	21	16	9	7	6	4	4	5	2/6/2009
Vermilion County	13	5	6	8	5	13	4	2	10	16	16	18	19	10	10	20	14	7	23	19	18	2/29/2012
Wabash County	0	0	0	1	3	3	5	4	5	2	2	0	1	2	1	1	2	2	3	1	3	5/8/2013
Warren County	6	2	2	3	2	3	6	6	3	17	15	8	5	5	5	5	5	5	5	3	4	2/6/2009
Washington County	3	7	6	7	10	3	4	4	8	7	8	7	5	7	1	2	3	3	4	5	2	10/16/2012
Wayne County	3	8	8	10	12	11	12	12	16	22	21	23	27	25	14	5	6	2	0	1	4	8/20/2013
White County	0	2	2	3	5	5	4	4	2	0	2	1	2	1	2	1	2	2	3	1	1	5/8/2013
Whiteside County	1	2	2	3	3	4	3	2	4	20	27	26	12	8	6	8	7	5	10	6	4	10/9/2012
Will County	99	69	39	36	65	47	90	29	67	449	465	515	517	310	220	232	227	35	108	122	26	2/6/2009
Williamson County	2	5	6	5	13	15	21	18	19	20	20	24	26	27	4	11	12	4	12	9	8	2/29/2012
Winnebago County	18	6	6	14	7	10	9	8	8	51	57	86	17	21	11	21	24	11	6	4	0	n/a
Woodford County	2	7	7	17	15	9	12	4	4	5	7	7	5	8	4	4	6	5	8	2	2	9/25/2013
City of Aurora	43	5	5	5	2	19	22	0	25	119	124	129	125	89	84	102	10	9	22	32	40	10/9/2012
City of Bloomington	5	15	16	15	21	22	30	10	4	8	19	22	16	29	21	29	10	11	24	26	50	2/6/2009
City of Chicago	960	541	383	267	285	348	502	125	234	1,212	1,225	1,452	1,061	1,059	1,028	1,118	1,147	958	1,064	1,206	886	2/6/2009
City of Danville	3	2	6	10	3	5	3	3	3	0	9	11	6	3	4	10	6	7	6	5	6	2/6/2009
City of East St. Louis	7	2	2	2	8	2	1	0	0	15	13	16	20	12	14	14	11	11	2	5	5	7/30/2013
City of Galesburg	7	3	2	2	2	2	2	3	8	16	16	10	8	7	3	4	6	6	8	4	7	3/29/2010
City of Peoria	40	101	61	27	25	8	22	19	11	25	29	45	34	36	44	51	54	52	58	15	15	2/6/2009
City of Rockford	34	17	17	25	9	13	16	11	11	84	94	123	32	41	18	28	27	14	14	13	7	2/6/2009
	2,769	2,160	1,608	1,240	1,332	1,522	2,101	959	1,442	5,442	5,701	6,648	5,068	4,212	3,252	3,563	3,331	2,779	3,544	3,773	2,697	

Statewide Duplicate Voter Registrations June 2009 - September 2013

— Series1



<u>County</u>	Total Voters Registered as of 10/9/2013	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 8/6/13	% Reg. vs. 18 and Over as of 10/9/2013
ALEXANDER	5,623	8,238	68.26%	6,353	88.65%	88.51%
CLARK	11,575	16,335	70.86%	12,617	91.69%	91.74%
CLINTON	24,244	37,762	64.20%	29,180	82.47%	83.08%
HARDIN	3,049	4,320	70.58%	3,444	91.00%	88.53%
JASPER	6,815	9,698	70.27%	7,494	91.07%	90.94%
MASSAC	11,131	15,429	72.14%	11,911	93.33%	93.45%
MENARD	8,427	12,705	66.33%	9,704	86.70%	86.84%
MERCER	11,845	16,434	72.08%	12,714	93.25%	93.17%
OGLE	33,349	53,497	62.34%	40,253	82.57%	82.85%
PULASKI	4,562	6,161	74.05%	4,756	95.88%	95.92%
RICHLAND	11,383	16,233	70.12%	12,625	91.77%	90.16%
ROCK ISLAND	89,703	147,546	60.80%	114,359	77.78%	78.44%
STARK	4,193	5,994	69.95%	4,583	91.12%	91.49%
UNION	12,208	17,808	68.55%	13,980	86.20%	87.32%
City of East St. Louis	17,945	27,006	66.45%	19,098	98.30%	93.96%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Sept. 30, 2013	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,279,100.00	\$961,209.80	\$0.00	\$3,317,890.20	22.46%
STATE PAID RETIREMENT	\$171,200.00	\$37,850.92	\$0.00	\$133,349.08	22.11%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$327,700.00	\$70,307.55	\$0.00	\$257,392.45	21.45%
CONTRACTUAL SERVICE	\$1,102,800.00	\$172,246.05	\$378,869.65	\$551,684.30	15.62%
TRAVEL	\$116,400.00	\$8,466.41	\$0.00	\$107,933.59	7.27%
PRINTING	\$37,600.00	\$1,329.46	\$0.00	\$36,270.54	3.54%
COMMODITIES	\$40,400.00	\$4,103.12	\$0.00	\$36,296.88	10.16%
EQUIPMENT	\$142,100.00	\$3,614.55	\$0.00	\$138,485.45	2.54%
TELECOMMUNICATIONS	\$143,700.00	\$20,404.72	\$0.00	\$123,295.28	14.20%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$233.04	\$0.00	\$4,666.96	4.76%
ELECTION CODE BOOKS	\$17,500.00	\$0.00	\$0.00	\$17,500.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$478,600.00	\$79,047.95	\$0.00	\$399,552.05	16.52%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$6,862,000.00	\$1,358,813.57	\$378,869.65	\$5,124,316.78	19.80%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$52,425.32	\$199,574.68	\$298,000.00	9.53%
CO CLERK & RECORDER STIPENDS	\$806,000.00	\$0.00	\$0.00	\$806,000.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$2,300,000.00	\$0.00	\$0.00	\$2,300,000.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,221,400.00	\$0.00	\$0.00	\$3,221,400.00	0.00%
REDISTRICTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$65,000.00	\$284.76	\$0.00	\$64,715.24	0.44%
IDIS SYSTEM REPLACEMENT	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$6,942,400.00	\$284.76	\$0.00	\$6,942,115.24	0.00%
TOTAL APPROPRIATION	\$13,804,400.00	\$1,359,098.33	\$378,869.65	\$12,066,432.02	9.85%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Sept. 30, 2013	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$3,000.00	\$148.13	\$2,851.87	4.94%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$3,600.00		\$3,600.00	0.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$18,000.00	\$1,053.50	\$16,946.50	5.85%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$550.00	\$450.00	55.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$28.90	\$471.10	5.78%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
TRAVEL	\$19,000.00	\$3,412.82	\$15,587.18	17.96%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00	\$129.00	\$571.00	18.43%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

BOARD GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$27,200.00	\$1,780.53		\$25,419.47
TRAVEL	\$19,000.00	\$3,412.82		\$15,587.18
EQUIPMENT	\$700.00	\$129.00		\$571.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$46,900.00	\$5,322.35	\$0.00	\$41,577.65

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: Sept. 30, 2013	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$754,400.00	\$151,946.76	\$602,453.24	20.14%
1129 State Paid Retirement	\$30,200.00	\$6,093.63	\$24,106.37	20.18%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$57,800.00	\$11,150.57	\$46,649.43	19.29%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$400.00		\$400.00	0.00%
1205 Freight Express & Drayage	\$600.00		\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$738.64	\$13,761.36	5.09%
1223 Repair/Maint. Real Property	\$2,000.00	\$502.57	\$1,497.43	25.13%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$32,800.00	\$329.07	\$32,470.93	1.00%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,800.00	\$2,800.00	39.13%
1233 Rental, Real Property	\$396,000.00	\$131,400.00	\$264,600.00	33.18%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00		\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$21,600.00	\$3,743.81	\$17,856.19	17.33%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$76,700.00		\$76,700.00	0.00%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$2,600.00	\$1,173.64	\$1,426.36	45.14%
1276 Reg. Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00		\$2,200.00	0.00%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00		\$11,500.00	0.00%
TRAVEL				
1291 In-State	\$12,600.00	\$560.86	\$12,039.14	4.45%
1292 Out-of-State	\$1,500.00		\$1,500.00	0.00%
1295 Mileage	\$300.00	\$237.30	\$62.70	79.10%
PRINTING	\$9,800.00	\$807.00	\$8,993.00	8.23%
COMMODITIES				
1304 Office/Library Supplies	\$15,000.00	\$511.75	\$14,488.25	3.41%
1306 Food for Human Consumption				
1335 Rock Salt				
1391 Household & Cleaning Supplies	\$300.00	\$83.16	\$216.84	27.72%
1394 Office/Library Equip., Not exc. \$100				
1395 Small Tools Not Exceeding \$100				
1398 Equipment, NEC	\$500.00		\$500.00	0.00%
1399 Commodities, NEC	\$600.00	\$19.90	\$580.10	3.32%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00		\$5,000.00	0.00%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$7,460.68	\$43,539.32	14.63%
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00	\$11,815.34	\$69,184.66	14.59%
1728 Videoconferencing	\$7,200.00	\$1,128.70	\$6,071.30	15.68%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00		\$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$233.04	\$2,666.96	8.04%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
ADMINISTRATION GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE
PERSONAL SERVICE	\$754,400.00	\$151,946.76	\$602,453.24	20.14%
STATE PAID RETIREMENT	\$30,200.00	\$6,093.63	\$24,106.37	20.18%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$57,800.00	\$11,150.57	\$46,649.43	19.29%
CONTRACTUAL SERVICE	\$568,800.00	\$139,687.73	\$264,375.00	24.56%
TRAVEL	\$14,400.00	\$798.16	\$13,601.84	5.54%
PRINTING	\$9,800.00	\$807.00	\$8,993.00	8.23%
COMMODITIES	\$16,400.00	\$614.81	\$15,785.19	3.75%
EQUIPMENT	\$6,000.00	\$0.00	\$6,000.00	0.00%
TELECOMMUNICATIONS	\$143,700.00	\$20,404.72	\$123,295.28	14.20%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$233.04	\$4,666.96	4.76%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%
TOTAL	\$1,606,400.00	\$331,736.42	\$264,375.00	20.65%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Sept. 30, 2013	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,702,500.00	\$381,249.54	\$1,321,250.46	22.39%
1129 State Paid Retirement	\$68,100.00	\$14,623.11	\$53,476.89	21.47%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$130,300.00	\$27,676.27	\$102,623.73	21.24%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee	\$100.00	\$60.00	\$40.00	60.00%
1205 Freight Express & Drayage	\$4,200.00		\$4,200.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip				
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$2,200.00	\$100.00	\$2,100.00	4.55%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$13,000.00		\$13,000.00	0.00%
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$3,400.00		\$3,400.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,700.00	\$800.00	\$900.00	47.06%
1277 Association Dues	\$1,200.00	\$545.00	\$655.00	45.42%
1279 Employee Tuition & Fees	\$200.00		\$200.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,000.00	\$415.18	\$584.82	41.52%
1284 Software	\$100.00	\$49.95	\$50.05	49.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$5,400.00	\$98.00	\$5,302.00	1.81%
TRAVEL	\$51,300.00	\$2,472.34	\$48,827.66	4.82%
PRINTING	\$6,900.00	\$24.46	\$6,875.54	0.35%
EQUIPMENT				
1510 Office Furniture & Equipment	\$3,300.00	\$130.00	\$3,170.00	3.94%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$52,425.32	\$497,574.68	9.53%
ELECTION CODE BOOKS	\$17,500.00		\$17,500.00	0.00%
Redistricting				
Election Judge Reimbursements (Fund 802)	\$2,300,000.00		\$2,300,000.00	0.00%
Stipends (Fund 802)	\$806,000.00		\$806,000.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation	\$65,000.00	\$284.76	\$64,715.24	0.44%
Electronic Canvassing Interest Payments				
IDIS Replacement System				

ELECTIONS GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,702,500.00	\$381,249.54		\$1,321,250.46	22.39%
STATE PAID RETIREMENT	\$68,100.00	\$14,623.11		\$53,476.89	21.47%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$130,300.00	\$27,676.27		\$102,623.73	21.24%
CONTRACTUAL SERVICE	\$33,400.00	\$2,068.13		\$31,331.87	6.19%
TRAVEL	\$51,300.00	\$2,472.34		\$48,827.66	4.82%
PRINTING	\$6,900.00	\$24.46		\$6,875.54	0.35%
EQUIPMENT	\$3,300.00	\$130.00		\$3,170.00	3.94%
HAVA - Maintenance of Effort	\$550,000.00	\$52,425.32	\$199,574.68	\$298,000.00	9.53%
ELECTION CODE BOOKS	\$17,500.00	\$0.00		\$17,500.00	0.00%
Redistricting	\$0.00	\$0.00		\$0.00	0.00%
Election Judge Reimbursements	\$2,300,000.00	\$0.00		\$2,300,000.00	0.00%
Stipends	\$806,000.00	\$0.00		\$806,000.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$65,000.00	\$284.76		\$64,715.24	0.44%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		\$0.00	0.00%
IDIS Replacement System	\$0.00	\$0.00		\$0.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$5,734,300.00	\$480,953.93	\$199,574.68	\$5,053,771.39	8.39%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL <u>MONTH ENDING: Sept. 30, 2013</u>	<u>FY14</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$315,100.00	\$78,377.00	\$236,723.00	24.87%
1129 State Paid Retirement	\$12,600.00	\$3,137.68	\$9,462.32	24.90%
1161 Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$24,200.00	\$5,765.24	\$18,434.76	23.82%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$500.00		\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$100,000.00		\$100,000.00	0.00%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00		\$15,000.00	0.00%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$67.89	\$932.11	6.79%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,500.00		\$1,500.00	0.00%
1277 Association Dues	\$2,000.00		\$2,000.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$700.00		\$700.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$7,000.00	\$522.39	\$6,477.61	7.46%
TRAVEL	\$9,500.00	\$711.72	\$8,788.28	7.49%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

<u>GENERAL COUNSEL GRAND TOTAL</u>	<u>FY14</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$315,100.00	\$78,377.00		\$236,723.00	24.87%
STATE PAID RETIREMENT	\$12,600.00	\$3,137.68		\$9,462.32	24.90%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$24,200.00	\$5,765.24		\$18,434.76	23.82%
CONTRACTUAL SERVICE	\$128,700.00	\$590.28	65,000.00	\$63,109.72	0.46%
TRAVEL	\$9,500.00	\$711.72		\$8,788.28	7.49%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$490,600.00	\$88,581.92	\$65,000.00	\$337,018.08	18.06%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: Sept. 30, 2013	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$779,600.00	\$179,335.50	\$600,264.50	23.00%
1129 State Paid Retirement	\$31,200.00	\$7,176.12	\$24,023.88	23.00%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$59,700.00	\$13,095.74	\$46,604.26	21.94%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,600.00		\$1,600.00	0.00%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	\$7,200.00	\$261.94	\$6,938.06	3.64%
1292 Out-of-State	\$3,800.00		\$3,800.00	0.00%
1295 Mileage	\$300.00	\$242.95	\$57.05	80.98%
PRINTING	\$20,200.00	\$498.00	\$19,702.00	2.47%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

CAMPAIGN DISCLOSURE GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$779,600.00	\$179,335.50		\$600,264.50	23.00%
STATE PAID RETIREMENT	\$31,200.00	\$7,176.12		\$24,023.88	23.00%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$59,700.00	\$13,095.74		\$46,604.26	21.94%
CONTRACTUAL SERVICE	\$2,300.00	\$0.00		\$2,300.00	0.00%
TRAVEL	\$11,300.00	\$504.89		\$10,795.11	4.47%
PRINTING	\$20,200.00	\$498.00		\$19,702.00	2.47%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$904,800.00	\$200,610.25	\$0.00	\$704,189.75	22.17%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: Sept. 30, 2013	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$727,500.00	\$170,301.00	\$557,199.00	23.41%
1129 State Paid Retirement	\$29,100.00	\$6,820.38	\$22,279.62	23.44%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$55,700.00	\$12,619.73	\$43,080.27	22.66%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	\$10,200.00		\$10,200.00	0.00%
1223 Repair/Maint. Real Property	\$1,600.00	\$1,566.84	\$33.16	97.93%
1225 Repair/Maint. EDP Equipment	\$88,300.00	\$7,944.50	\$80,355.50	9.00%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$13,668.00	\$419.55	\$13,248.45	3.07%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$94,332.00		\$94,332.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$618.00	\$382.00	61.80%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00		\$8,000.00	0.00%
1284 Computer Software	\$117,700.00	\$17,570.49	\$100,129.51	14.93%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
TRAVEL				
1291 In-State	\$5,500.00	\$566.48	\$4,933.52	10.30%
1292 Out-of-State	\$5,400.00		\$5,400.00	0.00%
1295 Mileage				
PRINTING	\$700.00		\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$21,200.00	\$773.51	\$20,426.49	3.65%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100				
1395 Small Tools < \$100				
1398 Equipment, NEC	\$2,800.00	\$2,714.80	\$85.20	96.96%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment				
1515 EDP Equipment	\$131,100.00	\$3,355.55	\$127,744.45	2.56%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

INFORMATION TECHNOLOGY GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$727,500.00	\$170,301.00		\$557,199.00	23.41%
STATE PAID RETIREMENT	\$29,100.00	\$6,820.38		\$22,279.62	23.44%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$55,700.00	\$12,619.73		\$43,080.27	22.66%
CONTRACTUAL SERVICE	\$342,400.00	\$28,119.38	\$49,494.65	\$264,785.97	8.21%
TRAVEL	\$10,900.00	\$566.48		\$10,333.52	5.20%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$24,000.00	\$3,488.31		\$20,511.69	14.53%
EQUIPMENT	\$131,100.00	\$3,355.55		\$127,744.45	2.56%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,321,400.00	\$225,270.83	\$49,494.65	\$1,046,634.52	17.05%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM	YEAR TO DATE
<u>MONTH ENDING: Sept. 30, 2013</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$70,882.00
1129 State Paid Retirement	\$2,835.51
1161 Retirement	
1170 Social Security	\$5,086.01
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$244.43
PRINTING	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	

SUPP. APPROP. - IVRS

LUMP SUM - OPERATIONS APPROP FOR YEAR	\$478,600.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$79,047.95
REMAINING LUMP SUM APPROPRIATION	\$399,552.05

LUMP SUM - GRANTS APPROP FOR YEAR	\$3,221,400.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$0.00
REMAINING LUMP SUM APPROPRIATION	\$3,221,400.00

LUMP SUM TOTAL APPROPRIATION	\$3,700,000.00
TOTAL LUMP SUM EXPENDITURES	\$79,047.95
REMAINING LUMP SUM APPROPRIATION	\$3,620,952.05

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: Sept. 30, 2013</u>						
CASH BALANCE AT BEGINNING OF YR	\$576,918.41	\$0.00	\$0.00	\$4,138,518.14	\$5,329,534.45	\$10,044,971.00
Program Revenues from Federal Govt						\$0.00
Miscellaneous Revenues	\$15,000.00					\$15,000.00
Interest Earned on IOC Balances	\$423.52			\$3,152.26	\$3,916.22	\$7,492.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$15,423.52	\$0.00	\$0.00	\$3,152.26	\$3,916.22	\$22,492.00
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$898.00	\$0.00	\$0.00	\$2,903.53	\$0.00	\$3,801.53
TRAVEL	\$4,988.82	\$0.00	\$0.00	\$284.51	\$0.00	\$5,273.33
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$67.30	\$0.00	\$0.00	\$0.00	\$0.00	\$67.30
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$5,954.12	\$0.00	\$0.00	\$3,188.04	\$0.00	\$9,142.16
AWARDS & GRANTS	\$0.00	\$0.00	\$0.00	\$16,877.11	\$64,341.13	\$81,218.24
TOTAL CASH EXPENDITURES	\$5,954.12	\$0.00	\$0.00	\$20,065.15	\$64,341.13	\$90,360.40
CASH BALANCE AT END OF MONTH	\$586,387.81	\$0.00	\$0.00	\$4,121,605.25	\$5,269,109.54	\$9,977,102.60

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: Sept. 30, 2013

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1286 Travel, Reimb. to Non-State Employees
1289 Contractual Services, NEC

\$898.00
\$0.00

\$898.00

TRAVEL

1291 In-State & 1293 In-State (to vendor)
1292 Out-of-State
1295 Mileage

\$0.00
\$4,678.07
\$310.75

\$4,678.07
\$310.75

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

\$0.00

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

\$67.30

\$67.30

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$0.00

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments (PPA)
4470 Grants to Local Governments (EAI)
4470 Grants to Local Governments (AAG)
4470 Grants to Local Governments
4479 Payments to Other State Agencies

SECTION 101 GRAND TOTAL

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE
STATE PAID RETIREMENT
RETIREMENT
SOCIAL SECURITY
GROUP INSURANCE
CONTRACTUAL SERVICE
TRAVEL
PRINTING
COMMODITIES
EQUIPMENT
TELECOMMUNICATIONS
OPERATION OF AUTO EQUIPMENT
INDIRECT COST REFUNDS
GRANTS

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$898.00
\$4,988.82
\$0.00
\$0.00
\$0.00
\$67.30
\$0.00
\$0.00
\$0.00

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$898.00
\$4,988.82
\$0.00
\$0.00
\$0.00
\$67.30
\$0.00
\$0.00
\$0.00

TOTAL

\$5,954.12

\$5,954.12

\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Sept. 30, 2013

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL	\$0.00	\$0.00	\$0.00
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STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Sept. 30, 2013

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund \$0.00

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV) \$0.00

SECTION 261 GRAND TOTAL

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL	\$0.00	\$0.00	\$0.00
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STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Sept. 30, 2013

	FY14 <u>APPROPRIATION</u>	YEAR TO DATE <u>EXPENDITURE</u>	
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PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

	\$2,903.53	\$2,903.53	
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TRAVEL

1291 In-State
1292 Out-of-State

	\$284.51	\$284.51	
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PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

	\$16,877.11	\$16,877.11	
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SECTION 251 (OLD REQ) GRAND TOTAL

	FY14 <u>APPROPRIATION</u>	YEAR TO DATE <u>EXPENDITURE</u>	<u>OBLIGATED MONEY</u>
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PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$2,903.53	\$2,903.53	\$56,943.18
TRAVEL	\$284.51	\$284.51	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$16,877.11	\$16,877.11	

TOTAL	\$20,065.15	\$20,065.15	\$56,943.18
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STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Sept. 30, 2013

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$64,341.13

\$64,341.13

SECTION 251 (NEW REQ) GRAND TOTAL

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$64,341.13	\$64,341.13

TOTAL	\$64,341.13	\$64,341.13	\$0.00
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Start Date	End Date	Activity	Division
8 /30/2013		Complete 2 year plan for 2014-2015. Project started 7/1/2013.	ET&RD
8 /30/2013		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
9 /3 /2013		Complete updating the SBE GUIDE FOR POLLWATCHERS. 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /3 /2013		Complete updating the DEPUTY REGISTRAR GUIDELINES booklet. Project began on 7/1/2013 10 ILCS 5/1A-8 (1, 2, 12)	ET&RD
9 /9 /2013		Begin to develop 2014 SBE Legislative Program - 98th General Assembly - for the upcoming legislative session, beginning January 2014. 10 ILCS 5/1A-8 (8)	LEG
9 /9 /2013		Begin to update the COUNTY OFFICERS book for the year 2014. (Target completion date: 12/5/2013.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /9 /2013		Begin to update the FEDERAL AND STATE OFFICERS book for the year 2014. 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /16/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
9 /16/2013		Complete updating and printing all agency BROCHURES. (Project began 7/1/2013.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
10/1 /2013		First day that any political committee shall file its 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/15/2013		Last day that a political committee shall file its 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/22/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/29/2013	10/31/2013	(date subject to change) Veto Session	LEG

Start Date	End Date	Activity	Division
11/1 /2013		Begin preparation of packet materials for 2013 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed December 2, 2012. 10 ILCS 5/9-15.	CAMP DISC
11/4 /2013		Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
11/4 /2013		Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	VOSS
11/12/2013	11/14/2013	(date subject to change) Veto Session	LEG
11/15/2013		The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.	CAMP DISC
11/18/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/18/2013		Notify Department of Public Health for a list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act. This is to obtain a current list for the March 18th, 2014 General Primary Election. 10 ILCS 5/19-12.2	ET&RD
11/25/2013	12/2 /2013	Candidate filing period for General Primary (March 18,2014). 10 ILCS 5/7-12	EL INFO
12/2 /2013		Notify election authorities of requirement to submit computerized voter registration information for the December 15, 2013 submission in a format prescribed by the SBE	VRS



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EMPOWERING CITIZENS TO PROTECT ELECTIONS

Sharon Meroni
Executive Director
Defend the Vote
Sharon@DefendTheVote.com
September 23, 2013

Rupert Borgsmiller, Executive Director Illinois State Board of Election
Lance Gough, Executive Director Chicago Board of Election Commissioners
David Orr, Cook County Clerk,

Gentlemen:

Illinois election code 5/24c-16 requires that all voting equipment be approved by the Illinois State Board of Elections, fulfill functional requirements provided by Section 24c-11, mandatory requirements of the federal voting system standards pertaining to Direct Recording Electronic Voting Systems promulgated by the FEC or the EAC, the testing requirements of an approved independent testing authority and the rules of the State Board of Elections.

The Dominion Voting systems in use in Chicago and Suburban Cook County fail to meet these requirements and therefore are not available for use in the upcoming elections in 2014. Further, these systems cannot be approved because Dominion has stopped the EAC and independent lab testing and certification process prior to approval and because they no longer manufactures this system. Dominion removed itself from the EAC approval process without receiving the final approval of any independent lab or the EAC, and when requested by the EAC to submit their election systems to further testing following failed tests from the independent labs, Dominion Voting responded by pulling the election system from the EAC certification process.

All 2 Year Interim Approvals by the Illinois State Board of Elections have expired, or will expire on October 18th, 2013. Dominion cannot request another 2 Year Interim Approval, make any changes or upgrades to the current systems, nor can they request a final approval because they are no longer in the process of getting their systems certified. Accordingly, Defend the Vote asserts the Illinois State Board of Elections cannot re-authorize these systems.

In addition, Defend the Vote is seeking clarification about the '2 Year Interim Approval' which was granted by the ISBE Board on September 20, 2012. We cannot find any place in the public record where a subsequent interim approval was granted for the WinEDS v 4.0.175 system and we question whether the Dominion Voting Systems in question were used unlawfully for the 2012 Presidential election, the 2013 Consolidated Primary, and the Consolidated General Election that followed.

A few facts establishing the sequence of events in this matter.

The Friday August 27th 2010 Board minutes indicate that the system in an earlier form was approved for a '2 Year Interim Approval' and was also dependent on a letter from IBeta – I can find no evidence in the public record that this letter was received.

September 15th 2010 – Dominion changes the WinEDS version to v 4.0.175 and requests approval. '2 Year Interim Approval' is granted and expired September 15, 2012.

Fall 2010: Independent lab, IBeta, presented their test report that showed two failed tests in the Dominion Voting system.

November 30, 2010 IBeta removes itself from the EAC testing and certification program

On January 20, 2011 a letter from the EAC to Ed Smith of Dominion Voting informs Mr. Smith that they have rejected the IBeta test report because of the two failed tests. The letter states that they do not believe the testing proves Dominion's explanation for the failure and subsequently the EAC requires further testing.

March 3, 2011 EAC approves SLI Global as the new testing lab for Dominion, replacing IBeta.

June 8th 2012 SLI Global after finding multiple unresolved errors, recommends for certification pending EAC's acceptance of the root cause analysis of these failed tests and any subsequent mitigation plans from Dominion. The EAC does not accept the root cause analysis and subsequent mitigation plans from Dominion.

Fall of 2012: The EAC requires Dominion to have failed tests reported by IBeta and SLI Global be rerun before they will approve the reports or certify the system because they do not accept the root cause analysis and subsequent mitigation plans from Dominion.

February 27, 2013: Rather than conduct the tests, Dominion removes the voting system from the EAC testing program.

Defend the Vote seeks to have the Dominion System in use by Chicago and Suburban Cook County removed from any further use in Illinois elections.

Please provide a copy of this letter the members of the Illinois State Board of Elections, The Chicago Board of Election Commissioners and the Cook County Board of Commissioners. Accordingly, besides a formal protest from any further certification of the non-compliant Dominion Voting Systems, this letter is a formal request to appear before the various Boards at their next meetings to discuss election systems in use in Chicago and Suburban Cook County.

Regards,

Sharon Meroni

From: Harrington, Bernadette
Sent: Friday, September 27, 2013 2:14 PM
To: 'Sharon Meroni - Defend the Vote'
Subject: Re: Sequoia/Dominion

Dear Ms. Meroni,

Please find attached an Application for Certification of the ImageCast Precinct and the ImageCast Central optical scan tabulators submitted to the Board by Edwin B. Smith, Vice President of Compliance and Certification, on behalf of Dominion Voting; a September 6, 2012 Memorandum from Dianne Felts to Rupert T. Borgsmiller requesting interim approval of the ImageCast Precinct and ImageCast Central optical scan tabulators named in the attached Application for Certification, and an October 10, 2012 letter from Dianne Felts to Mr. Smith that communicates the Board's two year interim approval of said system.

Please note that at its September 17, 2012 meeting, the Board unanimously adopted the staff recommendation to give a two year interim approval to the modifications/upgrades to the ImageCast Precinct and ImageCast Central systems, of which the WinEDS 4.0.175 operating system was an integral component. Such approval is valid through September 17, 2014. Please note that the Board is aware of Dominion's withdrawal of its application for certification with the EAC. However, since it was determined that this system met the requirements set forth in Articles 24B and 24C of the Election Code, and Part 204 of Title 26 of the Illinois Administrative Code, at the time of the granting of said approval, the Board has not and does not intend to take any action rescinding such approval.

A copy of this response has been forwarded to both the Cook County Clerk and the Chicago Board of Election Commissioners.

Sincerely,
Bernadette M. Harrington
Legal Counsel/FOIA Officer



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EMPOWERING CITIZENS TO PROTECT ELECTIONS

September 23, 2013

Attention:
Chicago Board of Elections

Chairman Langdon Neal
Commissioner Richard Cowen
Commissioner Marisel Hernandez
Executive Director Lance Gough

Dominion Voting
Executive Vice President Howard Cramer

When we discussed the need for a vulnerability assessment of the EdgePlus2 DRE machines at the last Chicago Board of Elections meeting, we agreed that we would present a description of the vulnerability assessment and work on developing common ground. As such, we anticipate there will be measures where we agree and others where further discussion will be required.

This document was prepared by Defend the Vote in conjunction with Dr. Roger Johnston in his official capacity as the head of the Vulnerability Assessment Team at Argonne National Laboratory. There are three parts: a description of the vulnerability assessment, particulars of the assessment, and a description of various levels of a vulnerability assessment on election machines. Dr. Johnston completed the third part.

This vulnerability assessment focusses on the Edge2Plus, Haat, Insight and the WinEDS systems currently in use in Chicago. In addition, we would like to test the 400c but it is not specified in this particular document.

Description of the vulnerability assessment:

A vulnerability assessment of voting machines strives to find simple low cost improvements in security without requiring radical changes to the voting machines. Recommendations will dramatically improve security without requiring a lot of cost, time, or effort.

The purpose of this vulnerability assessment is to improve security of the vote and it is designed to be public in nature.

Scope: This security-based vulnerability assessment looks at the processes, procedures, and the operations of the Edge2Plus, Haat, Insight, and WinEDS 4.0 voting systems. The assessment seeks to find remedies for security-based vulnerabilities when they are identified.

Reports: A preliminary report will be produced which will present the result of the assessment. This will be provided to Defend the Vote, the Chicago Board of Elections, and to Dominion Voting for comment and feedback. A final report will then be issued which will include comments, feedback, and any subsequent follow-up. This report will be made public; however, protected and proprietary information will not be included in the public report.

Particulars of the Assessment:

Time Frame: The Assessment will begin on October 15th, 2013

Resources:

Defend the Vote will provide the funding for Argonne National Laboratory, any related costs of the assessment, and the secure movement of the equipment.

Argonne's National Laboratory's Vulnerability Assessment Team will conduct the assessment at their laboratory in Argonne, IL.

Dominion Voting will provide technical assistance and feedback during the assessment. This may involve up to 2 days of staffing time. Generally, there is not a lot of time involved.

Chicago Board of Elections and staff will provide election machines to simulate voting in two precincts and for an early voting set-up. The use of Equipment Supply Containers is highly preferred to simulate the entire voting process, from transport to set up to use. The assessment team will need use of these machines for up to a week. The CBOE may also provide a staff person for up to two days in staffing time for information and feedback. Generally, there is not a lot of time involved.

Documents: Training and instruction manuals: Manufacturer manuals for use and training manuals for the poll workers.

Timing: The actual testing will be completed within a week. The report will take about 60 days for completion. Length of time is greatly influenced by the level of the vulnerability assessment decided upon.

Assessment Documentation: Argonne National Laboratory will document all assessments and results as part of their report.

Various Levels of a Vulnerability Assessment

1. Visual inspection of the exterior of the device to identify potential vulnerabilities, design flaws, countermeasures, and suggested use protocols. [Usually of very limited value.]
2. Visual inspection of the interior of the device to identify potential vulnerabilities, design flaws, countermeasures, and suggested use protocols. [Usually of somewhat limited value.]
3. Observe the device in use to identify potential vulnerabilities, design flaws, countermeasures, and suggested use protocols. [Usually of somewhat limited value.]
4. Experiment hands-on with the device, including putting it through its usual modes of operation. [Usually reveals some potential vulnerabilities, and results in some suggestions for improved use protocols.]
5. Reverse engineer the device. Time and expense depends on whether this is a “white box” vulnerability assessment (detailed specifications, drawings, circuit diagrams, or perhaps even source code is provided by the designer, manufacturer, or vendor) or a “black box” vulnerability assessment (the assessors have to learn all this from scratch). [Results are usually about the same for white vs. black box, and quite useful for improving security, but a fully “black box” analysis can be slow and expensive.]
6. Experiment with various software, hardware, and electronic attacks and issues, including but not limited to the following [usually reveals numerous vulnerabilities and countermeasures]:
 - fault analysis
 - power analysis
 - buffer overflow
 - side channel attacks
 - man-in-the-middle attacks
 - partial counterfeiting attacks
 - full counterfeiting attacks
 - device ID manipulation
 - skimming attacks
 - attacks on power systems
 - attacks on data storage
 - attacks on program storage
 - port attacks
 - cable attacks
 - display attacks
 - software/firmware tampering
 - CPU attacks
 - sensor attacks
 - chip level attacks
 - security of VVPR
 - security of excess unused ballots
 - security of ballots prior to use
 - security of associated election materials, pre-use
 - security of associated election materials, post-use
 - tamper detection capabilities
 - locking capabilities
 - vote privacy
 - “two-person” rule implementations
 - election programming attacks
 - insider attacks
 - outsider attacks with insider assistance
 - outsider attacks

7. Demonstrate the most viable attacks. [Though usually not to perfection due to time and cost constraints.]
8. Experiment with various countermeasures to the discovered attacks and vulnerabilities. Can include design changes, but also suggestions for modified use protocols. [Practical countermeasures are almost always discovered.]
9. Demonstrate the countermeasures. [If time and funding permit.]
10. Propose an optimum set of use protocols to minimize vulnerabilities. Typically a menu of prioritized options, rather than one fixed protocol.

Defend the Vote believes that when the public has faith in their elections, they are more motivated to vote. Likewise, Defend the Vote believes when the public's faith in their elections is lost, the government loses its legitimacy in the eyes of the citizens.

Roger Johnston, Ph.D., CPP
Senior Systems Engineer & Section Manager

Vulnerability Assessment Team
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Sharon Meroni
Executive Director

Vulnerability Assessment Project

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Sharon@DefendTheVote.com

5. **Comments from the general public.**
6. **Next regular Board meeting at 10:30 a.m. on Monday, November 18, 2013 in Springfield.**
7. **Executive session.**